1	FEDERAL TRADE COMMISSION							
2	I N D E X (PUBLIC RECORD)							
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4	WITNESS: DIR	ECT CRO	SS RE	DIRECT	RECROSS			
5	Williams 75	7 84	10	950	962			
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7	EXHIBITS	FOR	R ID	IN EVID	WITHDRAWN			
8	CX							
9	Number 14			838				
10	Number 23			839				
11	Number 40			976				
12	Number 252A			838				
13	Number 253			837				
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15	Number 255			838				
16	Number 336			976				
17	Number 342			976				
18	Number 364			977				
19	Number 2632			838/977				
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21	RX							
22	Number 317			979				
23	Number 340			978				
24	Number 356			978				
25	Number 361			978				

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1	UNIT	ED STATES	OF AMERICA
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4	In the Matter of:		)
5	Rambus, Inc.		) Docket No. 9302
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9	Mo	nday, May	5, 2003
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L7	BEFORE THE H	ONORABLE S	STEPHEN J. McGUIRE
L8	Chief A	dministrat	cive Law Judge
L9	Feder	al Trade (	Commission
20	600 Pen:	nsylvania	Avenue, N.W.
21	,	Washingtor	n, D.C.
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25	Reported by	y: Susanr	ne Bergling, RMR

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- JUDGE McGUIRE: This hearing is now order,
- 4 convened at 9:30 a.m.
- 5 Counsel, how is everyone this morning? I trust
- 6 everyone had a peaceful weekend, a quiet weekend.
- Before we get started today, are there any
- 8 housekeeping chores that we should take up before the
- 9 start of the testimony?
- 10 MR. STONE: I can just report, Your Honor, that
- I think we have agreed on the form of a stipulation and
- that the lists are being processed, and we should be
- able to file everything with you tomorrow afternoon.
- 14 JUDGE McGUIRE: Is there anything that you want
- 15 to add to that, Mr. Oliver?
- 17 I simply want to mention that over the weekend, we have
- 18 been considering trying to work on some changes to our
- 19 schedule in light of the discussions late Friday, and I
- 20 hope I will be in a position to report by the end of
- 21 the day today or tomorrow morning about some changes in
- 22 our schedule.
- JUDGE McGUIRE: Okay, all right, very good.
- 24 Then if there are no other issues to be brought
- 25 to the Court's attention at this time, then the

- 1 complaint counsel can call its next witness.
- 2 MR. OLIVER: Your Honor, Ms. Cary Zuk will be
- 3 handling the next witness on behalf of complaint
- 4 counsel.
- JUDGE McGUIRE: How is that spelled, Counsel?
- 6 MS. ZUK: Z like in zebra, U, K like in kite.
- 7 JUDGE McGUIRE: Thank you very much.
- 8 Sir, could you please come this way and then
- 9 you will be sworn by the court reporter.
- 10 Whereupon--
- 11 BRETT WILLIAMS
- 12 a witness, called for examination, having been first
- duly sworn, was examined and testified as follows:
- 14 DIRECT EXAMINATION
- 15 BY MS. ZUK:
- 16 Q. Good morning, Mr. Williams.
- 17 A. Good morning.
- 18 Q. Could you please state your name for the
- 19 record?
- 20 A. Brett Williams.
- Q. And where do you work, Mr. Williams?
- 22 A. I work at Micron Technology.
- Q. And what kind of company is Micron Technology?
- A. It's a company that manufactures memory
- 25 products.

For The Record, Inc. Wallnology?

- 1 O. And what is your job there?
- 2 A. I am the strategic marketing manager in charge
- 3 of desktop systems.
- 4 Q. And could you briefly describe what your job
- 5 responsibilities are as strategic marketing manager?
- A. My main job responsibilities are to interface
- 7 with customers and try to determine the requirements
- 8 for the next generation memory products as well as help
- 9 them designing in the current memory problems.
- 10 Q. What kinds of products are you responsible for
- as strategic marketing manager at Micron Technology?
- 12 A. Mainly DRAM.
- 0. And how long have you been strategic marketing
- manager at Micron Technology?
- 15 A. For about three years.
- 16 Q. Can you briefly describe your educational
- 17 background to the Court?
- 18 A. Yes, I graduated with a Bachelor of Science in
- 19 electrical engineering from Brigham Young University in
- 20 1981.
- 21 Q. And what did you do after you graduated from
- 22 BYU?
- 23 A. I joined Intel Corporation and worked with them
- 24 as an application engineer.
- Q. And how long were you at Intel?

- 1 A. Until 1989.
- Q. And could you please briefly describe your job
- 3 responsibilities at -- while you were at Intel?
- 4 A. Yeah, I started initially as an application
- 5 engineer in the board division, then moved from there
- 6 to the field as an application engineer in the field,
- 7 and then got promoted as a regional architecture
- 8 specialist in the field also.
- 9 Q. And when you were at Intel, what kinds of
- 10 customers were you involved with?
- 11 A. Mainly customers that would build computer
- 12 systems, AST, ALi, and my territory was the Southern
- 13 California area. So, people that build motherboards or
- build computer systems, worked a lot with military
- 15 accounts down there also.
- Q. And when you were at Intel, what products were
- you primarily responsible for?
- 18 A. Microprocessor products.
- 19 O. At Micron, have you held several different
- 20 positions?
- 21 A. Yes, I have. I started as an application
- 22 engineer and after two years there became the
- 23 application engineering manager; held that position for
- 24 a couple years and then was asked to go start up a
- 25 couple ventures, one with after-market memory called

1 Crucial Technology, and then another one called the

- 2 Integrated Platform Group, which was making
- 3 motherboards.
- 4 O. And in general terms, what did you do as an
- 5 applications engineer manager in the 1991 to 1994 time
- 6 frame?
- 7 A. In that time, again, interfaced with customers
- 8 to try to design in our current products, also trying
- 9 to understand their needs for the next generation
- 10 products.
- 11 Q. And as an applications engineer manager in 1991
- to 1994, did you have a focus in any particular DRAM
- 13 products?
- 14 A. Yeah, at that point, since I had people working
- 15 for me that had focus on specific DRAM, SRAM and flash
- 16 products, I was focused more on leading edge products,
- 17 and at that time it was SDRAM and burst EDO.
- 18 Q. And in your role as applications engineering
- 19 manager in 1991 to 1994, what kinds of customers did
- 20 you work with?
- 21 A. The typical computer customers, HP, Dell,
- 22 Compaq, Sun, Unysis, DEC.
- Q. Have you ever published any technical articles?
- A. Yes, I have published several.
- Q. And what subjects did these technical articles

- 1 cover?
- 2 A. Typically memory products and how to use
- 3 memory -- specific memory products or the advantages of
- 4 one memory product over another.
- 5 O. And where were these technical articles
- 6 published?
- 7 A. In trade publications.
- 8 Q. Are you familiar an organization called JEDEC?
- 9 A. Yes, I am.
- 10 Q. What is JEDEC?
- 11 A. JEDEC is a committee of industry people who set
- 12 standards based upon an open standard that everybody
- 13 can use.
- Q. Have you had any personal involvement with
- 15 JEDEC?
- 16 A. Yes, I have. I was actually the JEDEC
- 17 representative for Micron from the '91 to '93 time
- 18 frame.
- 19 O. And when you said you were the JEDEC
- 20 representative for Micron, what did you mean by that?
- 21 A. JEDEC requires that each member company select
- 22 a -- what they call a representative, and they can also
- 23 have several alternates. The representative's duty is
- 24 to attend the meetings. They get the official minutes
- of the meetings. They are really chartered to do the

1 voting at the JEDEC meetings and in essence be the

- 2 liaison between JEDEC and the member company.
- Q. Would you say between the 1991 to 1993 time
- 4 frame you were the official JEDEC representative for
- 5 Micron?
- 6 A. Yes.
- 7 Q. As far as you know, has Micron always had a
- 8 JEDEC representative?
- 9 A. As long as I've been there, yes, they have.
- 10 Q. Do you think it's important for Micron to have
- 11 a JEDEC representative?
- 12 MR. STONE: Objection, Your Honor, lacks
- 13 foundation, calls for speculation.
- 14 JUDGE McGUIRE: Sustained.
- 15 BY MS. ZUK:
- Q. Do you have an understanding of why it was
- important for Micron to have a JEDEC representative?
- 18 MR. STONE: Same objection, and now assumes
- 19 facts which are not in evidence, namely, assuming that
- 20 there is an importance. This witness has simply no
- 21 basis to express an opinion as to whether it is or is
- 22 not important for Micron to have a representative, or
- if he has such a foundation, one has not been laid.
- 24 JUDGE McGUIRE: Overruled, but I will insist on
- 25 a better foundation on that, Ms. Zuk.

- 1 MS. ZUK: Okay.
- 2 BY MS. ZUK:
- Q. Do you have an understanding as to why Micron
- 4 had a JEDEC representative?
- 5 A. Yes, for Micron, they make memory products that
- 6 are used in the industry. Their customers are mainly
- 7 computer customers who require that they are able to
- 8 buy products from multiple sources and that these
- 9 products interoperate, and JEDEC is the body that sets
- 10 those standards by which there are interoperability and
- 11 everybody has, in essence, the same part based upon the
- 12 JEDEC standard. So, it was very important for Micron
- 13 to be a member of JEDEC to ensure that we know what
- 14 those standards are and we help formulate those
- 15 standards.
- 16 Q. How long were you personally Micron's official
- 17 JEDEC representative?
- 18 A. For between two and three years, '91 to '93.
- 19 Q. And between 1991 to 1993, what JEDEC committees
- 20 did you participate in?
- 21 A. The memory committees primarily. JC-42, and of
- JC-42, there's several subcommittees; JC-42.3, which is
- 23 the RAM committee; JC-42.5, which is the module

- 1 attended JC-11, which is really the packaging
- 2 committee.
- Q. In the 1991 to 1993 time period, did you attend
- 4 JEDEC meetings regularly?
- 5 A. Yes, I did.
- Q. And in that same time period, was there a
- 7 Rambus representative who attended those meetings?
- 8 A. Yes.
- 9 Q. Do you remember who represented Rambus at JEDEC
- meetings in the 1991 to 1993 time frame?
- 11 A. Yes, Richard Crisp I believe was the one that
- 12 attended most of the meetings that I remember. I
- 13 remember seeing Dave Mooring there also from time to
- 14 time, but in essence, it was Richard Crisp.
- 15 Q. Why do you remember Richard Crisp and David
- Mooring attending meetings in the 1991 to 1993 time
- 17 frame?
- 18 A. I saw Richard Crisp there quite often. I also
- 19 knew Mr. Crisp previously. He was at a company that
- 20 when I was at Intel in Southern California, I had some
- 21 interaction with him.
- 22 As far as Mr. Mooring, I actually worked with
- 23 him at Intel. I was a field application engineer at
- 24 Intel, and he was a salesman in the Southern California
- 25 area.

- 1 O. Okay. Now, you mentioned that you participated
- in the JC-42.3 subcommittee of JEDEC.
- 3 A. Yes.
- 4 Q. What types of companies participate in that
- 5 subcommittee?
- A. Almost all of the DRAM memory companies, SRAM
- 7 memory companies, logic companies, customers of memory,
- 8 as well as interconnect companies, such as socket
- 9 manufacturers.
- 10 Q. Between late 1991 and 1993, what are some of
- 11 the names of the DRAM manufacturers who participated in
- 12 JC-42.3?
- 13 A. They would be companies like Toshiba, OKI,
- 14 Mitsubishi, Panasonic. Those are some that I remember.
- 15 Q. And in that same time frame, what are the names
- of some of the DRAM customers who participated in
- 17 JC-42.3?
- 18 A. HP, Compaq, IBM, Unysis, DEC, Sun.
- 19 O. And you mentioned there were other companies
- 20 besides DRAM manufacturers and DRAM customers who
- 21 participated in JEDEC.
- 22 A. That's correct.

1 MS. ZUK: Well, I'm happy to try to lay the

- 2 foundation. I believe we have established that Mr.
- 3 Williams has worked with DRAM customers, has worked for
- 4 a DRAM customer in the past and also has involvement
- 5 with JEDEC where all of these companies get together.
- 6 JUDGE McGUIRE: Okay, the objection is
- 7 sustained. I am going to ask you if you want to go
- 8 into that inquiry that you lay a proper foundation to
- 9 that inquiry.
- 10 MS. ZUK: Okay.
- 11 BY MS. ZUK:
- 12 Q. You worked with many DRAM customers in the 1991
- 13 to 1993 time frame. Is that true?
- 14 A. Again, repeat the question.
- 15 Q. Did you work with several DRAM companies in the
- 16 1991 to 1993 time frame?
- 17 A. In a cooperative effort, yes.
- 18 Q. And would any of that work entail a discussion
- 19 about standards?
- 20 A. At the JEDEC meetings, that is correct, they
- 21 would.
- 22 Q. And the JEDEC meetings would involve DRAM
- 23 customers as well?
- A. Yes, they were there.
- Q. And would JEDEC meetings also involve DRAM

- 1 suppliers or manufacturers?
- 2 A. Yes, the manufacturers were there, and they
- 3 were in the discussions.
- Q. Okay. Based on that understanding that you
- 5 developed in exchanging with DRAM customers and DRAM
- 6 suppliers, did you habaMMloped in exchanginmD au wh
- 3 7 3 e dse companies bed one dir businessn thate exchaard

- 1 cross examination --
- 2 MR. STONE: That's fine. Thank you, Your
- 3 Honor.
- 4 JUDGE McGUIRE: -- of the witness. Overruled.
- 5 You may proceed.
- 6 BY MS. ZUK:
- 7 O. Do you have my question in mind?
- 8 A. No.
- 9 Q. Okay, I'll try to paraphrase.
- 10 Do you have an understanding -- you testified
- 11 earlier that companies you worked with based their
- 12 business on the standard. Do you have an understanding
- of why that was so?
- 14 A. Yes, the computer companies need to keep the
- 15 costs of their systems as low as possible. If they use
- 16 a standard device, they know it's going to be
- manufactured by everybody in the industry, and they
- 18 require that, and they ensure that -- even when we were
- 19 trying to bring out new products, they wanted to make
- 20 sure that JEDEC was going to be the -- the standards
- 21 body that would grant the standard to the device, and
- 22 so it was very important to them.
- 23 And they reiterated over and over when I would
- 24 talk to them, where's JEDEC on this device? And before
- 25 JEDEC had a standard, they wanted to make sure it was

- 1 well down the road before they would actually adopt it
- 2 for their systems.
- O. Now, turning back to JEDEC meetings themselves,
- 4 does Micron compete for the same business as the other
- 5 DRAM manufacturers who participated in JEDEC?
- 6 A. Yes, we do.
- 7 Q. Given that companies are ultimately competing
- 8 for business with at least a few other JEDEC members
- 9 during the time you attended JEDEC, can everyone work
- 10 together to formulate a standard?
- 11 MR. STONE: Objection, Your Honor, leading and
- 12 assumes facts not in evidence.
- 13 JUDGE McGUIRE: Sustained.
- 14 BY MS. ZUK:
- 15 Q. Do you have an understanding that everyone can
- 16 work together at JEDEC?
- 17 A. Yes, because we have.
- 18 Q. Okay. And can you explain a little bit further
- 19 about why -- what your understanding was based on?
- 20 A. I sat in many, many meetings where we would try
- 21 to formulate a standard based upon the discussions and
- 22 the proposals that various member companies would bring
- 23 to the committee, and we had long discussions of the
- 24 pros and cons of various options and tried to determine

- 1 produce.
- Q. Are you familiar with JEDEC's patent policy
- 3 between late 1991 and 1993?
- 4 A. Yes.
- 5 Q. Between late 1991 through 1993, how did you
- 6 learn about JEDEC's patent policy?
- 7 A. Mainly by the presentations that were given at
- 8 every meeting by Mr. Townsend.
- 9 Q. And in that time period between late 1991 and
- 10 1993, what was your understanding of JEDEC's patent
- 11 policy?
- 12 A. That if somebody had a patent or pending patent
- 13 based upon the work that was being discussed at JEDEC,
- 14 that there needed to be disclosure of sufficient
- 15 information so that the council or the committee could
- determine whether or not what was being discussed was
- 17 actually implied in the patent.
- 18 Q. Did you have an understanding of how close a
- 19 connection there needed to be between the discussion
- 20 that was taking place in JEDEC and patents and pending
- 21 patents that a company might have?
- 22 A. Just needed to know -- just needed to have
- 23 sufficient information to ensure that -- and none of us
- 24 were lawyers there, so we couldn't determine if it
- 25 was -- if it infringed or not. I mean, that wasn't the

- 1 purpose.
- 2 The purpose was to bring the information to the
- 3 committee so that based upon the information that was
- 4 given we could determine if the actual aspect that was
- 5 being discussed at JEDEC was included in the patent.
- 6 And it was incumbent upon the member committee -- I
- 7 mean the member -- the member to bring that information
- 8 to the committee.
- 9 Q. Between late 1991 through 1993, did you have an
- 10 understanding of when a company had to disclose a
- 11 patent?
- 12 A. Yes, it was determined that we didn't want to
- waste time at JEDEC, so it was encouraged to bring that
- 14 information forward as soon as you knew. As soon as
- 15 you knew that there was a possible patent that could
- apply to what was being discussed, you were to bring
- that forward to get it out of the way and determine
- 18 whether or not -- you were going to see if you needed
- 19 to use that patent and what the terms of use of that
- 20 patent would be or you tried to design around it.
- 21 Q. Now, you used the phrase "waste time." Do you
- 22 have an understanding of why it would waste time to not
- disclose a patent or pending patent as soon as
- 24 possible?
- 25 A. Yes, at that time, JEDEC was meeting about

- 1 every three months, and in order to get a point or
- 2 feature to ballot, it required a first showing, which
- 3 would happen at one meeting. You would then go to a
- 4 second showing at the second meeting. You could then
- 5 at the end of the second showing request that the item
- 6 or the ballot -- the item be sent to ballot. The
- 7 ballots would be issued. They would count the ballots
- 8 at the third showing, and then based upon if it passed,
- 9 then it would go to the board, which would then ratify
- 10 it or -- and it typically got ratified if it got that
- 11 far.
- So, you're talking about nine months from the
- 13 time that you introduced an item to the earliest time
- 14 that it typically could be sent to ballot and voted on.
- 15 Q. Okay. Between late 1991 through 1993, if you
- 16 thought you had a relevant patent or patent
- application, what, if anything, did you need to inform
- 18 the committee about?
- 19 MR. STONE: Your Honor, just so I understand,
- 20 is this based on his understanding when she says -- or
- 21 something else? Because the question as phrased is,
- 22 what did you need to inform the committee about? Is
- 23 this just his understanding as to what you needed to
- inform the committee about or some other source of
- 25 opinion?

1 JUDGE McGUIRE: Is that his understanding? Is

- 2 that the import of your inquiry, Ms. Zuk?
- 3 MS. ZUK: I certainly wouldn't ask him to
- 4 answer anything beyond his understanding, so --
- 5 JUDGE McGUIRE: All right, does that satisfy
- 6 you, Counsel?
- 7 MR. STONE: Absolutely, thank you.
- JUDGE McGUIRE: All right, proceed.
- 9 BY MS. ZUK:
- 10 Q. Do you have my question in mind?
- 11 A. Repeat it if you would, just to make sure.
- 12 Q. Between late 1991 through 1993, if you thought
- 13 you had a relevant patent or patent application, what,
- if anything, did you have to inform the committee
- 15 about?
- 16 A. Based upon my understanding, it would be that
- 17 you had to bring sufficient information, whatever that
- 18 meant, so the committee could make a determination, and
- 19 I think it was a -- it was a case-by-case basis what
- 20 that actually meant, but you -- the committee, if they
- 21 didn't think it was sufficient information so that they
- 22 could make an informed decision, they would then ask
- for more definite information.
- Q. In the case of a patent application, did
- companies provide a copy of their patent applications

- 1 to the committee?
- 2 A. I don't ever remember that happening.
- Q. Do you know whether they were required to give
- 4 the committee a copy of their patent application?
- 5 A. No, I don't think so.
- 6 Q. Now, we've just gone over the -- your
- 7 understanding of the patent policy at JEDEC, and I'd
- 8 like to draw on your memory of particular applications
- 9 of the patent policy, if I could.
- 10 Do you remember any examples of companies
- 11 between late 1991 and 1993 who disclosed patents or
- 12 patent applications during a JC-42.3 meeting?
- 13 A. Yes, there were several.
- Q. In the late 1991 to 1993 time frame, do you
- 15 remember an example where Micron disclosed a patent?
- 16 A. Yeah, I remember specifically we disclosed a
- 17 patent on the VPAK package.
- 18 Q. Can you tell us what you remember about
- 19 Micron's disclosure of the VPAK patent in the 1991 to
- 20 1993 time frame?
- 21 A. Yes, we disclosed to the committee that we had
- 22 a patent that possibly applied and that -- at that time
- 23 gave the patent number and submitted a letter as to --
- 24 that we would license that at a reasonable and
- 25 nondiscriminatory rate, which is what was required by

- 1 the committee.
- Q. In general terms, how did the committee react
- 3 to Micron's disclosure of the VPAK patent?
- 4 A. They wrote it down on the patent tracking list
- 5 so that other people, if they wanted to delve more in
- 6 detail, could look at that and determine whether or not
- 7 it was applicable to what was being discussed.
- 8 Q. In the 1991 to 1993 time frame, do you remember
- 9 an example where a company failed to disclose a patent?
- 10 A. Yes, I do.
- 11 Q. Could you tell us what you remember?
- 12 A. Yeah, this was a case where it was found out
- 13 that TI had -- and it could have been Micron I believe
- 14 that brought it to the committee -- had a patent on the
- 15 quad CAS device that had just been standardized or was
- in the process of being standardized, and it did pass,
- 17 became a standard, and the council -- so, here we are,
- 18 we had just either made a standard or it was past the
- 19 voting stage of council, I can't remember exactly where
- 20 it was, but I remember a great uproar in the committee
- 21 based upon it.
- I mean, they were very irate that it had gotten
- 23 this far and they had a patent based upon what was
- 24 being standardized. So -- in fact, I remember Ernest
- 25 Powell, who was the committee member for TI, just

1 getting pummeled in that meeting for his failure to

- 2 disclose.
- 3 Q. Do you remember --
- 4 JUDGE McGUIRE: Now, who was Ernest Powell?
- 5 THE WITNESS: Ernest Powell was the JEDEC
- 6 committee member from TI.
- JUDGE McGUIRE: Okay, thank you.
- 8 Go ahead, Ms. Zuk.
- 9 BY MS. ZUK:
- 10 Q. Do you remember how the committee responded to
- 11 the disclosure of the TI patent on quad CAS?
- 12 A. Yes, I believe that standard was actually
- 13 rescinded.
- Q. And was that because of the patent issues
- 15 surrounding the quad CAS standard?
- 16 A. Yes.
- MS. ZUK: I would like to now introduce my
- 18 first exhibit, but I thought, Your Honor, perhaps it
- 19 would be more efficient if I just handed the witness
- 20 and opposing counsel a copy -- and if you would like a
- 21 copy -- copies of the documents I intend to use today
- 22 at this time.
- JUDGE McGUIRE: Is it going to be on the ELMO?
- MS. ZUK: Yes, it is going to be.
- JUDGE McGUIRE: Then I don't need a hard copy.

- 1 MS. ZUK: May I approach the witness?
- 2 JUDGE McGUIRE: That will be fine. Just make
- 3 sure you give a copy to opposing counsel.
- 4 MS. ZUK: Okay.
- 5 BY MS. ZUK:
- 6 Q. Mr. Williams, I have just handed you a set of
- 7 exhibits, and I'd like you to look at the first in the
- 8 set. It's JX-10. Do you have that document in front
- 9 of you?
- 10 A. Yes, I do.
- 11 Q. Are you familiar with JX-10?
- 12 A. Yes, I am.
- 13 O. What is it?
- 14 A. These are committee minutes of the JC-42.3
- 15 committee for December '91.
- Q. And I see your name on the attendance roster of
- 17 this set of minutes. Did you attend this meeting?
- 18 A. Yes, I did.
- 19 O. Where did this meeting take place?
- 20 A. In Maui, Hawaii.
- 21 Q. Did you attend the entire December 1991 JC-42.3
- 22 meeting?
- 23 A. Yes, I did.
- Q. Okay. And if I can refer you to the next page,
- which is page 2 of JX-10, I see a name next to Rambus

- of Billy Garrett. Do you see that?
- 2 A. Yes, I do.
- 3 O. Does this indicate that someone from Rambus
- 4 attended the December 1991 JC-42.3 meeting?
- 5 A. Yes, it does.
- 6 O. And who does it indicate attended on behalf of
- 7 Rambus?
- 8 A. Billy Garrett.
- 9 Q. And if you could turn to page 8 of JX-10, which
- is also JEDEC 14188. Do you see that?
- 11 A. Yes, I do.
- 12 Q. Okay. At the middle of the page, Section 6.2,
- it appears that a ballot on V-Pack is mentioned. Do
- 14 you see that?
- 15 A. Yes.
- Q. Does this indicate that a V-Pack ballot was
- discussed during the December 1991 meeting?
- 18 A. Yes, it does.
- 19 Q. Did you observe the discussion of the V-Pack
- 20 ballot during the December 1991 meeting?
- 21 A. Yes.
- Q. And below the mention of the VPAK ballot, there
- 23 appears a statement from Micron, and it says, "Possible
- 24 Micron patent may or may not apply. Copies of the
- 25 patent were handed out at the Philadelphia meeting."

- 1 Do you see that?
- 2 A. Yes.
- Q. Does this passage in the December 1991 minutes
- 4 relate to the VPAK disclosure that you made to JEDEC on
- 5 Micron's behalf just a moment -- that you mentioned
- 6 just a moment ago?
- 7 A. Actually, I believe the disclosure was made at
- 8 the Philadelphia meeting, and this was just a follow-up
- 9 stating that we didn't know whether it applied or not,
- 10 but we disclosed it.
- 11 Q. And next I'd like to focus your attention
- towards the bottom of the page. It states, "TI
- 13 responded the mechanical issues and packaging viability
- 14 were already proves. TI felt patent issue was resolved
- 15 by disclosure." (Sic)
- 16 Do you see that?
- 17 A. Yes.
- 18 Q. Does this indicate that TI felt the patent
- 19 issue was resolved by disclosure?
- 20 MR. STONE: Objection, Your Honor, the document
- 21 speaks for itself, and unless he has independent
- 22 knowledge as to what TI felt, this witness has no basis
- 23 for testifying to what TI felt.
- JUDGE McGUIRE: Sustained.
- 25 MS. ZUK: I -- if I -- could I respond to that?

- ballot failed at the committee?
- 2 A. I think it failed due to the possible patent
- 3 problems.
- 4 O. Did you ever reach an understanding about
- 5 whether or not the Micron patent you disclosed actually
- 6 applied to the VPAK ballot?
- 7 A. I believe that it actually did not. I think it
- 8 was determined that it did not apply.
- 9 Q. And how did you reach your understanding that
- 10 the patent you disclosed during the meeting or during
- 11 the discussion of the VPAK standard actually didn't
- 12 apply?
- 13 A. I believe that committee members -- I'm trying
- 14 to recall. I think I recall that committee members
- decided that it didn't apply.
- 16 Q. Okay. I'd like to direct your attention to the
- 17 second exhibit in this stack that I handed you earlier,
- and this is Respondent's Exhibit 356. Do you have that
- 19 exhibit?
- 20 A. Yes, I do.
- 21 O. Can you tell me what this exhibit is?
- 22 A. Yeah, these are some draft minutes from the
- JC-42.5 committee that was held on December 8th of '92
- in Fort Lauderdale.
- Q. How can you tell that this is -- that this

- 1 exhibit is a set of draft minutes?
- 2 A. They're not in the final form that would be
- 3 issued from the JEDEC office.
- 4 O. Okay. Between late 1991 and 1993, was it
- 5 typical for you to receive draft minutes?
- A. Yes. Every meeting -- after every meeting, we
- 7 got a set of draft minutes.
- 8 Q. Okay. Do you have an understanding of why you
- 9 received -- it was typical for you to receive draft
- 10 minutes?
- 11 A. It's because it took the JEDEC office so long
- 12 to get to the final draft minutes -- I mean to the
- final version of the minutes, and people wanted to
- 14 ensure that they got something to take back to start
- 15 discussing with their companies as soon as possible.
- Also, it gave the members a time to review the
- 17 minutes and make sure that everything was included that
- 18 was discussed.
- 19 0. Okay.
- 20 Your Honor, I don't believe that this exhibit
- 21 has been introduced yet, so I would ask to offer it
- 22 into evidence at this time.
- JUDGE McGUIRE: Mr. Stone, any response?
- MR. STONE: Yes, Your Honor. I don't know that
- 25 this witness has testified he received this document,

1 so I don't know that -- what the basis is for his

- 2 testimony. If he received it, then I think his
- description of the document may indeed be correct, but
- 4 I don't know if he has any basis for describing what
- 5 the document is or not.
- 6 JUDGE McGUIRE: Can you answer that inquiry,
- 7 Mr. Williams?
- 8 THE WITNESS: Yes, I did receive a copy.
- JUDGE McGUIRE: Go ahead. Okay, I'm sorry, you
- 10 did receive it.
- 11 Does that satisfy the objection, Mr. Stone?
- MR. STONE: Yes, Your Honor.
- JUDGE McGUIRE: All right, then we will enter
- 14 it at this time.
- 15 (RX Exhibit Number 356 was admitted into
- 16 evidence.)
- 17 BY MS. ZUK:
- 18 Q. If you could turn to page 2 of Respondent's
- 19 Exhibit 356. In the middle of the page it reads, "Call
- 20 to Order Jim Townsend. Review of Patent Items -
- 21 Members are cautioned to disclose their relevant patent
- 22 applications. Those believed to be in violation of
- 23 this policy will be identified to the committee at the
- 24 next meeting."
- Do you see that?

- 1 A. Yes.
- Q. What does "call to order" mean?
- A. This was just a call to the members that were
- 4 around to sit down, we are going to start the meeting
- 5 and get going.
- Q. Who would typically call to order the meetings
- 7 between late 1991 and 1993?
- 8 A. It would have been the committee chair, in this
- 9 case for this committee it was Jim Townsend.
- 10 Q. Between late 1991 and 1993, was Chairman --
- 11 what would Chairman Townsend typically do after he
- 12 called JC-42.3 meetings to order?
- 13 A. He would take care of some logistical issues,
- 14 and then he would go through the -- he would pass out a
- 15 sign-in sheet to make sure everybody that was there
- 16 would get their name on the sign-in sheet so we could
- 17 say who and who was not present, make sure we have a
- 18 quorum, and then he would pass out -- he would go
- 19 through the patent issue presentation that he would
- 20 present at each one of these meetings.
- 21 Q. And between late -- and what would he do after
- he passed out the sign-in sheet to the committee?
- 23 A. He would then start his presentation on the
- 24 patent policy.
- Q. Okay. Between late 1991 and 1993, how long did

- 1 Chairman Townsend's patent presentations last?
- 2 A. It actually varied. Early on it was quite
- 3 lengthy, because he would ask for discussion. He would
- 4 put up a foil set and go through it point by point,
- 5 ensuring that everybody understood that -- what the
- 6 patent policy covered, that it covered patents issued,
- 7 that it would cover pending patents, that it covered --
- 8 and what the obligation of each member was, that they
- 9 had an obligation to bring that information to the
- 10 committee so that they can make an informed choice of
- 11 what's being discussed, whether or not it applied to
- 12 the patent that's being disclosed.
- So, early on it was quite lengthy. Towards the
- 14 end of my -- my stay as a patent -- I mean as a
- 15 committee representative, it got short, because
- 16 everybody was quite tired of hearing it every time,
- 17 beating into you what your responsibility was.
- 18 Q. In that -- in the time frame when the
- 19 presentations were quite lengthy, as you testified --
- just testified to, what was your understanding, if any,
- 21 about why Chairman Townsend devoted time at JC-42.3
- 22 meetings to give these presentations on the patent
- 23 policy?
- MR. STONE: Objection, Your Honor, lacks
- 25 foundation as to this witness having any knowledge as

- 1 to why Jim Townsend did or didn't spend a long or a
- 2 short period of time on things. He just can't know --
- JUDGE McGUIRE: That's overruled.
- If you have an understanding as to why, sir,
- 5 you may answer, and if not, you may not answer.
- 6 THE WITNESS: As to why he had spent longer
- 7 time versus shorter time on it? Is that the question?
- 8 BY MS. ZUK:
- 9 Q. Yes.
- 10 A. Well, I think from the beginning, because of
- 11 what had happened just prior to this time period with
- 12 the WANG case where they were part of the committee,
- they had helped set a standard, and then they went out
- 14 and enforced their patents against everybody in the
- 15 industry who used a SIMM module. That's what Chairman
- 16 Townsend and the rest of the board wanted to ensure
- never happened again, and so that's why there was so
- 18 much emphasis placed upon why the policy was where it
- 19 was and why there was discussion upon it and why it was
- 20 at length discussed that this was so important, so that
- 21 the industry was not held hostage again like it was
- 22 under the WANG case.
- Q. And how did you -- you testified that you --
- 24 youe WANGaalT\* 24 riooooooooooooportant, so that

4 nkownsinghat'singw

1r

- 1 A. Well, being in the industry, I heard about what
- 2 happened prior to my joining the JEDEC, but it was
- 3 brought up during Chairman Townsend's discussions.
- Q. Now, this statement on page 2 of RX-356 uses
- 5 the word "cautioned." Between late 1991 and 1993, did
- 6 Chairman Townsend typically caution members to disclose
- 7 relevant patent applications?
- 8 A. I can't remember how often he used the word
- 9 "caution," but he did explain to the members the
- 10 importance of disclosure, the importance of making sure
- 11 that the work that's being done there is -- remains an
- 12 open standard and tried to emphasize the importance for
- each member there, their duty to ensure that patents
- 14 were disclosed.

17

- 15 Q. And what is your understanding, if any, of what
- 16 Chairman Townsend meant when he used the term "relevant

patent applicationsw3trh5'bia

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- determine whether or not it does apply.
- Q. I'd like to direct your attention to the next
- 3 exhibit in the set of documents I handed to you
- 4 earlier, and it's JX-14.
- Now, we just looked at the draft minutes of the
- 6 December 1992 JC-42.3 meeting. Can you tell the Court
- 7 what this exhibit is?
- 8 A. Yes, these are the meeting minutes from the
- 9 JC-42.3 committee of December '92 that was held in Fort
- 10 Lauderdale, Florida.
- 11 Q. Okay. And the first page of JX-14 appears to
- 12 be an attendance roster, and I see about midway down
- 13 the page your name appears on the list. Did you attend
- the December 1992 JC-42.3 meeting?
- 15 A. Yes, I did.
- 16 Q. Okay. And if you could turn to the next
- page -- or I'm sorry, stay on that page, my apologies.
- 18 I see a Richard Crisp next to Rambus on the
- 19 attendance roster. Do you see that?
- 20 A. Yes.
- 21 Q. Does this indicate that Mr. Crisp attended the
- December 1992 JC-42.3 meeting?
- 23 A. Yes, it does.
- Q. And if you could turn to the next page, about
- 25 halfway down the list again, there is a Dave Mooring

1 next to Rambus on the attendance roster. Do you see

- 2 that?
- 3 A. Yes.
- 4 O. Does this indicate that Mr. Mooring attended
- 5 the December 1992 JC-42.3 meeting?
- 6 A. Yes, it does.
- 7 Q. Now, I'd like to refer you to the next page.
- 8 Section 5 refers to patent policy, and it reads, "A
- 9 presentation was made on the EIA patent policies by Mr.
- 10 Townsend. The tracking list was shown and also the
- 11 draft of Appendix F of JEP-21H policy manual."
- 12 Do you see that?
- 13 A. Yes.
- Q. Does this passage indicate that Mr. -- Chairman
- 15 Townsend showed the draft of Appendix F of JEP-21H at
- 16 this meeting?
- 17 A. Yes.
- 18 Q. Do you know what JEP-21H stands for?
- 19 A. Not specifically, but it is a JEDEC manual. I
- 20 don't know exactly what the JEP stands for, but it is a
- 21 manual that guides the policies of JEDEC, how the JEDEC
- 22 ought to operate.
- Q. Okay. And is one of the policies the patent
- 24 policy?
- 25 A. Yes.

- 1 Q. Between late 1991 and 1993, did you observe
- discussions about how to revise portions of the patent
- 3 policy?
- A. Yes, there were several discussions over
- 5 several meetings.
- Q. Okay. And who typically led those discussions
- 7 about how to revise the patent policy?
- 8 A. Mr. Townsend.
- 9 Q. And in general terms, between late 1991 to
- 10 1993, what was discussed about how to revise portions
- of the patent policy?
- 12 A. It was discussed how to revise the wording to
- ensure that the patent policy was clear so that new

- 1 revised; only the manual was being revised. The
- 2 policy, even from my very first time at JEDEC, was

- 1 that you could make a decision.
- 2 Also, if you were going to use the patent, you
- 3 also needed to know -- and it was described up front --
- 4 that you needed a letter from the company stating their
- 5 position on the patent in order to use it, the license
- 6 requirement.
- 7 Q. Did the JEDEC policy require anything about the
- 8 terms of that license?
- 9 A. Yeah, they stated that they -- it needed to be
- 10 licensed on a reasonable and nondiscriminatory basis to
- 11 ensure that it's an under -- that it's an open standard
- 12 and everybody was treated the same.
- 13 O. Now, if I could direct your attention to the
- last sentence of this paragraph on page 25 of JX-14,
- 15 "The term 'patented' as used in this policy, also
- includes pending patents on items and processes under
- discussion -- under consideration by a committee,
- 18 subcommittee, or working group."
- 19 Do you see that?
- 20 A. Yes.
- 21 Q. Do you have an understanding of what this
- 22 sentence in the revised -- or the manual under revision
- 23 meant in the late 1991 to 1993 time frame?
- A. Yes, it meant that it not only applied to
- 25 patents granted but applied to patents that were
- ref refo?tents lied to patents that were
- ref o you 0 -tere Nowee 909. 24 A. Yes, it meant that it

- pending, really any patented -- and by "patented," I
- 2 mean any IP that was in the process of being patented,
- 3 whatever stage of the process that might be.
- Q. And lastly I'll refer you to the first
- 5 paragraph of Section 8.3.1 of the manual under revision
- 6 that was shown at the December 1992 JC-42.3 meeting.
- 7 It reads, "The Chairperson must call to the attention
- 8 of the members present the requirements contained in

- do its work, that it can progress with a standard and
- 2 not get down the road like happened, you know, with the
- 3 quad CAS device, that you get to the final throes of
- 4 getting a standard, and then in the standard there's
- 5 some patent road blocks.
- Q. Now, when you attended JEDEC meetings as
- 7 Micron's official representative in 1991 to 1993, was
- 8 the JC-42.3 subcommittee working towards an SDRAM
- 9 standard?
- 10 A. Yes, they were.
- 11 O. What data rate was the JC-42.3 subcommittee
- 12 striving to achieve with an SDRAM standard?
- 13 A. Initially, it was 66 megahertz.
- 14 Q. And afterwards, did that target change?
- 15 A. That got extended to 100 megahertz.
- 16 Q. And when did the target get extended to 100
- 17 megahertz?
- 18 A. I'm not sure exactly when. Later in the -- in
- 19 the development process.
- Q. Do you have an understanding as to why JC-42.3
- 21 was striving to achieve those data rates in the early
- 22 1990s?
- 23 A. Yes, that was the data rates of the front side
- bus of the processor, and the customers were requiring
- 25 to get -- to get the best utilization of the processor,

1 so the customers were demanding that -- and this was

- 2 the view of the customers -- were demanding that the
- 3 memory be at the same rate to utilize the processor.
- 4 Q. Are you familiar with how a JEDEC SDRAM
- 5 operates?
- 6 A. In general, yes.
- 7 O. How did you become familiar with how a JEDEC
- 8 SDRAM operates?
- 9 A. Through the discussions at JEDEC, through the
- 10 ballots that were issued and through our data sheet as
- 11 well as competitor data sheets.
- 12 Q. Are you aware that the JEDEC SDRAM standard
- calls for programmable CAS latency and burst length?
- 14 A. Yes, I am.
- 15 Q. What is your understanding of the term "CAS
- 16 latency"?
- 17 A. CAS latency is the time in the DRAM from when a
- 18 read is registered at the DRAM to the time that data is
- 19 guaranteed to be valid on the output.
- Q. What is your understanding of the term
- 21 "programmable CAS latency"?
- 22 A. That means that that time, that CAS latency
- 23 time, might be of one or multiple items -- it can be
- one or multiple values, and that those values can be
- 25 programmed on the DRAM.

- 1 Q. How does the JEDEC standard require
- 2 programmable CAS latency to be implemented?
- 3 A. It uses a mode register where it stores the
- 4 data, stores the CAS latency item.
- Q. In the early 1990s, did JC-42.3 consider
- 6 different ways to determine CAS latency?
- 7 A. Yes, there were discussions on various ways to
- 8 program it.
- 9 Q. What were the different ways that were proposed
- within JC-42.3 to determine CAS latency in the early
- 11 1990s?
- 12 A. Either to have a fixed CAS latency, use a
- 13 combination of signal levels, of various signals, a
- 14 programming pin were some that were discussed.
- Q. Now, switching gears to burst length, what is
- your understanding of the term "burst length"?

- 1 so many data items out, and those data items are called
- 2 the burst length, and the typical ones at that time

- 1 options were really discussed for all of the
- 2 programmability portions of the DRAM.
- Q. I'd like to direct your attention to JX-56,
- 4 which is the next -- what should be the next exhibit in
- 5 your stack. It looks like it's below those documents.
- 6 A. CX-56 or -- oh, J.
- 7 O. JX-56.
- 8 A. Okay.
- 9 Q. Are you familiar --
- 10 MR. STONE: Give me just a minute to get it,
- 11 Your Honor. It was not in our stack.
- 12 JUDGE McGUIRE: All right, just a moment.
- MS. ZUK: It's probably at the bottom. I took
- 14 it out of order.
- 15 JUDGE McGUIRE: Off the record.
- 16 (Discussion off the record.)
- 17 JUDGE McGUIRE: On the record.
- 18 You may proceed, Ms. Zuk.
- 19 MS. ZUK: Okay.
- 20 BY MS. ZUK:
- Q. Are you familiar with this document?
- 22 A. Yes, I am.
- Q. Can you tell me what this document -- what this
- 24 exhibit is?
- 25 A. This is the -- the actual JEDEC standard,

1 printed standard, in which all of the RAM standards are

- 2 incorporated. After they've passed the council and
- 3 passed the board of directors, they are actually
- 4 printed from time to time in the actual standard. This
- 5 is that standard.
- 6 O. And when was this version of the standard
- 7 published?
- 8 A. This was November of '93.
- 9 Q. And is the title of this particular standard
- 10 JEDEC Standard Number 21-C, Release 4?
- 11 A. Yes, it is.
- 12 Q. Does Release 4 of Standard 21-C contain the
- first publication of JEDEC's SDRAM standard?
- 14 A. Yes, it does.
- 15 Q. Can you turn to page 114 of this exhibit, which
- 16 is also JEDEC 7793.
- 17 A. Okay.
- 18 Q. Now, this page is titled SDRAM Mode Register.
- 19 Do you see that?
- 20 A. Yes.
- 21 Q. Would you please explain what this page titled
- 22 SDRAM Mode Register shows?
- 23 A. Yeah, what this is showing is that the SDRAM
- 24 contains a register of bits zero through 11 and that
- 25 different fields of those bits have been defined to

- 1 contain the burst length, the burst type and the CAS
- 2 latency or what they are calling latency mode. Both
- 3 are the same. So that this is the actual register in
- 4 the DRAM you would program in order to gain the
- 5 specific burst length and specific burst type and CAS
- 6 latency.
- 7 Q. Okay. Can you enlarge the mode register part
- 8 of this page at the top?
- 9 Do you see this part of page 114 --
- 10 A. Yes.
- 11 O. -- of Exhibit 56?
- 12 A. Yes.
- 13 Q. Is this element of the page an illustration of
- 14 a mode register?
- 15 A. Yes, it is.
- 16 Q. Can you enlarge the bottom half of the page,
- 17 the rest of the page?
- 18 I'd like you to walk us through each element of
- 19 this page, if possible, and I will try to take them one
- 20 by one. If you could explain the burst length portion
- of this mode register depiction for us.
- 22 A. The lower bits, bits zero, one and two,
- actually contain the burst length information, and in
- 24 the table, it shows that the burst length of one and
- 25 the burst length of two are -- and the burst length of

1 full page are actually in parentheses, meaning they are

- 2 optional but not really used. The main ones are the
- 3 burst length of four and the burst length of eight, as
- 4 is the descriptor in the table.
- 5 Q. And where in the mode register is the burst
- 6 length information that is programmed stored?
- 7 A. In bits zero, one and two.
- 8 Q. Okay. And if I could direct your attention to
- 9 the burst type portion of the mode register as
- 10 illustrated on this page.
- 11 A. Yes.
- 12 Q. Could you please explain that?
- 13 A. The burst type allows for two options, which is
- 14 a sequential option or an interleaved option, and that
- 15 information is stored in bit three of the mode
- 16 register.
- 17 Q. And lastly, the latency mode table on this
- 18 page, could you please explain what that illustrates?
- 19 A. Yes, the latency mode is described or actually
- 20 held in bits four, five and six, and it gives -- the
- 21 table gives options for one, two and three, and in
- 22 parentheses, there is also an option for four, but
- because it's in parentheses, all DRAM do not have to
- 24 contain that option. That is an optional option, but
- you had to contain options for one, two and three.

1 O. Okay. I'd now like to show the witness a

- demonstrative, and we've provided respondent with a
- 3 copy of the demonstrative I think Friday, and I have
- 4 copies.
- 5 May I approach?
- 6 JUDGE McGUIRE: All right, you may approach.
- 7 MS. ZUK: Would you like a copy?
- JUDGE McGUIRE: Yes, I'll take one. Thank you.
- 9 BY MS. ZUK:
- 10 Q. Mr. Williams, I've just handed you a
- 11 demonstrative. Do you feel comfortable explaining what
- 12 this demonstrative shows?
- 13 A. Yes.
- 14 Q. Okay. And again, I'd like to go through each
- 15 element of this demonstrative like we did with the mode
- 16 register page.
- On the left-hand corner of the demonstrative,
- 18 there is a block representation of a memory controller.
- 19 Can you explain what a memory controller does in a
- JEDEC style SDRAM memory system?
- 21 A. Yes, the memory controller would give to the
- 22 memory the commands required, as well as the address
- 23 required and control signals required, in order to get
- data to the DRAM, to be contained in the DRAM, as well
- as get data out of the DRAM, which then would go to and

1 the data bus, one that is labeled chip select1, and

- 2 another that is labeled chip select2. Do you see that?
- 3 A. Yes.
- 4 O. What does a chip -- chip select signals do in a
- 5 JEDEC style SDRAM memory system?
- 6 A. The chip select actually selects which DRAMs in
- 7 the system -- and in this case, as they go to all chips
- 8 on the actual module -- which module you're going to do
- 9 the operation on that is included in the command bus,
- and so if you select chip select1, you're going to do
- 11 the operation read, write, et cetera, on module one.
- 12 If you generate chip select2 -- and you would only
- select one or the other, not both, at a specific
- 14 command -- if you select chip select2, then you would
- 15 read and write to module two.
- 16 Q. Okay. Now, there are two modules in this
- 17 system. What does this -- or in this demonstrative.
- 18 What does this illustrate about a typical SDRAM memory
- 19 system?
- 20 A. It shows that you have multiple modules in a
- 21 typical system. You can have just one. You can have
- 22 several. And based upon the architecture and the
- amount of connectors in the system would tell you how
- 24 many modules you're able to plug in, again, each module
- being accessed independently. They are not accessed

- 1 together. They are only given the command -- even
- 2 though they might all say the same command and address
- 3 bus and all the same data bus, they are only active
- 4 when the chip selects are given to those specific
- 5 modules.
- 6 O. And each module has a DRAM 1 and DRAM N. Do
- 7 you have an understanding of what these components of
- 8 each module are intended to illustrate?
- 9 A. This shows that you can have a number of DRAM
- 10 chips, and typically, in a typical SDRAM system, based
- 11 upon the organization of the DRAM, you would have from
- 12 four to 18 chips on a specific module to get a specific
- 13 bus width.
- Q. Okay. And there is a block on each DRAM chip
- that is labeled mode register.
- 16 Do you see that?
- 17 A. Yes.
- 18 Q. What does this illustrate about a JEDEC style
- 19 SDRAM memory system?
- 20 A. Each DRAM has its own individual mode register
- 21 that needs to be programmed individually, and what

- 1 type.
- Q. Okay. And the top hand portion of this
- demonstrative enlarges one of the DRAM chips on the
- 4 module. Do you see that?
- 5 A. Yes.
- 6 Q. What does this illustration of a larger scale
- 7 DRAM chip illustrate about a typical SDRAM memory
- 8 system?
- 9 A. It's showing that the mode register affects
- 10 really the SDRAM logic and how it's going to operate,
- 11 specifically what the CAS latency will be and what the
- 12 burst length is going to be.
- Q. Okay. And is the mode register represented in
- 14 this portion of the demonstrative?
- 15 A. Yes, it's represented by the block that
- 16 contains the BL, BT and LM, with the numbers above it
- zero to 11, that represents the mode register.
- 18 Q. And what does BL stand for?
- 19 A. That is the burst length.
- Q. And how about BT?
- 21 A. That is the burst type.
- Q. And lastly, what does LM stand for?
- 23 A. That would be the CAS latency or latency mode.
- Q. Is this demonstrative a fair representation of
- 25 the use of a mode register in a JEDEC Release 4 style

- 1 SDRAM memory system?
- 2 A. Yes, I believe so.
- 3 MS. ZUK: Your Honor, I would like to now
- 4 introduce this demonstrative -- offer this
- demonstrative into evidence, if that's possible.
- 6 JUDGE McGUIRE: Is it RX-7, did you say? Or I
- 7 don't think it's RX -- it's about RX-4 by now, isn't
- 8 it?
- 9 MR. STONE: It's a DX. It's going to be a DX I
- 10 think since it's a demonstrative.
- JUDGE McGUIRE: You're exactly right, Mr.
- 12 Stone, DX.
- 13 MR. STONE: And I don't think demonstratives
- 14 are admitted; they are just marked.
- 15 JUDGE McGUIRE: That's right, they are just
- 16 marked.
- 17 MS. ZUK: I would like to mark this as DX-4.
- JUDGE McGUIRE: All right, so marked.
- 19 (DX Exhibit Number 4 was marked for
- 20 identification.)
- 21 BY MS. ZUK:
- Q. I'd like to direct your attention now, Mr.
- Williams, to four documents, CX-255, CX-252A, CX-253
- 24 and CX-254.
- 25 A. Okay.

- 1 Q. Do you have those in front of you?
- 2 A. Yes.
- Q. Are you familiar with these exhibits?
- 4 A. Yes, I am.
- 5 Q. Can you tell me what CX-253 is?
- A. Yes, CX-253 is a committee ballot of JC-42.3
- 7 that is titled JC-42.3-92-83.
- Q. Okay. And what subject is the ballot covering?
- 9 A. This is the proposed standard for by eight/by
- 10 nine II DRAM package, the TSOP package.
- 11 Q. And is this an SDRAM ballot?
- 12 A. Yes.

- 1 Q. Okay. And now I'd like to direct your
- 2 attention to CX-252A. Do you have that exhibit in
- 3 front of you?
- 4 A. Yes, I do.
- 5 Q. Can you tell me what that exhibit is?
- 6 A. This is another JC-42.3 committee ballot,
- 7 JC-42.3-92-85, which is the proposed standard for Sync
- 8 DRAM mode register.
- 9 O. And when was this ballot distributed to JC-42.3
- 10 members?
- 11 A. This, again, was on June 11 of '92.
- 12 Q. Would you please explain how this ballot on a
- 13 Sync DRAM mode register relates to the SDRAM mode
- 14 register that we just looked at in Release 4 of
- 15 Standard 21-C?
- 16 A. Sync DRAM is just another name for SDRAM. This
- is the same.
- 18 Q. Okay. And if you could turn to page 3 of
- 19 CX-252A, it's entitled Mode Register.
- 20 A. Yes, I have that.
- 21 Q. Does this page of CX-252A indicate that members
- 22 were being asked to vote on programmable CAS latency
- via a mode register in June 1992?
- A. Yes, it does.
- Q. Does this page also indicate that JC-42.3

- 1 members were being asked to vote on programmable burst
- length via a mode register in June 1992?
- A. Yes, it does. They call it wrap length, but
- 4 wrap length is the same as burst length.
- 5 Q. Okay. And if you could just flip back to page
- 6 2 of CX-252A, in the middle of the page, it reads, "If
- 7 anyone receiving this ballot is aware of patents
- 8 involving this ballot, please alert the Committee
- 9 accordingly during your voting response."
- 10 Do you see that?
- 11 A. Yes, I do.
- 12 Q. Do you have an understanding of what this
- 13 statement required of members who received the ballot
- 14 to do?
- 15 A. Yes, what this is stating is that if, up to
- this point, if you knew that you had an IP, if you had dptt, fy bers w askded th me, X-252Ath via a mod, at d theP, ind the ballot
- 9 been disclos being eAndert the befolotnow, stating the ballot

- 1 other companies had the IP, you still had the
- 2 obligation to disclose?
- 3 THE WITNESS: Both.
- 4 JUDGE McGUIRE: All right, proceed, Ms. Zuk.
- 5 MS. ZUK: Okay.
- 6 BY MS. ZUK:
- 7 Q. Now, was it typical for ballots between late
- 8 1991 through 1993 to include a statement of this kind
- 9 that requires members to indicate whether there were
- 10 patents involving a ballot?
- 11 A. Yes, it was standard practice that all ballots
- 12 included that verbiage.
- Q. And between late 1991 to 1993, was it typical
- for ballots to be distributed to all JC-42.3 members?
- 15 A. Yes, they were.
- 16 Q. And lastly, I would like to direct your
- 17 attention to CX-255.
- 18 A. Okay.
- 19 O. Are you familiar with this exhibit?
- 20 A. Yes.
- Q. Can you tell me what this exhibit is?
- 22 A. This is another committee ballot for the JC-42
- committee, this one JC-42.3-92-86, which is the
- 24 proposed standard for the DRAM function truth table.
- Q. Okay. And when was this ballot distributed to

- 1 JC-42.3 members?
- 2 A. In June of '92, the same as the others.
- Q. Now, you just described this as a truth table
- 4 or a ballot involving a truth -- an SDRAM truth table.
- 5 Can you briefly explain what an SDRAM truth table is?
- 6 A. Yes, if you go to page 3, it is a good
- 7 description of what it is. What a truth table is is a
- 8 combination of signals, and based upon the levels of
- 9 those signals, a specific operation would occur, and
- 10 the truth table outlines all the possible combinations
- of those signals and what associated operation would
- 12 occur when that combination of signals was received by
- 13 the DRAM.
- Q. And actually, if I could direct your attention
- 15 to page 3 that you just directed us to, the first line
- or the second line of the truth table reads, "Mode
- 17 register set."
- 18 Do you see that?
- 19 A. Yes.
- 20 Q. Do you have an understanding of what "mode
- 21 register set" means?
- 22 A. Yes, this means that you would have to have
- 23 this specific -- this specific -- you would have to
- 24 have this specific sequence of these signals, meaning
- 25 that chip select, RAS, CAS and write-enable, were all

- 1 low in order to program the mode register.
- Q. Now, as I understood your testimony on these
- 3 ballots, and if I could paraphrase, there was a package
- 4 ballot -- two package ballots on SDRAM?
- 5 A. That's correct.
- 6 Q. A mode register ballot --
- 7 A. That's correct.
- 8 Q. -- on SDRAM?
- 9 A. Yes.
- 10 O. And a truth table ballot on SDRAM that were
- 11 distributed to members of the JC-42.3 committee in
- 12 June -- on June 11th, 1992?
- 13 A. That's correct.
- 14 Q. I'd like to move on to JX-13.
- 15 A. Okay.
- Q. Do you have that document?
- 17 A. Yes, I do.
- 18 Q. Are you familiar with this document?
- 19 A. Yes, this is committee minutes of the JC-42.3
- 20 committee from July of '93 in Denver, Colorado.
- 21 Q. And I see that your name is on the attendance
- 22 roster of the July 21st, 1992 JC-42.3 --
- MR. STONE: Your Honor, I think the witness
- 24 probably misspoke when he identified it as 1993.
- THE WITNESS: That's correct, it is '92. I had

- 1 a hard time reading that.
- JUDGE McGUIRE: Thank you, Mr. Stone.
- 3 BY MS. ZUK:
- 4 Q. Did you attend the July 1992 JC-42.3 meeting?
- 5 A. Yes, I did.
- 6 Q. Okay. And just down the list on this -- on
- 7 page 1 of JX-13 appears Rod Crisp from Rambus. Do you
- 8 see that?
- 9 A. Yes, I do.
- 10 Q. Does that indicate that a Mr. Crisp attended
- 11 the July 1992 JC-42.3 meeting on behalf of Rambus?
- 12 A. Yes, it does. It also indicates that they
- 13 probably misspelled his name. I think his name was
- 14 Richard, not Rod.
- Q. And if you could turn to page 2 of JX-13, about
- 16 halfway down the page, there is a Dave Mooring next to
- 17 Rambus that appears on the attendance sheet on this
- 18 page.
- 19 Do you see that?
- 20 A. Yes.
- 21 O. Does this -- does this indicate that Mr.
- 22 Mooring attended this meeting on behalf of Rambus?
- A. Yes, it does.
- Q. If you could turn next to page 9 of JX-13,
- 25 which is JEDEC 14776.

- 1 reason for voting no.
- Q. Do you have a recollection that Rambus
- 3 disclosed a patent or patent application in connection
- 4 with this SDRAM packaging ballot?
- 5 A. They did not.
- 6 Q. If you could turn to the next page, which is
- 7 page 10 of JX-13, also labeled as JEDEC 14777.
- 8 A. Okay.
- 9 Q. And a little bit more than midway down the
- 10 page, the Section 16.3, which appears to refer to the
- 11 mode register ballot that we just looked at.
- 12 Do you see that?
- 13 A. Yes.
- 14 Q. Does this indicate that the mode register
- 15 ballot for SDRAM was tallied at the JC-42.3 meeting on
- 16 July 1992?
- 17 A. Yes, it does.
- 18 Q. Did anyone vote no on the SDRAM mode register
- 19 ballot?
- A. Yes, there were "no" votes.
- 21 Q. Who voted no on the SDRAM mode register ballot?
- 22 A. Compaq, Hitachi, IBM, Rambus and TI.
- Q. Did the representative from Rambus indicate why
- 24 he voted no on the SDRAM mode register ballot on July
- 25 1992?

1 A. There would be comments, but from here, I'm not

- 2 seeing the comment.
- 3 O. If I could direct your attention to the --
- 4 well, strike that, actually.
- 5 Do you have a recollection that in connection
- 6 with Rambus' "no" vote on this ballot whether Rambus
- 7 also disclosed a patent or patent application in
- 8 connection with the mode register ballot?
- 9 A. No, they didn't disclose any patents.
- 10 Q. Okay. And if you could turn to the next page,
- which is page 111 of JX-13, and it's also JEDEC 14778.
- 12 A. Okay.
- Q. Section 16.4 appears to refer to the SDRAM
- truth table ballot that we just took a look at.
- 15 A. Yes.
- Q. Does this indicate that the JC-42.3 committee
- 17 tallied the votes on the SDRAM truth table ballot at
- 18 this meeting?
- 19 A. Yes, it does.
- 20 O. Did anyone vote no on the SDRAM truth table
- 21 ballot during the July 1992 meeting?
- 22 A. Yes.
- Q. Who voted no on the SDRAM truth table ballot?
- A. Compaq, Hitachi, Rambus, Samsung and TI.
- Q. And at the bottom of this page, it reads,

1 "Rambus: Mode register not readable; bad for testing."

- 2 Do you see that?
- 3 A. Yes, I do.
- 4 O. Does this indicate that the representative of
- 5 Rambus who voted no on this SDRAM truth table ballot
- 6 provided a comment about why he voted no?
- 7 A. Yes, and these were his exact comments.
- 8 Q. Do you have a recollection that the
- 9 representative of Rambus disclosed a patent or patent
- 10 application in connection with the SDRAM truth table
- 11 ballot?
- 12 A. They did not.
- 0. Okay. Earlier you testified that Release 4,
- 14 which contained the first publication of the SDRAM
- 15 standard, was published in late 1993.
- 16 A. Correct.
- Q. After the JEDEC -- after JEDEC published that
- 18 standard, what work did it move on to next?
- 19 A. It took up several different options, mainly
- looking at the next standard, the next generation of
- 21 memory.
- Q. Now, earlier you testified that you were the
- 23 official representative from Micron at JEDEC in --
- 24 starting in 1991 to 1993.
- 25 A. Yes.

1 Q. Were you no longer the official representative

- of Micron after 1993?
- A. That's correct. Sometime in '93, I -- Bob
- 4 Fusco I think initially was made the official JEDEC
- 5 rep, and then after him, it was Terry Walther.
- 6 O. Are you familiar with burst EDO DRAM?
- 7 A. Yes, I am.
- 8 Q. What is burst EDO DRAM?
- 9 A. It's an asynchronous DRAM based upon a page
- 10 mode DRAM that allows multiple data items to be able to
- 11 be presented at the outputs when you toggle CAS,
- meaning that you don't have to give it a column address
- 13 for every data item that comes out.
- Q. Now, you mentioned page mode DRAM. Can you
- 15 briefly describe what that means?
- 16 A. What page mode DRAM is is that the DRAM is
- 17 architected into what's called pages of a specific page
- 18 size, and if you continue to access the DRAM within the
- 19 page, you're able to get data out faster than if you
- 20 moved from page to page. So, page mode DRAM was the
- 21 typical DRAM architecture at this specific point in
- 22 time.
- Q. Okay. Were you responsible for managing burst
- 24 EDO DRAM products at Micron?
- 25 A. I was the application manager. I didn't manage

1 the products, but I managed a group of application

- 2 engineers in the '91 to '94 time frame, '95 time frame.
- Q. What were you trying to do at Micron with burst
- 4 EDO DRAM?
- 5 A. It started with our meetings with some
- 6 customers who wanted to get faster access out of the
- 7 DRAM in order to meet the requirements of the processor
- 8 as the processor speeds were increasing, and it was
- 9 clear that past page mode DRAMs were not able to keep
- 10 up, so with some meetings with customers, we came up
- 11 with the idea to make burst EDO DRAM, and it allowed
- 12 data to stream out of the device faster than it would
- 13 with a page mode DRAM.
- So, the goal was to get better speed out of the
- DRAM, get more data items out quicker than were -- at
- that time was available in page mode DRAMs.
- 17 O. What are the names of some of the DRAM
- 18 customers you met with about burst EDO DRAM?
- 19 A. It would have been almost all of the prevalent
- 20 computer customers at that time. It would have been
- 21 like Compag, HP, Dell, IBM, DEC, most of them, Sun.
- 22 Q. And what would typically happen at these
- 23 meetings that you had with customers about burst EDO
- 24 DRAM?
- 25 A. I'd have a presentation that would explain the

- 1 differences between burst EDO and EDO, and then --
- 2 DRAMs, and then going to how we believe its cost would
- 3 compare to an EDO DRAM, and when we thought we would be
- 4 able to bring it to market, and then try to see what
- 5 their requirements were, just see if there was a match
- 6 between what we thought we could do and what they were
- 7 going to require in their next systems.
- 8 Q. Did the topic of intellectual property ever
- 9 come up during these meetings that you had with
- 10 customers on burst EDO DRAM?
- 11 A. Not specifically with customers; however, the
- 12 customers were very concerned, like they always are, to
- ensure that you've got multiple sources and that
- 14 they're not locked into a proprietary product where
- 15 then you can charge whatever you want. They want to
- make sure that there's a plentiful supply and that
- 17 you're able to -- they can get it from everybody.
- 18 Keeping the cost low of the DRAM was the goal.
- 19 So, I was working mostly with a lot of the
- 20 other memory manufacturers and chipset makers to ensure
- 21 that there was broad support for the product, both with
- 22 other memory manufacturers as well as chipset makers,
- and definitely with the memory manufacturers, there was
- some concern about intellectual property, and at that
- time, I let them know that, yeah, we have patents on

- 1 burst EDO -- we don't have patents, we were filing
- 2 patents on burst EDO but assured them that they would
- 3 have a free use of those patents if they decided to
- 4 support it.
- Q. And just so we get the time period that this is
- 6 happening clear, when were you actively meeting with
- 7 DRAM customers to talk about burst EDO?
- 8 A. This is in the '9 -- late '93, '94, early '95
- 9 time frame.
- 10 Q. Okay. Now, you testified just a moment ago
- 11 that after 1993, you were not an official JEDEC
- 12 representative for Micron; however, did you attend any
- meetings of JC-42.3 or other committees after 1993?
- 14 A. After I was no longer the JEDEC rep, I attended
- 15 a couple meetings to talk about burst EDO, at least one
- 16 that I remember.
- 17 Q. Okay. I'd like to direct your attention to
- 18 JX-23. Do you have that in front of you?
- 19 A. Yes, I do.
- Q. Are you familiar with this exhibit?
- 21 A. Yes, I am.
- Q. Can you explain what this exhibit is?
- 23 A. Yeah, these are meeting minutes of the JC-42.3
- 24 committee that was held on January of '95 in San Jose.
- Q. Okay. And I see that there are two Micron

1 individuals listed on the attendance roster at this

- 2 meeting. I see that you're there and also an R. C. --
- 3 I'm sorry, a Bob Fusco.
- 4 Do you see that?
- 5 A. Yes.
- Q. Does this indicate that you attended the
- 7 January 17th, 1995 JC-42.3 meeting?
- 8 A. Yes.
- 9 O. Did Bob Fusco -- or Bob Fusco also attend the
- 10 JC-42.3 meeting in January 1995?
- 11 A. Yes, he did.
- Q. And just down the page, there appears a Craig
- 13 Hampel next to Rambus. Do you see that?
- 14 A. Yes.
- Q. Does this indicate that a representative from
- Rambus attended the January 1995 JC-42.3 meeting?
- 17 A. Yes, it does.
- 18 Q. Okay. If you could turn to page 68, which is
- 19 also JEDEC 16293.
- 20 A. Okay.
- 21 Q. Are you familiar with this page of Exhibit 23?
- 22 A. Yes.
- Q. Can you tell the Court what this page is?
- A. Yes, this is a presentation that I put together
- 25 for the -- for various reasons, but this was also shown

1 to the committee as a description of burst EDO

- 2 operation.
- O. Okay. What, if anything, did you propose with
- 4 respect to how CAS latency would be determined in burst
- 5 EDO DRAM at this meeting?
- 6 A. Actually, that's described on the next page
- 7 where CAS latency is fixed, not programmable.
- 8 Q. Okay. And what, if anything, did you propose
- 9 with respect to the burst length and how that would be
- 10 determined in burst EDO DRAM?
- 11 A. The burst was also fixed to a four-cycle burst.
- 12 Q. Okay. At the time of your presentation at the
- January 1995 JC-42.3 meeting, had you analyzed how the
- 14 performance of a burst EDO part would compare with an
- 15 SDRAM part?
- 16 A. I don't know if I made the comparison
- 17 specifically prior to this meeting, but at some time,
- 18 yes, I did do that comparison.
- 19 O. And what did you do to analyze the performance
- of a burst EDO DRAM as compared to an SDRAM?
- 21 A. Took a look at their access cycles and compared
- that in a typical system to see what the comparative
- 23 performance would be.
- Q. Okay. And based on that and your analysis, how
- 25 did you expect the performance of a burst EDO DRAM part

- 1 to compare with an SDRAM part?
- 2 A. At this point in time, at 66 megahertz, which
- 3 was the prevalent data rate at that time, it would be
- 4 equal or better than SDRAM based upon its lower
- 5 latency.
- 6 Q. What was your understanding of the potential
- 7 performance of a burst EDO DRAM?
- A. At this time, as we were looking at coming to
- 9 market with a part, SDRAM had moved or was in the
- 10 process of moving from 66 megahertz up to 100
- 11 megahertz, and the committee was undertaking work from
- 12 66 megahertz to 100 megahertz -- actually it could have
- happened prior to this, but -- so, we knew we had -- if
- 14 we were going to be competitive, we had to be able to
- 15 support the data rate.
- And we had looked at 75 megahertz, we were
- 17 easily at 75 megahertz and could achieve that, and
- 18 actually had the design engineer looking at the lab,
- 19 and we had it cycling at 125 megahertz, and so that
- 20 gave me the indication that we had for this part to at
- 21 least go to 125 megahertz.
- Q. And if I could introduce CX-2632, which should
- 23 be in your set of documents.
- 24 A. Okay.
- Q. Are you familiar with this document?

- 1 A. Yes, I am.
- 2 O. What is this document?
- 3 A. This is a quarterly document that is -- was
- 4 issued by Micron for design engineers where various
- 5 articles of new technologies or tech -- how to use the
- 6 technologies and devices would appear, and on this
- 7 specific one, the front page was my article that I
- 8 wrote for this specific Design Line on burst EDO.
- 9 Q. If you could turn to page 5 of CX-2632, and the
- sentence at the bottom left column of this page reads
- in part, "Burst EDO is currently being specified for 75
- 12 megahertz operation. This specification will be
- 13 available shortly. The cycle time of burst EDO is not
- 14 an issue -- burst EDO is currently cycling at 125
- 15 megahertz over temperature and voltage."
- 16 Do you see that?
- 17 A. Yes, I do.
- 18 Q. And was this consistent with your experience of
- 19 how burst -- what the current cycling potential of
- 20 burst EDO at the time this document was written was --
- 21 was achieving?
- 22 A. Yes, it was. There were some naysayers of
- 23 SDRAM components that said that burst EDO couldn't keep
- up with it, and this was showing that yeah, it did. In
- 25 fact, we were cycling in the 125 megahertz not at room

- 1 temperature, but over voltage and temperature where
- 2 various parameters would slow down, and so it was
- 3 showing good head room.
- 4 Q. In general terms, can you explain what
- 5 ultimately happened to burst EDO?
- 6 A. Synchronous DRAM gained sufficient momentum in
- 7 the industry that burst EDO was not accepted even
- 8 though there were many suppliers that had it on their
- 9 parts, there were many chipset manufacturers that had
- 10 support for it. It just did not emerge in the market
- 11 as a mainstream part. Synchronous DRAM -- the momentum
- behind the Synchronous DRAM actually killed burst EDO.
- 13 Q. And based on your understanding and experience
- 14 with burst EDO, did you have an understanding that if
- 15 burst EDO were chosen at that time, improvements could
- 16 have been made to burst EDO?
- 17 A. Yes, and in fact, even in this document, we
- 18 thought that right around 120 -- 100 megahertz,
- 19 somewhere in that area, it would need to have more work
- done on it to increase the performance beyond that,
- 21 whether that was changing the I/O signaling levels or
- 22 whatever, there would be more work that needed to be
- done, and actually I indicated that on page 5 of that
- same document. So, we knew that more work needed to be
- done on it. Where ultimately it could have gone would

- just be based upon the work that we did.
- Q. In the 1993 time frame, did you ever try to
- 3 compare SDRAM to Rambus DRAM?
- A. Yes, I did.

1 O. Now, what were you trying to do on this page?

- 2 A. What I was trying to do is take a look at what
- 3 Rambus was saying in their literature and in the
- 4 industry as what they thought their advantages were
- 5 over SDRAM and try to refute those advantages.
- 6 Q. Okay. And if you could look at the sixth
- 7 bullet point on this page, it reads, "Uses both edges
- 8 of the 250 megahertz clock."
- 9 Do you see that?
- 10 A. Yes.
- 11 Q. What did you mean when you included this bullet
- 12 point on this page?
- 13 A. That the Rambus DRAM actually used two edges of
- 14 the clock versus the single edge that was being used in
- 15 the SDRAM.
- Q. And how did you know that?
- 17 A. From the documentation that Rambus was
- 18 providing in the industry.
- 19 O. Did anyone at Rambus ever tell you that they
- 20 had intellectual property on using both edges of the
- 21 clock?
- 22 A. No.
- Q. Did you ever show a representative from Rambus
- 24 your presentation on sync -- Synchronous DRAMs versus
- 25 Rambus DRAM?

1 A. No, I didn't.

1 Q. If you could turn to page 6 of Respondent's

- 2 Exhibit 2250.
- 3 A. Okay.
- 4 O. Now, was this page a page from the exhibit that
- 5 we just looked at, which was Respondent's Exhibit 340?
- 6 A. Yes.
- 7 O. Okay.
- 8 A. With the Rambus mark-ups.
- 9 Q. Now, what were you doing on this page of your
- 10 presentation?
- 11 A. On the original presentation, what I was trying
- 12 to show was the advantages of Sync DRAM versus Rambus,
- both from a royalty standpoint, from a standardization
- 14 standpoint, and from a bus length standpoint.
- 15 Q. Okay. And underneath Sync DRAM, the first
- bullet point reads, "No royalties, industry standard
- 17 part."
- 18 Do you see that?
- 19 A. Yes.
- Q. Why did you include that in your original
- 21 presentation?
- 22 A. Because at this time it was known that on the
- 23 Rambus DRAM, there were royalties associated with it,
- and this was a big sticking point with all memory
- 25 manufacturers to ensure that the DRAM is as cheap as

1 possible, and so what I was pointing out here is that

- with Sync DRAM, it's an industry standard, it's not a
- 3 proprietary part and required no royalties.
- Q. Now, there is an arrow that points up to this
- 5 bullet point that you made, and it's at the bottom of
- 6 the page, and it reads, "Motorola and other have SDRAM
- 7 patents."
- 8 Do you see that?
- 9 A. Yes.
- 10 Q. Does this indicate that Mr. Crisp made a
- 11 comment with respect to no royalty -- your no
- 12 royalty -- no royalties point about Sync DRAM?
- 13 A. Yes, he was trying to indicate that he
- 14 believed -- and I believe at this time at JEDEC, some
- 15 information came forth that Motorola might have an
- 16 SDRAM -- a patent on synchronous devices that might
- apply, and I think he was trying to point that out.
- Q. Now, he -- Mr. Crisp also mentions "other have
- 19 SDRAM patents."
- 20 Do you see that?
- 21 A. Yes.
- 22 Q. Did Mr. Crisp ever indicate who those other
- 23 people -- other companies might be that had IP that
- 24 contradicted your point that Sync DRAMs had no
- 25 royalties?

- 1 A. No, I just took this comment as trying to state
- 2 that, you know, there could be some other royalties
- 3 associated with it based upon the work that was being
- 4 done at JEDEC, and I believe we were then able to
- 5 determine what he states here, that the Motorola having
- 6 patents, they actually did not apply to Sync DRAM.
- 7 O. I just need a moment to gather my thoughts.
- 8 Okay, if you could turn to page 2 of
- 9 Respondent's Exhibit 2250.
- 10 A. Okay.
- 11 Q. Now, this looks an awful lot like the page we
- 12 just looked at in your presentation on Sync DRAM versus
- 13 Rambus. Is this the page that -- a version of the page
- that we were just looking at?
- 15 A. Yes, it is, with the Rambus comments.
- Q. Okay. And we looked at -- recently at bullet
- 17 point six of your presentation, and -- which states,
- "Uses both edges of the 250 megahertz clock."
- 19 Do you see that?
- 20 A. Yes.
- 21 Q. Did Mr. Crisp have anything to say about your
- 22 statement on this page about Rambus?
- 23 A. Yes, it's down at the bottom. He's stated
- 24 that, "Rambus has patented techniques for synthesizing
- up to 50% duty cycle on the chip, "which I took to mean

1 that, okay, they have some sort of patented technique

- on RDRAM that has to do with synthesizing the duty
- 3 cycle.
- 4 O. Did you also take that to mean that Rambus had
- 5 intellectual property over using both edges of the
- 6 clock?
- 7 A. No, I did not. I would have assumed that if he
- 8 meant that they had specific IP dealing with dual edge
- 9 clock, he would have stated such.
- 10 Q. Okay. Do you have an understanding of what a
- 11 duty cycle is?
- 12 A. Yeah, a duty cycle means that a certain portion
- of the time, the clock cycle is high, and the other
- 14 portion of the time, the clock cycle is low. So, based
- upon that percentage between the two, that's your duty
- 16 cycle.
- 17 Q. And what is a 50 percent duty cycle?
- 18 A. It means that it's pretty tightly controlled
- 19 between the -- the high time and the low time is very
- 20 closely matched to each other, being 50 percent high,
- 21 50 percent low.
- Q. Do you have an understanding of why it might be
- useful to achieve a 50 percent duty cycle?
- A. Yes, and in fact, in my presentation, I talk
- about that's one of the biggest problems with the

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JUDGE McGUIRE: Entered.
1
          (CX Exhibit Number 254 was admitted into
2
3
  evidence.)
4
           MS. ZUK: And then CX-252A.
5
           MR. STONE: No objection.
6
           JUDGE McGUIRE: Entered.
7
           (CX Exhibit Number 252A was admitted into
8
  evidence.)
9
           MS. ZUK: CX-255.
10
           MR. STONE: No objection.
11
          JUDGE McGUIRE: Entered.
          (CX Exhibit Number 255 was admitted into
12
13 evidence.)
           MS. ZUK: CX-2612X
14
                                 13 evidence.)
14
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JUDGE M2GUIRE: Entered.

2 8 evidence.)

11

- 1 JUDGE McGUIRE: Entered.
- 2 (JX Exhibit Number 23 was admitted into
- 3 evidence.)
- JUDGE McGUIRE: All right, thank you, Ms. Zuk.
- 5 MR. STONE: What would you like -- would you
- 6 like me to go for 15 or 20 minutes and then take the
- 7 noon break, would that work?
- JUDGE McGUIRE: You know, I think it would
- 9 almost be better at this point if we just take off now
- 10 at this point for lunch and come back unimpeded.
- MR. STONE: Okay.
- JUDGE McGUIRE: It's quarter to 12:00. Why
- don't we come back at 1:20, is that good for everybody?
- 14 MR. STONE: That's fine.
- JUDGE McGUIRE: This hearing is recessed until
- 16 1:20. Thank you.
- 17 (Whereupon, at 11:45 a.m., a lunch recess was
- 18 taken.)
- 19
- 20
- 21
- 22
- 23
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- 25

- 1 AFTERNOON SESSION
- 2 (1:20 p.m.)
- JUDGE McGUIRE: This hearing is now in order.
- 4 At this time we will entertain the cross
- 5 examination of the witness.
- 6 Mr. Stone?
- 7 MR. STONE: Thank you, Your Honor.
- 8 CROSS EXAMINATION
- 9 BY MR. STONE:
- 10 Q. Good afternoon, Mr. Williams.
- 11 A. Good afternoon.
- 12 Q. You told us a little earlier today that the
- marked-up copy of this presentation on Rambus versus
- 14 SDRAM, you told us the marked-up copy, Exhibit RX-2250,
- was a marked-up version of RX-340.
- 16 Do you recall that?
- 17 A. Yes.
- 18 Q. Why do you think -- what made you come to the
- 19 conclusion that RX-2250 was a marked-up version of
- 20 RX-340?
- 21 A. Because they looked very similar.
- 22 Q. They're different, though, aren't they?
- 23 A. I'm not aware of that if they are.
- Q. Well, I mean, didn't you -- you looked at these
- 25 before you came here today to testify, right?

- 1 A. Yes.
- Q. You worked on -- you have two of your lawyers
- 3 here today?
- 4 A. Yes.
- 5 Q. You worked with them to get ready?
- 6 A. Yes.
- 7 Q. You knew you were going to be asked a question
- 8 about these two documents, didn't you?
- 9 A. Yes.
- 10 Q. You talked with Ms. Zuk before you testified?
- 11 A. Yes.
- Q. So, with all those people to help you, you tell
- 13 us that 2250 is a marked-up version of 340, correct?
- 14 That's what you told us?
- 15 A. Yes, and --
- 16 Q. Okay, look at page 12 of Exhibit 340.
- 17 A. 340, page 12. Okay.
- 18 Q. Okay, do you have page 12 of Exhibit 340?
- 19 A. Yes, I do.
- Q. And what is the seventh bullet point down, what
- 21 does it say?
- A. On the left or on the right?
- Q. On the right.
- A. "Compensate for narrowed bus through --"
- Q. No, the seventh.

- 1 A. "Not likely requires royalties to Rambus,
- 2 Incorporated from all IC manufacturers; JEDEC will not
- 3 pass."

1 Q. I'll show you what the differences are.

- A. Okay.
- 3 Q. We'll get there.
- 4 Turn, if you would, to -- in 2250, the
- 5 marked-up version, go to page 9.
- 6 A. Okay.
- 7 O. In the handwriting on the left-hand side it
- 8 says, "It's October. Approval has been imminent all
- 9 year." Correct?
- 10 A. Okay, there we go. Yeah, that's the Rambus
- 11 notation.
- 12 Q. Yeah, the Rambus notation. "It's October."
- 13 A. That's right.
- 14 Q. Now, the exhibit you showed us that you said
- 15 had been a marked-up version was dated November, wasn't
- 16 it?
- 17 A. Correct.
- Q. So, the marked-up version was earlier than the
- 19 November one, right?
- 20 A. Correct.
- 21 Q. Okay. So, your testimony earlier today was
- 22 incorrect. Am I correct?
- 23 A. It was incorrect that Exhibit 340 came later
- than the marked-up version; however, I had done earlier
- versions of the same work in 340.

- 1 Q. No, you gave this presentation a lot.
- 2 A. Yes.
- Q. You gave it to customers.
- 4 A. Yes.
- 5 Q. You gave it to your sales force.
- 6 A. Yes.
- 7 Q. The effort was to give information to your
- 8 sales force that they could use to sell SDRAM in
- 9 competition with Rambus, correct?
- 10 A. Or at least know the differences between the
- 11 two.
- 12 Q. And the reason you wanted them to know the
- differences was so they could sell SDRAM, right?
- 14 A. At that point in time, we were not making
- 15 SDRAM, so I just wanted -- this was for a technical
- 16 comparison. So, it was not to -- mainly to the sales
- force, more to the application unit is where I applied
- 18 this.
- 19 O. And you wanted to enable your sales force and
- 20 your customers to make a decision as to which to choose
- 21 ultimately when they were both in the market?
- 22 A. I would think so, yes.
- Q. And your preference was that customers choose
- 24 SDRAM, correct?
- 25 A. At that point in time, yes.

For The Record, Inc.

- 1 O. And it's been true ever since that point in
- time that you wanted them to choose SDRAM over Rambus,
- 3 correct?
- A. Ultimately, whatever our customers wanted, we'd
- 5 produce.
- 6 Q. But you never produced RDRAM, did you?
- 7 A. We didn't get to the full production stage, no.
- 8 Q. And Dell wanted you to produce RDRAM, didn't
- 9 it?
- 10 A. If they wanted us to produce it, we would have
- 11 done it.
- 12 Q. And you promised them that you would produce
- it, but you didn't, correct?
- 14 A. That I'm not aware of.
- 15 Q. Michael Dell wrote to Steve Appleton and said,
- we need you to hurry up and get us the RDRAM, and Steve
- 17 Appleton said that he would, but you never produced it,
- 18 did you?
- 19 MS. ZUK: Objection, calls for speculation.
- JUDGE McGUIRE: Counsel, if you are going to
- offer any objection, I want you to stand.
- Now, what's your objection?
- MS. ZUK: The respondent has not established
- 24 any foundation to allow Brett Williams to talk about
- 25 these issues.

- JUDGE McGUIRE: Mr. Stone, response?
- 2 MR. STONE: Yes, he testified earlier that Dell
- 3 was one of his customers, Your Honor. Michael Dell is
- 4 the chairman and founder of Dell. He said he was aware
- of their needs and what they did to meet their needs.
- 6 JUDGE McGUIRE: But that doesn't go to speak to
- 7 the point you just made that the chairman was -- in
- 8 fact, had done what you had indicated. There's some
- 9 causal connection there, but I don't see it, so
- 10 sustained, and you can restate.
- 11 BY MR. STONE:
- 12 Q. Steve Appleton is -- holds a position at
- 13 Micron, correct?
- 14 A. Correct.
- 15 Q. What's his position?
- 16 A. He is the chairman, CEO.
- 17 Q. And you know him?
- 18 A. Yes.
- 19 Q. And he gets involved with major customers,
- 20 doesn't he?
- 21 A. Yes.
- 22 Q. And there has been a period of several years
- when the largest single customer for DRAMs manufactured
- 24 by Micron was Dell, correct?
- 25 A. I believe that's so.

1 Q. And that's something that's talked about widely

- 2 within the company.
- A. I would think so.
- 4 O. And there was a time when Dell was your
- 5 customer, correct?
- 6 A. When you say my customer, do you mean --
- 7 O. You had responsibilities for providing
- 8 technical advice and engineering to Dell in connection
- 9 with products that they were purchasing or thinking of
- 10 purchasing.
- 11 A. Yes, that's true.
- 12 Q. Okay. And you know that from time to time
- 13 Steve Appleton had communications with Michael Dell
- about products that Micron hoped to sell to Dell,
- 15 correct?
- 16 A. Typically I'm not privy to those discussions.
- 17 Q. But you knew they occurred, didn't you?
- 18 MS. ZUK: Objection, lacks foundation.
- 19 JUDGE McGUIRE: Response?
- 20 MR. STONE: It's the only way I can find out
- 21 whether he knew it or not is to ask him, Your Honor.
- 22 It's proper cross examination.
- JUDGE McGUIRE: All right, overruled.
- 24 THE WITNESS: Not necessarily. In fact, that
- 25 specific letter you're referring to or the comment I

- 1 have never even heard of.
- 2 BY MR. STONE:
- Q. Okay, but you have heard of some communications
- 4 between Mr. Appleton and Mr. Dell?
- 5 A. I couldn't state exactly what those were, and
- 6 it wouldn't be direct anyway.
- 7 Q. And you knew -- and you knew, didn't you, that
- 8 Dell had placed an order with Micron for RDRAM?
- 9 A. No, I didn't know that.
- 10 Q. Did you know that Micron never manufactured and
- 11 sold RDRAM?
- 12 A. Yes.
- 13 O. Okay.
- 14 JUDGE McGUIRE: Off the record a second.
- 15 (Discussion off the record.)
- 16 JUDGE McGUIRE: On the record.
- 17 BY MR. STONE:
- Q. Mr. Williams, let me show you what has
- 19 previously been marked as RX-317.
- 20 Do you want a copy?
- 21 JUDGE McGUIRE: No, I don't need it. I don't
- 22 need it if it's going to be on the ELMO.
- MR. STONE: Okay.
- 24 BY MR. STONE:
- Q. Directing your attention to the first page of

1 RX-317, is this the cover of a presentation that you

- 2 gave at a sales meeting in August of 1992?
- 3 A. Yes, it is.
- 4 O. And in this document, turn, if you would, to
- 5 page 12. Do you have page 12 in front of you?
- 6 A. Yes, I do.
- 7 O. And does that have on it at bullet point seven
- 8 the language that appears on RX-2250, the one with the
- 9 handwritten notations?
- 10 A. I don't know if we're on the same page. I only
- 11 see four bullet points here. Is it page 12 of 34 or --
- 12 Q. You know what, the way it's numbered for some
- reason, it's going to say page 8 of 34, but it's slide
- 14 number 12.
- 15 A. Okay, all right.
- 16 Q. I can't --
- 17 A. That's what was up on the monitor, this --
- 18 okay, yes.
- 19 Q. So, you have the slide number 12?
- 20 A. Yes.
- 21 Q. And if you look at the seventh bullet point,
- 22 this has the identical language to the one that we
- looked at in 2250 with the handwritten notations, does
- 24 it not?
- 25 A. Correct.

1 O. Okay. So, based on the kind of comparison you

- 2 did before you testified today, which is looking back
- 3 at the language, doesn't it now appear that what was
- 4 marked as 2250 is a marked-up version of some of the
- 5 slides from what I've shown you as Exhibit RX-317?
- A. On that specific slide, yes, but even then I
- 7 made several revisions, so I couldn't even testify that
- 8 they're exactly the mark-up of this, but a version of
- 9 this, I could.
- 10 Q. Okay, so we're at least back in time, you would
- 11 agree, that the handwritten notations were made prior
- to November of 1992?
- 13 A. Yes.
- Q. Okay. And you were given this handwritten
- document at the December 1992 JEDEC meeting, weren't
- 16 you?
- 17 A. I'm not sure which JEDEC meeting it was, but it
- 18 was at a JEDEC meeting, yes.
- 19 Q. And are you clear in your recollection that you
- 20 were given it by Mr. Crisp rather than by Mr. Mooring?
- 21 A. Yes.
- 22 Q. And do you recognize the handwriting on this
- 23 document?
- A. I do not recognize the handwriting on the
- 25 document.

1 Q. Do you know whether it's Mr. Mooring's

- 2 handwriting on Mr. Crisp's?
- 3 A. I do not know.
- Q. So, when you talked earlier about it, "Crisp,
- 5 Crisp, Crisp, " in reference to the handwritten notes,
- 6 you don't know that they're his or not?
- 7 A. I inferred they were his since he gave me the
- 8 document.
- 9 Q. And you're sure you didn't get it or discuss it
- 10 with Mr. Mooring?
- 11 A. Absolutely.
- 12 Q. Okay, but you remember him being at the meeting
- in December of 1992?
- 14 A. Ah, was it -- I remember him being at several
- 15 meetings, yes.
- 16 Q. Because earlier today you were shown some
- 17 minutes that had his name on it as an attendee. Do you
- 18 recall that?
- 19 A. Yes, yes.
- 20 O. And was one of those for December '92?
- 21 A. Yes.
- Q. Okay. As of December of 1992, did you know
- 23 that the design of RDRAM included variable latency?
- A. No, I didn't.
- Q. Did you know that it included programmable

- 1 burst length?
- 2 A. No, I did not.
- Q. Well, when you wrote in your chart -- and we
- 4 can look at any one of the versions. Let's take 340,
- 5 the one you looked at -- is that handy?
- 6 A. Yes.
- 7 Q. Let's just look at 340, the one you looked at
- 8 earlier today with Ms. Zuk. If you go to slide number
- 9 11, and it says, "Requires 256-byte block," do you see
- 10 that?
- 11 A. Yes.
- Q. And then a couple further down, it talks about
- 13 smaller blocks reduce data rate.
- 14 A. Yes.
- Q. Does that indicateou s eateou c eateou c eooked

- 1 A. No, not data sheets, from their marketing data.
- 2 Q. Okay. And when you wrote -- and we can just
- 3 stay on that slide 11 -- when you wrote that it uses
- 4 both edges of the 250-megahertz clock, you understood
- 5 that to be what's called dual edge clocking, correct?
- 6 A. I understood that they used two edges of the
- 7 clock.
- Q. Okay. And when you wrote "clock skew
- 9 (edge-to-edge) big problem, " what did you mean?
- 10 A. That it was hard to control both edges of those
- 11 clock, and that in a system design was a big problem.
- 12 Q. And how did Rambus control both edges of the
- 13 clock?
- 14 A. I don't know specifically how they did that.
- 15 Q. Do you know today?
- 16 A. Not specifically, no.
- 17 Q. How does Micron control possible skew when they
- 18 use two edges of the clock today?
- 19 A. I am not a designer, but I've got an idea of
- 20 how they do it.
- Q. PLL or DLL on the chip, correct?
- 22 A. That's how, yes, I believe we do it on the
- 23 SDRAM.
- Q. Look at the handwritten version of this page,
- if you would. That is RX-2250, page 2.

- 1 A. Okay.
- Q. It says, looking at these same two bullet
- 3 points, uses both edges of the clock and clock skew,
- 4 there is a comment and an arrow drawn to each of those
- 5 two bullet points, correct?
- 6 A. Yes.
- 7 O. And the uses both edges of the 250-megahertz
- 8 clock has a comment drawn to it which says, "Rambus has
- 9 patented techniques for synthesizing up the 50% duty
- 10 cycle on chip, " correct?
- 11 A. Yes.
- 12 Q. Now, that's not drawn to the bullet point that
- 13 talks about clock skew, is it?
- A. No, it's not.
- 15 Q. It's not drawn to the bullet point that talks
- about the difficulty in finding a way to deal with the
- 17 50 percent duty cycle of a full clock cycle, does it?
- 18 A. Correct.
- 19 Q. Okay. So, the patented techniques is drawn to
- 20 the bullet point that talks about dual edge clocking.
- 21 A. It points to that bullet, that's correct.
- 22 O. And your understanding at the time was such
- 23 that you looked at that and you said, okay, I know they
- have a patented techniques comment that's drawn to the
- 25 bullet point that says both edges of the clock, but my

- 1 understanding of that is that the patented techniques
- 2 apply to something other than the use of both edges of
- 3 the clock, correct?
- 4 A. I didn't really take an inference from that,
- 5 just that whatever the patented technique was, it had
- 6 to do with synthesizing the duty cycle.
- 7 Q. And the duty cycle has to do with addressing
- 8 the problem of skew when you use both the rising and
- 9 falling edges of the clock, correct?
- 10 A. Or skew between two clocks or skew on the clock
- 11 itself.
- Q. Okay. And skew is addressed in the very next
- 13 bullet point, isn't it?
- 14 A. Yes.
- 15 Q. And this comment about patented techniques
- 16 wasn't drawn to the clock skew point, was it?
- 17 A. It wasn't, but --
- 18 Q. Okay.
- 19 A. -- I didn't infer that much from whe e e e e ky.

- 1 O. And what's TTL?
- 2 A. TTL is an interface standard.
- Q. Up above on the same page, you had written that
- 4 the innovative packetized address/command, in the Hype
- 5 column, you then said it's not a new idea, many buses
- 6 use this, such as Multibus II, ethernet, TCP/IP, et
- 7 cetera, correct?
- 8 A. Correct.
- 9 Q. And that made you think that Rambus couldn't
- 10 have a patent on their innovative packetized
- 11 address/command structure because it was not a new
- 12 idea, right?
- 13 A. No. I was just detailing what Rambus was
- 14 touting -- in fact, in this whole discussion, I didn't
- 15 address patents whatsoever. It was never in my mind to
- 16 try to figure out whether Rambus had patents on some of
- 17 this or not. From their marketing data, I was
- detailing the Rambus marketing talking points and
- 19 trying to refute those talking points.
- Q. Well, if Rambus didn't have patents, why did
- 21 you think that system manufacturers were going to have
- 22 to pay them royalties?
- 23 A. I did not -- I know that Rambus' tech --
- 24 Rambus' business plan was to patent a technology;
- 25 however, I did not know on exactly what they had

- 1 patents on.
- Q. Well, why did you say that royalties would be
- 3 required to be paid by system manufacturers if you
- 4 didn't think they had any patents?
- 5 A. I never said that I didn't think they had any
- 6 patents.
- 7 Q. Okay, you did think they had patents --
- 8 A. It was known that they had patents. Upon what
- 9 those patents were based, I did not know.
- 10 Q. Okay. So, in the time frame of
- 11 August-September-October of 1992, you knew Rambus had
- patents or at least had applied for them, correct?
- 13 A. Or that that was their business model more
- 14 than -- I did not know -- to correct myself, I did not
- 15 know whether they had patents or applied for them. I
- knew it was their business model to patent their
- technology, and that's how they would gain their
- 18 revenues.
- 19 O. Isn't it a general practice of Micron to
- 20 regularly search the patent office files to determine
- 21 who has patents in the fields that you do business in?
- 22 A. I'm not aware of that.
- Q. Had you ever been told by anybody when they
- 24 first saw patents issued to Rambus?
- 25 A. I'm sorry, say that again.

1 O. Had you ever been told by someone else at

- 2 Micron when they first saw patents that had been issued
- 3 to Rambus?
- 4 A. No.
- 5 Q. Does Kevin Ryan work for you? Did he at the
- 6 time, '95-'96?
- 7 A. No, not in that time frame.
- 8 Q. When did he report to you?
- 9 A. Earlier than the '9 -- let's see, when did he
- join? Probably in '91-'93 time frame.
- 11 Q. And did you report to Mr. Cloud up until the
- 12 time of his retirement?
- 13 A. Maybe not the total time before his retirement,
- 14 but for most of the time before he retired I did, yes.
- Q. Okay. Turn, if you would, to -- we're on
- 16 Exhibit 2250, and turn to the third page of that
- document, which is slide number 12. In the upper
- 18 left-hand corner, the handwritten notation says,
- 19 "Rambus provides a 'cookbook' system solution."
- 20 Do you see that?
- 21 A. Yes.
- Q. Did you at this time understand that it was
- 23 part of Rambus' business plan not just to apply for
- 24 patents, but also to provide a cookbook to its
- customers so that they would be able to manufacture the

- 1 product and make use of the Rambus technology?
- 2 A. I don't believe I knew that at this time.
- Q. Okay. So, the first time you heard that was
- 4 when you got this handwritten note?
- 5 A. Yes, and I didn't know exactly what that meant.
- 6 Q. Did you ask Mr. Crisp or Mr. Mooring what these
- 7 notes meant?
- 8 A. No.
- 9 Q. After you got these notes and had a chance to
- 10 look at them, did you pick up the phone and call them?
- 11 A. No.
- 12 Q. You had known Mr. Mooring from your days at
- 13 Intel, right?
- 14 A. I did.
- 15 Q. And you had called on Mr. Crisp when he had
- been a customer, correct?
- 17 A. Correct.
- 18 Q. And was there any reason that you would have
- 19 not called them if you had wanted to find out more
- 20 information?
- 21 A. If I wanted to find out more information, yeah,
- 22 I probably would have called them, but I didn't.
- Q. Okay. Look at the -- we've been -- we've
- 24 focused a lot or I drew your attention, at least, to
- 25 the seventh bullet point on the right-hand side, and

- 1 the language in this particular version which says,
- 2 "Requires royalties to Rambus from both standard, the
- 3 IC and system manufacturers."
- 4 Do you see that language?
- 5 A. Yes.
- Q. What's that mean, "from both standard, the IC"?
- 7 A. I think it is a typo. I think it should say,
- 8 "both standard, IC and system manufacturers."
- 9 Q. Okay. So, the word "the" should just be taken
- 10 out?
- 11 A. I believe so.
- 12 Q. And you can see one of the things that's
- 13 written in hand is somebody wrote sort of lines around
- 14 the letters "system manufacturers" and then brought it
- down to a comment which says, "Not true," correct?
- 16 A. Correct.
- Q. And isn't it correct -- and you can look at all
- 18 the versions you wrote of this document -- but isn't it
- 19 correct that after you received these handwritten
- 20 comments from either Mr. Crisp or Mr. Mooring where
- 21 they said it's not true that royalties from system
- 22 manufacturers would be required, you dropped that
- language from all your subsequent slides?
- A. Based upon what I'm seeing here, it is not in
- 25 the subsequent slides, so it looks like it was dropped.

1 Q. And the reason you dropped it was because of

- the information they provided you, correct?
- 3 A. I'm not sure if it was that information or
- 4 whether I discussed it with people back at Micron to
- 5 see or other people to determine whether or not system
- 6 manufacturers had to pay. I'm not sure exactly where I
- 7 got that information.
- Q. Who else at Micron did you discuss the comments
- 9 with that you received from Mr. Crisp or Mr. Mooring?
- 10 A. I can't remember specifically who I discussed
- 11 them with.
- 12 Q. At that point in time, you reported to Mr.
- 13 Cloud, correct?
- 14 A. Correct.
- 15 Q. And at that point in time, Mr. Ryan reported to
- 16 you, correct?
- 17 A. Correct.
- Q. And at that point in time, Mr. Walther was in a
- 19 parallel position with you, also reporting to Mr.
- 20 Cloud, didn't he?
- 21 A. Let's see, this was the '92 time frame?
- 22 O. Yes, it is.
- 23 A. I believe at that point Mr. Walther was
- 24 applications manager over in Europe.
- Q. Okay. And then when he came back in '93, he

1 became the JEDEC rep and took your spot or at least

- 2 shortly after Mr. Fusco.
- 3 A. Right.
- 4 O. And Mr. Walther had been the JEDEC
- 5 representative before you took over that position,
- 6 correct?
- 7 A. Correct.
- 8 Q. And it says in the handwritten notes, still on
- 9 this third page of Exhibit 2250, slide number 12, it
- 10 says, -- you wrote under Hype, "Rambus will be a
- 11 standard, and the handwritten note is, Rambus is
- 12 already a standard."
- 13 Do you see that?
- 14 A. Yes.
- 15 Q. Well, you knew that Rambus wasn't the JEDEC
- 16 standard, correct?
- 17 A. Correct.
- 18 Q. And you knew the reference was to Rambus being
- 19 a de facto standard, didn't you?
- 20 A. Which reference?
- O. Rambus' reference.
- 22 A. I didn't know to what he was actually
- 23 referring, because -- no, I was stating that
- 24 specifically Rambus wouldn't be a JEDEC standard, which
- in my mind means it's then a standard.

- 1 Q. It wouldn't be a JEDEC standard because they
- 2 required the payment of royalties?
- A. I don't know if I specifically had in mind why,
- 4 but definitely it wasn't being pushed through JEDEC at
- 5 that time.
- Q. Well, but what you wrote on your slide, you
- 7 have, "Rambus will be a standard," and then across from
- 8 it, isn't that the reality that relates to Rambus would
- 9 be a standard? Isn't your version of the reality where
- 10 you wrote, "Not likely requires royalties to Rambus"?
- 11 A. Right.
- 12 Q. So, your thinking when you wrote this document,
- 13 at least what you told your sales force and customers
- was, Rambus won't be a standard because it requires
- 15 royalties.
- 16 A. Correct.
- 17 Q. And is that what you thought at the time?
- 18 A. Yes.
- 19 O. Now, it's okay to charge royalties if JEDEC
- 20 puts patented technology into a standard, isn't it?
- 21 A. As long as it's minimal and it's fair and
- 22 equitable.
- Q. Well, the words that you use are reasonable and
- 24 nondiscriminatory, right?
- 25 A. Those are some good words for it, yes.

1 O. And that's when you write what's the letter

- 2 that you talked about before, the assurance letter that
- 3 you write to JEDEC, that requires that you use the
- 4 language which says reasonable and nondiscriminatory.
- 5 A. Correct.
- 6 Q. And you have never been involved in
- 7 negotiations to come to an understanding of what's
- 8 reasonable or not, have you?
- 9 A. I have not.
- 10 Q. Okay. Turn, if you would, to the sixth page of
- 11 Exhibit 2250, which is slide 18. That's the one that
- 12 has the no royalties, industry standard part as the
- 13 first bullet point on the left.
- 14 A. This is -- okay.
- 15 Q. Got it?
- 16 A. Yes.
- Q. So, you wrote under Sync DRAM -- and Sync DRAM
- 18 was what you used to refer to what most of the time
- 19 during this trial we have been calling SDRAM. Those
- 20 are the same thing, right?
- 21 A. Exactly.
- Q. And the handwritten note that points to that
- 23 bullet point says -- is that Infoquest?
- A. It kind of looks like it, I'm not sure exactly.
- Q. Or maybe it's Dataquest, one or the other?

- 1 A. I-N-something.
- Q. Okay. It says, "Micron pays 10% in royalties
- 3 on DRAMs today."
- 4 Do you see that?
- 5 A. Yes.
- 6 Q. Did you from your own knowledge when you were
- 7 handed these -- this marked-up copy of some of your
- 8 slides, did you from your own knowledge at that time
- 9 know whether Micron was paying 10 percent royalties on
- 10 DRAMs or not?
- 11 A. I did not know that, no.
- 12 Q. When you went back and talked with people at
- 13 Micron about these handwritten notations, did you make
- 14 any effort to confirm whether that information was
- 15 correct or not?
- 16 A. Not about the amount of the royalties, but I
- did understand that, yes, based upon any DRAM we made,
- 18 there were certain royalties that needed to be paid
- 19 regardless of what DRAM it was, its fundamental DRAM
- 20 patents to produce the DRAM.
- 21 Q. And did you come to some understanding through
- 22 that conversation as to whether 10 percent royalties
- 23 was more or less in the ballpark of what, in fact, was
- 24 being paid?
- 25 A. I -- no, I didn't try to confirm the amount.

- 1 O. On this same page, you were asked about it
- 2 earlier by Ms. Zuk, down at the bottom, the handwritten
- 3 notation that points back to this same bullet point.
- 4 It says, "Motorola and others have SDRAM patents."
- 5 Do you see that?
- 6 A. Yes.
- 7 Q. And I -- as I recall your testimony when you
- 8 were asked questions by Ms. Zuk, you answered that you
- 9 looked at that Motorola patent and determined it did
- 10 not apply?
- 11 A. No, I didn't specifically look at the patent.
- 12 I -- in the course of the JEDEC committee, and I don't
- 13 know who actually made the determination, it was
- 14 determined that the Motorola patents did not apply to
- 15 the SDRAM.
- 16 Q. Didn't apply or people thought they were not
- 17 going to be valid?
- 18 A. I did not make a distinction between the two.
- 19 O. Okay. So, in your mind, it could have been
- 20 that somebody said that the Motorola DRAM patent didn't
- 21 look to them like it would be valid?
- 22 A. It was that we didn't need to consider it any
- longer in the committee because it wouldn't have impact
- 24 upon the standard.
- Q. And the Motorola patent was brought up at a

1 JEDEC meeting the same time the possibility of Rambus

- patents were brought up, correct?
- 3 A. I'm not sure of the exact time they were
- 4 brought up.
- 5 Q. Wasn't it brought up by the representatives
- 6 from Siemens and IBM?
- 7 A. I can't remember specifically who did that.
- Q. Do you remember who said we don't need to worry
- 9 about the Motorola patent?
- 10 A. No. It was just a -- it was just that the
- 11 committee didn't -- we weren't talking about it
- 12 anymore.
- Q. Do you know when that Motorola patent issued,
- 14 more or less?
- 15 A. I don't.
- Q. Would it be consistent with your recollection
- if that patent issued about December 31st of 1991?
- 18 A. I couldn't say.
- 19 O. Let me ask you about this. I'm going to read
- 20 to you from the summary of the invention of a Motorola
- 21 patent with the patent number 5,077,693 that issued on
- December 31st of 1991, and here's the summary of the
- 23 invention. It says:
- 24 "A particular --"
- 25 MS. ZUK: Objection, Your Honor. I think this

- line of questioning lacks foundation. The witness
- 2 already testified that he's not familiar with the
- 3 Motorola patent.
- 4 MR. STONE: And I am going to ask him, Your
- 5 Honor, whether as I represent this description -- and
- 6 I'm happy to let counsel read it as well -- simply
- 7 going to ask him whether it does not, in fact, describe
- 8 an SDRAM. He's testified to a --
- 9 JUDGE McGUIRE: Overruled. You may ask the
- 10 question.
- 11 BY MR. STONE:
- 12 Q. The summary of the invention from the patent I
- just described is as follows:
- 14 "A particular embodiment of the present
- invention consists of a DRAM having a clock input, a
- 16 column enable and a row enable. In operation, the DRAM
- 17 is accessed and row and column addresses are latched
- 18 based on the clock input."
- 19 Those words, sir, all make sense to you?
- 20 A. Yes.
- O. And does that describe an SDRAM?
- 22 A. I couldn't say specifically whether it does or
- 23 not.
- Q. Okay. That's beyond your technical expertise?
- 25 A. Yes.

Q. So, earlier today when you showed us that

- demonstrative of what's an SDRAM, is that something
- 3 within your technical expertise?
- 4 A. That's at a higher level, yes.
- 5 Q. That's at a higher level?
- 6 A. Yes.
- 7 Q. So, what I read you you think is more detailed
- 8 than what you showed us?
- 9 A. Well, I can't determine how those lines
- interconnect or how they actually operate.
- 11 Q. Okay. Pull up, if you would, DX-4, which is
- 12 the demonstrative you showed us earlier.
- Yeah, we don't have that on our computer, Your
- 14 Honor --
- 15 JUDGE McGUIRE: That's all right, go ahead.
- 16 MR. STONE: -- perhaps complaint counsel want
- 17 to bring it up, because --
- 18 JUDGE McGUIRE: Oh, you don't have it for the
- 19 witness. Is that correct?
- 20 MR. STONE: The witness has it.
- JUDGE McGUIRE: Go ahead and proceed.
- 22 THE WITNESS: I'm looking for it.
- 23 MR. STONE: Did you bring it up?
- 24 THE WITNESS: Oh, here it is.
- MR. STONE: Thank you. Complaint counsel

1 brought it up for us, thank you. I appreciate your

- 2 help.
- BY MR. STONE:
- 4 Q. So, this is a description of an SDRAM at a
- 5 level of technical detail that you do understand?
- 6 A. At a block diagram level, yes.
- 7 O. I'm sorry?
- 8 A. Yes.
- 9 Q. Over on the left-hand side, you show something
- 10 called the memory controller.
- 11 A. Yes.
- 12 Q. And then you show -- from that you show
- 13 address/command bus?
- 14 A. Yes.
- 15 Q. Isn't that really two buses?
- 16 A. You have the address bus and then you have the
- 17 command signals.
- Q. So, those are two separate buses, because they
- 19 have dedicated lines for address and dedicated lines
- 20 for command, correct?
- 21 A. They do have dedicated lines, yes.
- Q. And you would -- and you would agree with me
- 23 that that really is two separate buses?
- A. It could be two separate buses, but they always
- 25 operate in concert, so...

1 O. And do you know whether or not the SDRAM that

- 2 you described on DX-4 does or does not infringe Rambus
- 3 patents?
- 4 A. State that again, please.
- 5 Q. Do you know whether the SDRAM that you've
- 6 depicted on DX-4 does or does not infringe any Rambus
- 7 patents?
- 8 A. I do not know that.
- 9 Q. Do you know whether at any point in time when
- 10 Rambus was attending JEDEC meetings it had any patents
- or patent applications with claims that were essential
- 12 to the use of an SDRAM as described in DX-4?
- 13 A. No, I wouldn't know that.
- Q. Let me take you back to Exhibit 2250, if we
- can, and we'll go to page 8, which is slide 13. Do you
- 16 have that one in front of you?
- 17 A. Yes, I do.
- 18 Q. Look at the comment, the handwritten comment in
- 19 the lower left corner, if you would. "DRAMs and RDRAMs
- 20 are based on the same core -- both benefit from
- 21 manufacturing economics -- I'm sorry, both benefit from
- 22 manufacturing economies and both something improve with
- 23 faster cores."
- 24 Do you see that?
- 25 A. Yes.

- 2 1992 when you received this document, Exhibit RX-2250,
- 3 that the majority of the die for an RDRAM was identical
- 4 to the majority of the die for an SDRAM in that they
- 5 both had the same core?
- 6 A. No, that wasn't my understanding.
- 7 Q. So, did you disagree with the handwritten
- 8 comment here?
- 9 A. I thought what he was trying to say with that
- 10 handwritten comment was that they were about the same
- and they would shrink the same, and as I understand it,
- 12 SDRAMs shrink better than RDRAMs.
- 13 Q. What did you understand the "based on the same
- 14 core" to mean?
- 15 A. I had no idea specifically what he was
- 16 referring to.
- Q. And it's been your experience that there are
- 18 economies of scale which are realized in the
- 19 manufacture of integrated circuits, correct?
- 20 A. Correct.
- 21 Q. The higher the volume, generally the cheaper
- the cost of manufacture?
- 23 A. Correct.
- Q. Turn, if you would, to the paper you wrote,
- 25 CX-2636. I'm sorry, CX-2632.

1 Q. Mr. Rhoden worked for VLSI at one point in

- 2 time, didn't he?
- 3 A. Yes.
- 4 Q. You went on a tour with Mr. Rhoden to potential
- 5 customers in an effort to sell them burst EDO, didn't
- 6 you?
- 7 A. Yes, I did.
- 8 Q. So, he was out there on a sales call with you
- 9 trying to sell burst EDO?
- 10 A. I don't think it was a sales call, more than I
- 11 was trying to promote the acceptance of it.
- 12 Q. You were trying to promote the acceptance; he
- went along with you.
- 14 A. I believe so, yes.
- 15 Q. He was well aware that you never went into full
- 16 production of burst EDO, wasn't he?
- 17 A. I don't know if I could say that or not.
- Q. In any event, it is true, isn't it, that the
- 19 fact that burst EDO was standardized by JEDEC did not
- 20 assure it any particular market share in the DRAM
- 21 market?
- 22 A. Not for this specific product.
- Q. Okay. Now, is that the product you were
- writing about in CX-2632?
- 25 A. Yes.

- Q. Okay. You told us earlier that it had a --
- 2 this burst EDO had a CAS latency of two. Is that
- 3 correct?
- A. Yes, yes.
- Q. Now, burst EDO was asynchronous, correct?
- 6 A. That's correct.

- 1 based upon -- and where I got the two was from based
- 2 upon the latency time and you map that into the clock
- 3 cycle, but once you get the address there at a specific
- 4 clock, you had a certain amount of latency, and that
- 5 mapped into two 66-megahertz system clock cycles.
- 6 Q. And what it took to get the data there was two
- 7 toggles, correct?
- 8 A. Two toggles of?
- 9 Q. You had two toggle signals before data came
- 10 out. Am I right? I'm looking at the --
- 11 A. Of the system clock?
- 12 Q. No, of the asynchronous toggle.
- 13 A. Of which signal?
- Q. Take a look at page 5 of 2632. If we look at
- that diagram, is the top one the external clock?
- 16 A. Yes, the top one -- let me --
- 17 Q. Okay, what's the next one?
- 18 A. That is the RAS signal.
- 19 O. And what's the next one?
- 20 A. That is the CAS signal.
- Q. And does it show toggles?
- 22 A. It shows toggles, but it's not that you get
- 23 data out. You have to toggle the CAS twice to get data
- out. What it's showing is that I can toggle the CAS up
- 25 to four times to get four data items out. You have to

- 1 toggle the CAS for each data item.
- Q. And isn't there --
- A. And from the first time you toggle at CAS, if
- 4 you map that into the system clock, there is two clocks
- 5 before that application is valid.
- Q. And doesn't it take two toggles before you get
- 7 the first piece of data out? Isn't that what we see on
- 8 this diagram?
- 9 A. No.
- 10 Q. Don't we see -- on the CAS toggle line, don't
- we see every time it dips down, isn't that a toggle?
- 12 A. Yeah, when it -- when it goes low and it goes
- 13 back high, that's a toggle.
- Q. Don't we see it dip down twice before the first
- 15 data bit comes out?
- 16 A. You do see it dip down twice, but I can toggle
- 17 every -- from the first toggle, you've got an access
- 18 time by the nomenclature TAA.
- 19 O. Um-hum.
- 20 A. From the first toggle you have an access time
- 21 of when the first data would be valid. From the second
- 22 toggle is when you get your CAS access time. So --
- Q. And isn't the period until you get your CAS
- access, isn't that what we call the CAS latency?
- 25 A. It would determine -- well, actually, you want

1 to -- what I was calling CAS latency was from the time

- 2 you started -- you latched in the CAS address. So,
- 3 from when you latch in the CAS address until the time
- 4 you get valid data out on the bus, that's what in
- 5 essence is determined as CAS latency.
- 6 Q. And on this diagram, isn't that two toggles?
- 7 A. If I latch the address on the first toggle and
- 8 then I -- yes, I latch the address on the first toggle.
- 9 The second toggle is when I start getting data out.
- 10 Q. Thank you.
- 11 Didn't you when you wrote this say that burst
- 12 EDO will probably reach its limit somewhere around 100
- 13 megahertz?
- 14 A. We knew it was going to be -- without some more
- development work, that with the current design, yeah,
- it would be around 100 megahertz. We had it cycling at
- 17 125, so we knew that we could get there, and that was
- over temperature and voltage, not just at room
- 19 temperature. So, we knew we were there -- we could
- 20 achieve that.
- 21 Now, that was just a few parts, it wasn't the
- 22 mass production, but yeah, we knew what we would have
- 23 to do is do more development work. What that
- development work entails, I mean, could be lower
- voltages on the bus, I mean, who knows what it would

1 entail? I'm not a chip designer, so I couldn't give

- 2 you all the points.
- 3 Q. And you were very strongly pushing the use of
- 4 burst EDO, weren't you?
- 5 A. Yes, I was.
- 6 Q. And after that specification was issued for
- 7 burst EDO, you put together a -- some materials for
- 8 some of your sales force to use, correct?
- 9 A. Which specification?
- 10 Q. You wrote a memo to Mr. Lin, didn't you?
- 11 A. An email to Mr. Lin?
- 12 Q. Yeah, an email or a fax to Mr. Lin.
- 13 A. Yes.
- Q. I'm looking for that. Give me one second.
- 15 Let's bring up Exhibit RX-585, if we could.
- If I may approach, Your Honor?
- 17 JUDGE McGUIRE: Please.
- 18 BY MR. STONE:
- 19 O. I hand you, Mr. Williams, what we have marked
- 20 as RX-585. Am I correct that this is a fax that you
- 21 prepared and sent to Mr. Lin?
- 22 A. Yes.
- Q. And you prepared it when? August 1st of 1995?
- 24 A. Yes.
- Q. And was one of the first things you wanted to

1 communicate to him that you now had standardized burst

- 2 EDO?
- 3 A. Yes.
- 4 O. And you had been a big part of obtaining that
- 5 standard, because you had worked out the remaining
- 6 issues between Micron and Samsung yourself, correct?
- 7 A. Correct.
- Q. And then did you tell him that one of the
- 9 advantages of burst EDO was that everybody who was
- 10 making it was going to design it to the Micron
- 11 specification?
- 12 A. If it's in here, then yes, I said it.
- Q. Well, I want you to see it. Don't take my word
- 14 for it.
- 15 A. Okay, I'm trying to find it.
- 16 Q. Look at the very top of the second page. It
- goes, "Now, let's look at the SDRAM. Unlike BEDO where
- 18 all suppliers are designing to the Micron
- 19 specification -- " do you see that?
- 20 A. Okay, yes.
- 21 Q. Okay. So, in August of 1995, your
- 22 understanding was the great advantage -- one of the
- great advantages of burst EDO was everybody who made it
- 24 would make it to the Micron specifications, correct?
- 25 A. Right, they were using Micron's data sheet as

- 1 the quide.
- Q. Okay. And by contrast, SDRAM suppliers, each
- 3 supplier had a different set of specifications that
- 4 they were using, correct?
- 5 A. Even though the features were the same based
- 6 upon the JEDEC standard, there were some nuances, like
- 7 setup and hold weren't quite exactly the same, the
- 8 access times weren't quite exactly the same, so
- 9 there -- all that hadn't been standardized, the actual
- 10 parameters.
- 11 Q. And you thought it was a real benefit to have
- 12 everybody using all the same specifications as opposed
- 13 to allowing variation, correct?
- 14 A. What I was stating is that an advantage is that
- 15 everybody was using -- using Micron's specification,
- 16 that's correct.
- 17 Q. Okay. Did you understand in August of 1995
- that one of the things that Rambus was pushing was that
- 19 everybody would manufacture RDRAM to the Rambus
- 20 specifications?
- 21 A. No, I wasn't aware of that.
- 22 Q. Okay. Were there other products out there that
- you were aware of other than burst EDO where you saw
- the benefit being achieved because everybody was
- 25 manufacturing it to the specifications put together by

1 a single company?

- 1 patent was that didn't apply to the material being
- discussed, no need to disclose it, only if it could
- 3 have applied.
- Q. And by "could have applied," you meant was
- 5 necessary in order to practice the standard?
- 6 A. No, the -- I meant that if there was a
- 7 possibility that it could apply, then you need to --
- 8 and that's why we -- why we disclosed it, because we
- 9 didn't know whether it did or not, but it could have.
- 10 So, we decided, okay, we better disclose.
- 11 Q. And the "apply" part of that means -- I just
- want to understand the words you're using -- okay, when
- 13 you said if there was a possibility that it could
- 14 apply, I understand the possibility part of that, and I
- 15 want to ask you just about the "could apply."
- "Could apply" means that the patent applied to
- 17 the standard, correct?
- 18 A. Or that there was a possibility, there was a
- 19 reasonable possibility that it could apply to the
- 20 standard.
- Q. And I want you just to set aside the
- 22 possibility part for a second. I'm not taking it out
- of your answer.
- 24 A. Okay.
- Q. You have said it to me. I just want to

- 1 understand when you said apply, you meant that
- 2 manufacturing something to the standard would
- 3 necessarily infringe the patent. That's what "apply"
- 4 means?
- 5 A. Well, I don't know if it just has to do with
- 6 manufacturing, but if it had to do with the standard,
- 7 if it could have implication on the standard, then it
- 8 needed to be disclosed.
- 9 Q. Okay. Let's look, if you can find it in your
- 10 stack, at RX-356. Those are what you referred to
- 11 earlier as draft minutes. Do you recall that?
- 12 A. That helps. Yes.
- 13 O. Got them?
- 14 A. Yes.
- 15 Q. Okay. And remember I stood up and objected and
- 16 I wanted --
- 17 A. Yes.
- Q. Okay. Did you attend the meeting in Fort
- 19 Lauderdale, Florida in December of 1992?
- 20 A. Yes.
- 21 Q. Okay. And were these draft minutes that came
- to you from JEDEC?
- 23 A. They came from the JEDEC secretary.
- Q. Directly to you?
- 25 A. Yes.

- 1 Q. And you recall that?
- 2 A. Yeah.
- 3 Q. You're not just guessing at it?
- 4 A. No, I would have received the minutes.
- Q. Okay, let's bring up RX-361. It's not going to
- 6 be in your stack. You are going to have to look at
- 7 the --
- 8 A. Okay.
- 9 Q. Do you see RX-361 on the screen?
- 10 A. Yes.
- 11 Q. Is that a memo to you from Mr. Fusco?
- 12 A. It is.
- 13 Q. Okay, dated December 16th of 1992?
- 14 A. Correct.
- 15 Q. And he says, "Attached are the minutes from the
- 16 December --"
- 17 A. Right.
- 18 Q. " -- JEDEC meeting"?
- 19 A. Right.
- 20 O. Is that the final minutes or the draft minutes
- or some other set of minutes?
- 22 A. This is Bob Fusco -- Bob Fusco was in the
- 23 process of learning how to take over the responsibility
- of the JEDEC rep, and these were important items that
- 25 he sent to me based upon what he gathered from the

- 1 JEDEC meeting.
- Q. And when he says, "Attached are the minutes,"
- 3 what's he referring to?
- 4 A. From this, I couldn't tell.
- Q. If you go to the next page, you'll see it says,
- 6 "Attachment"?
- 7 A. Um-hum.
- 8 Q. And if you look at the very bottom of that
- 9 page, you'll see it has an MR number stamped on it?
- 10 A. Yes.
- 11 Q. Do you see that?
- 12 And it calls it MR-20427, and it also calls it
- 13 M-167.002?
- 14 A. Yes.
- 15 Q. And then the -- what you told us earlier were
- the draft minutes, Exhibit RX-356, you will notice
- those are numbered also M-167.007 through something?
- 18 A. Um-hum, yes.
- 19 Q. And it appears from that -- it appears from
- 20 that that RX-361 came right ahead of RX-356 with just
- about pages 3, 4, 5 and 6 of document 167 in between.
- 22 Do you see that?
- 23 A. No, say that again.
- Q. Okay. If you look at what I marked as
- 25 RX-361 --

1 MS. ZUK: I will object to the lack of

- 2 foundation.
- 3 MR. STONE: I am trying to lay the foundation,
- 4 Your Honor, that the attachment to Exhibit 361 was --
- 5 JUDGE McGUIRE: On what foundation are you
- 6 talking about it, Counselor, that you say that he is
- 7 not already in the process of laying?
- 8 MS. ZUK: The witness has not testified that
- 9 he's familiar with the numbering system that --
- 10 JUDGE McGUIRE: Overruled. I'll give him a
- 11 chance to ask the question first.
- 12 BY MR. STONE:
- 0. Mr. Williams --
- JUDGE McGUIRE: Actually, why don't we just ask
- 15 that question now, Mr. Stone.
- MR. STONE: I will, that's what I'm going to
- 17 ask.
- 18 JUDGE McGUIRE: And then you will decide what
- 19 to do.
- 20 BY MR. STONE:
- 21 O. I want you to look at the bottom of either one
- of the documents. Do you see where it has M000167.00
- and then a page number that runs consecutive?
- 24 A. Like the 02, yes.
- Q. Yes. So, you have 02, and then on the draft

1 minutes that you were shown earlier by Ms. Zuk, it goes

- 2 07 through 013?
- 3 A. Yes.
- 4 O. Is it your -- do you have an understanding that
- 5 the way Micron numbered the documents that they
- 6 produced to us was each document was given a number,
- 7 like 167, and each page was given a page number, like
- 8 .001, 2, 3, 4 and so on?
- 9 A. No, I don't know specifically how they did
- 10 that.
- 11 Q. Okay, then let me just ask it this way: Can
- 12 you testify whether or not Exhibit RX-356 was sent to
- 13 you attached to a memo from Mr. Fusco?
- 14 A. No, I can't.
- 15 Q. And do you know why if Exhibit 356 came to
- 16 Micron from JEDEC it would be marked under the terms of
- 17 the protective order as outside counsel only?
- 18 A. No, I wouldn't know why it is marked that.
- 19 O. Do you know whether or not what was marked as
- 20 Exhibit RX-356 was simply Mr. Fusco's notes of the
- 21 meeting?
- 22 A. I know this wasn't the notes of the meeting
- 23 from Fusco.
- Q. You know that this is not Fusco's notes?
- 25 A. No, this is a typical draft minutes that we

- 1 would normally get from the JEDEC -- these were the
- 2 typical draft minutes, typical state of the draft
- minutes that normally the members would get before the
- 4 final ones were issued from the JEDEC office.
- 5 Q. Okay. And you were shown -- and I guess one
- 6 reason, then, that draft minutes were circulated was so
- 7 members could make corrections?
- 8 A. Corrections, additions, make sure everything's
- 9 included, right.
- 10 Q. And you pointed us earlier or in response to
- 11 questions by Ms. Zuk, you responded down here to a
- 12 discussion in the draft minutes where there's a mention
- of patent applications, correct? Do you remember that?
- 14 A. Ah, yes, yes.
- 15 Q. It's on page 2 of Exhibit 356.
- 16 A. Right, yes.
- Q. And it says, "Call to order Jim Townsend,"
- and then it says, "Review of patent items members are
- 19 cautioned to disclose their relevant patent
- 20 applications."
- 21 Do you see that?
- 22 A. Yes.
- Q. That language is not in the final minutes, is
- 24 it?
- 25 A. I believe not.

1 O. Okay. So, that could mean that somebody called

- 2 up the preparer of the minutes and said, that's
- 3 erroneous, we need to change it, that needs to be
- 4 deleted because it didn't happen that way? Any of
- 5 those things could be an explanation for why it was
- 6 taken out?
- 7 A. Or it could have been that the final minutes
- 8 were just abbreviated.
- 9 Q. But the final minutes are pretty long, aren't
- 10 they? We have them. It's --
- 11 A. Well, that's just because in the final minutes,
- 12 you have all the attachments and presentations that
- 13 were given.
- Q. Well, let's look at JX-14. Those are the final
- 15 minutes from the meeting, right?
- 16 A. Yes.
- 17 O. Okay. And there's an item 5 on the final
- 18 minutes which appears on page 3, and it says, "Patent
- 19 Policies, correct?
- 20 A. Yes.
- 21 Q. And it has just as many lines in it as the
- 22 Review of Patent items from page 2 of Exhibit RX-356,
- 23 doesn't it?
- 24 A. Yes.
- Q. So, nobody shortened it by taking information

- out; they changed the information, didn't they?
- 2 A. I notice that they added things to it.
- Q. Um-hum, they added things. They talked about
- 4 that what he showed was a draft of proposed changes to
- 5 the manual, correct?
- 6 A. Correct.
- 7 Q. Okay. Now, the draft language that he showed
- 8 is in the document, Exhibit JX-14, at the page numbered
- 9 15107. I can't tell you the direct pagination number,
- 10 because I -- it's cut off on my copy.
- 11 A. JEDEC 15107?
- 12 Q. Yeah. Do you have 15107?
- 13 A. I'm getting there. Yes.
- 14 Q. And it says Appendix F.
- 15 A. Yes.
- Q. Patent Policy Guidelines. This was not as of
- 17 December of 1992 the part of any existing manual, was
- 18 it?
- 19 A. I don't know if it was or not.
- Q. Did you ever look at any of the JEDEC manuals?
- 21 A. Not specifically.
- 22 Q. Were you given one when you became a member?
- 23 A. I can't remember if I did or not. Terry might
- have given me one. I don't remember.
- Q. Okay. And then what follows that page on page

- 1 15108 and 15109 and 15110, those three pages are the
- 2 pages that you recall being put up as overhead slides.
- 3 Is that right or not? If you recall.
- 4 A. Overhead slides for what?
- 5 Q. By Jim Townsend. Do you recall what he put up
- 6 on the overheads?
- 7 A. He put up stuff on overheads multiple times.
- 8 He would have -- these specific ones were on the
- 9 overheads when we were discussing -- I don't know if it
- 10 was specifically at this meeting, but when we were
- 11 discussing modification to the standard, these were
- 12 presented, yes.
- Q. Okay. So, those went up as the drafts at this
- 14 meeting. Do you recall if they ever went up at another
- 15 meeting?
- 16 A. I don't recall specifically, but I do know that
- 17 we did discuss it at multiple meetings.
- 18 O. Okay. You discussed changing the manual,
- 19 correct?
- 20 A. Correct, as well as, of course, the patent
- 21 policy was discussed at each meeting.
- Q. And JEDEC, am I correct that JEDEC was a
- function within the engineering department of EIA?
- 24 A. Yes.
- Q. It did not have any separate standing as a

1 company or corporation or so on, it was just a

- 2 function?
- 3 A. I don't know exactly how it was organized, but
- 4 yeah, it was under the auspices of EIA.
- 5 Q. Okay. I want to -- did we -- JX-15, I'm going
- 6 to bring up JX-15, because I don't think we have enough
- 7 copies. We'll bring it up on the screen, and as you'll
- 8 see, these are the minutes of the JC-42.3 meeting,
- 9 Scottsdale, Arizona, March 3 and 4, 1993.
- 10 Do you see that?
- 11 A. Yep.
- 12 Q. And it shows Bob Fusco in attendance from
- 13 Micron on the first page. Do you see that?
- 14 A. Yes.
- 15 Q. And I didn't see your name anywhere in there,
- and would that be consistent with your recollection
- that you did not attend?
- 18 A. Yes.
- 19 O. Okay. So, this would be the meeting following
- the Fort Lauderdale meeting, correct?
- 21 A. Yes. I don't know if there was an interim
- 22 meeting, because sometimes you do have interim
- 23 meetings, but yeah, this would be the -- you will have
- it every quarter, and this would have been the meeting
- 25 in the first quarter.

- 1 Q. Okay. So, the normally scheduled meetings
- 2 would be one in December and one in March?
- 3 A. Maybe not specifically, but once a quarter,
- 4 within those three months.
- 5 Q. Okay, okay. So, let me ask you to look at page
- 6 5 of JX-15. Do we have that one up there?
- 7 A. Yes.
- Q. And do you see where it says, "Micron for
- 9 clarity, change items to all banks idle on the timing
- 10 diagram, " about two-thirds of the way down?
- 11 A. Yes.
- 12 Q. And then right above that it says, "Hitachi:
- 13 Patent alert"?
- 14 A. Yes.
- 15 Q. Did anybody talk with you about these -- this
- meeting or those minutes and a Hitachi patent alert?
- 17 A. No.
- 18 Q. And then turn to the next page, which is page
- 19 6. At the top it says, "MOSAID: Patent issue." Then
- it says, "The Committee was aware of the Hitachi
- 21 patent. It was noted that Motorola has already noted
- that they have a patent."
- Do you know if in this time frame that was a
- 24 reference to the Motorola patent you a. riyiagscuss
- 22 earlier

- 1 A. I don't know that.
- Q. Okay. And then it says, "IBM noted that their
- 3 view has been to ignore patent disclosure rule because
- 4 their attorneys have advised them that if they do then
- 5 a listing may be construed as complete."
- 6 Do you see that language?
- 7 A. Yes.
- 8 Q. Well, after the December 1992 meeting when you
- 9 talked about amending the language, talked about patent
- 10 applications, and Mr. Fusco went with you --
- 11 A. Yes.
- 12 Q. -- and he circulated -- you got from some
- 13 source the draft minutes, and then you got the final
- 14 minutes that included the proposed amendments, and you
- 15 recall a discussion of patent applications at that
- 16 meeting, did Mr. Fusco come back from this meeting in
- 17 March in Scottsdale and tell you, you know, despite
- 18 what we both heard together in December, IBM has just
- 19 announced that they're not going to talk -- they're not
- 20 going to disclose patents or applications. They're
- 21 going to ignore it.
- 22 A. That isn't the information that I got. It was
- more that IBM had so many patents, they couldn't go
- 24 research all the patents on every issue, but that they
- would comply as best they could. But they were

- 1 concerned that if they gave a list of patents, that it
- 2 would be thought of as complete, and then they would be
- 3 reprimanded for that.
- Q. And you were still getting the minutes, weren't
- 5 you?
- 6 A. Yes.
- 7 Q. And so when you read in the minutes that IBM
- 8 noted that their view has been to ignore the patent
- 9 disclosure rule, did you understand that to be
- 10 consistent with what you had seen IBM do in the past?
- 11 A. It was explained to me that it wasn't that they
- were going to ignore the rule but that they couldn't
- 13 give a full list of all their patents.
- Q. And did you ever see the email or fax that they
- 15 sent to Mr. Townsend explaining the advice they had
- 16 received from their lawyers about ignoring the patent
- 17 disclosure rule?
- 18 A. I can't remember if I saw that or not.
- 19 O. Okay. You were shown some ballots earlier.
- We are going to take one of these minutes
- 21 first. Let's take a look at JX-1M
  - 8d did you ever see therlfl sti05ink -- MwheZuk e

- 1 correct?
- 2 A. Yes.
- O. And was this the first meeting that you can
- 4 recall anyone from Rambus ever attending?
- 5 A. I can't remember which was the first meeting.
- Q. Do you recall whether the first person from
- 7 Rambus who ever attended was Mr. Garrett?
- 8 A. No, I can't.
- 9 Q. Does this show anybody from Rambus present as a
- 10 member?
- 11 A. They're not listed -- Rambus isn't listed under
- 12 the Members Present, but under the Others Present.
- 0. And if you come as someone's quest, would you
- 14 be listed as Others Present?
- 15 A. You could be either others or you could be an
- 16 alternate, not be a regular member.
- Q. So, you don't -- you don't know if in December
- of '91 Rambus was a member or not?
- 19 A. No, I don't.
- Q. Okay. Now, was there a discussion of VPAK at
- 21 this meeting?
- 22 A. I can't remember if it was specifically at this
- 23 meeting. VPAK was discussed at several meetings.
- Q. Who for Micron disclosed the VPAK patent?
- 25 A. I did.

- 1 Q. When did you disclose it?
- 2 A. At one of the early meetings. It might have
- 3 been this meeting. It would be contained in the
- 4 minutes when it was disclosed.
- Q. Was there a patent tracking list that existed
- 6 as of December of 1991?
- 7 A. I don't know if it was at that specific time,
- 8 but it was either late '91 or early '92 when they
- 9 started circulating a patent tracking list.
- 10 O. Was it December of 1991 when there was a
- 11 discussion that maybe that would be a good thing to
- 12 start doing?
- 13 A. I can't remember specifically if it was at that
- 14 specific meeting, but yes, it was discussed that that
- was something we needed to start doing.
- 16 Q. Okay. And then -- that's all my questions on
- 17 that particular document at the moment.
- 18 If you would go to JX-13, which you were also
- 19 shown earlier, and is this the July 21st meeting in
- 20 1992?
- 21 A. Yes, it is.
- Q. In Denver, Colorado?
- 23 A. Correct.
- Q. And you were present at this one?
- 25 A. Yes.

- 1 O. And you were asked about some ballots that
- 2 occurred at this meeting by Ms. Zuk. Do you recall
- 3 that?
- 4 A. Yes.
- Q. And you were asked about some "no" votes?
- 6 A. Yes.
- 7 Q. Now, am I -- is it correct that the way the
- 8 balloting works is you send your ballots in ahead of
- 9 time, somebody tallies the ballots and reports on the
- 10 results at the meeting?
- 11 A. Correct, the ballots are sent in to the JEDEC
- 12 office. The secretary -- I can't remember his name --
- 13 would tally the votes, and it would come back with the
- 14 vote tallied as well as any comments that were on the
- 15 ballots.
- Q. And that's Ken McGhee, right?
- 17 A. Correct, that's him.
- 18 Q. So, you weren't the person who tallied the
- 19 ballots?
- 20 A. No.
- 21 Q. And did you ever see the ballots Rambus filled
- 22 out?
- 23 A. No.
- Q. You told us earlier that the language in the
- 25 minutes was exactly the words that Rambus had written

on their ballot. Do you recall that testimony?

- 2 A. Yes.
- 3 O. But how do you know that?
- 4 A. Only based upon the practice. The practice was
- 5 that whatever the comments needed -- that were on the
- 6 ballot had to be discussed and resolved.
- 7 O. Okay. And so it's consistent with your
- 8 practice that whatever somebody wrote on the ballot,
- 9 Ken McGhee would accurately transcribe that information
- 10 into the minutes?
- 11 A. Correct.
- 12 Q. And you never had an occasion when he didn't
- 13 get it right, correct?
- 14 A. He might have -- I'm not saying he was 100
- 15 percent fallible, no, but typically he copied the
- minutes fairly accurate, especially the comments,
- 17 because that's what had to be resolved in order to move
- 18 the ballot along.
- 19 Q. And I think you meant, "I'm not saying he's 100
- 20 percent infallible." Is that what you meant?
- 21 A. Yeah, right.
- Q. In other words, he might not be right all of
- 23 the time, but he was right almost 100 percent of the
- 24 time?
- 25 A. Right.

1 O. So, these ballots that are voted on at the

- 2 meeting, are they kept by JEDEC so if we wanted to know
- 3 how somebody voted later, we can go back and look at
- 4 the ballots?
- 5 A. I believe so.
- Q. So, you think JEDEC should have all the ballots
- 7 that would show exactly what Rambus said?
- 8 A. Yes, I believe they kept them all.
- 9 Q. Was it your practice to write trip reports when
- 10 you went to these meetings?
- 11 A. From time to time I would try to, yes.
- 12 Q. And you keep all your trip reports?
- 13 A. Yes.
- Q. So, in the production of all the documents from
- 15 Micron, we ought to be able to go find your trip
- 16 reports?
- 17 A. If I wrote one, yeah, it would be there.
- 18 Q. Okay. And if it's not there, that would be
- inconsistent with your practice?
- 20 A. Or that I didn't write a trip report for that
- 21 specific meeting because I got too busy afterwards.
- 22 Q. Okay.
- 23 A. But yes, that was the practice, trying to write
- 24 them.
- Q. And did you review any of your trip reports

1 before you came up here to testify this morning?

- 2 A. No.
- Q. Has anybody shown you any of your trip reports
- 4 with respect to any of your JEDEC meetings in
- 5 connection with your depositions in this or any other
- 6 case?
- 7 A. I can't remember any.
- 8 Q. Have you ever gone back to look and see if you
- 9 still have them?
- 10 A. Yes, I have. I gathered all my documents from
- 11 timing planners, et cetera, and gave all those
- 12 documents to my lawyers.
- 0. And did you see trip reports in there?
- 14 A. I can't remember if I saw any or not.
- Q. Okay, let me show you Exhibit CX-40. I have a
- 16 copy for you, and we can bring it up on the screen. Do
- 17 you recognize this document?
- 18 A. Yeah, this is one of the JC-16 minutes.
- 19 Q. Okay. And it shows you in attendance, does it
- 20 not?
- 21 A. Yes.
- Q. And then if you would go to page 7 of the
- document, it says CX-0040-007 on it. Did you find
- 24 that?
- 25 A. Yes.

1 Q. And then if you look at the right-hand side of

- the document, you'll see a sheet which is the first
- 3 page of the patent tracking list, isn't it?
- 4 A. It discusses the -- yes, it's the first page of
- 5 the patent tracking list.
- Q. And wasn't it the practice that prior to a
- 7 JEDEC meeting, Mr. Townsend would fax out to certain
- 8 people this cover memo, along with the list of patents
- 9 that had been disclosed to date?
- 10 A. Yes, anybody who was on the patent list, that
- 11 had something on the patent tracking list was sent this
- memo.
- 13 O. Okay. So, you were the person who got this
- 14 memo on behalf of Micron the entire time that Mr.
- 15 Townsend was sending it out. Isn't that right?
- 16 A. I don't know if it was the entire time, but at
- 17 this specific time, yes, I received it.
- Q. Okay. We will get to the last one at least I
- 19 can find in the minutes --
- 20 A. Okay.
- 21 Q. -- and I think you'll see your name is still
- 22 there, but let me ask it this way. Do you recall that
- what happened was after you stopped being a JEDEC
- official member, you would still get the patent
- 25 tracking list, and you would pass it on to somebody

- 1 else at Micron?
- 2 A. I can't remember if I received it and passed it
- 3 on or they received it.
- Q. Okay. Did you ever see among a list of people
- 5 to whom this patent tracking list was sent, did you
- 6 ever see anybody at Rambus?
- 7 A. I can't remember all that it was sent to. I
- 8 just wanted to determine -- I mainly looked for myself
- 9 to see if we had something that we needed to take care
- 10 of.
- 11 Q. Okay. And do you recall when you got the first
- 12 patent tracking list sent to you in that fashion that
- 13 you've just talked about?
- 14 A. I don't.
- 15 Q. Well, let me ask you to take a look at CX-336.
- 16 A. Thanks.
- 17 Q. This is an easier to read copy. This one's
- 18 dated May 26th of 1992, correct?
- 19 A. Yes.
- 20 Q. And it shows you as the person at Micron who
- 21 received it?
- 22 A. Yes.
- Q. And do you remember that to be your fax number
- 24 at the time?
- 25 A. I believe so.

Q. Okay. And then it says -- the text of this

- 2 says, "Please refer to the existing rules of the EIA
- 3 governing patentable matters, which follow."
- 4 Do you see that?
- 5 A. Yes.
- 6 O. And then following that material is a list of
- 7 matters that had been brought to the attention of the
- 8 JEDEC memory committee.
- 9 Do you see that?
- 10 A. Yes.
- 11 Q. And the next page, Patent Tracking, Jim
- 12 Townsend, Toshiba, was this one of the rules of EIA
- governing patentable matters?
- 14 A. I couldn't state whether it was EIA
- 15 specifically, but it was definitely what was talked
- about as the responsibility of the members of the
- 17 committee.
- 18 Q. Okay. And then if you turn to the third page
- 19 of Exhibit 336, the one that's headed EIA Policy at the
- 20 top and is pretty much a full page of text, is that a
- 21 page of information that was part of Jim Townsend's
- 22 slides that you recall him putting up at the meetings?
- 23 A. It looks like it is, yes.
- Q. Okay. And that you understood to also be the
- 25 part of the EIA rules governing patentable matters?

1 A. This actually came out of the Style Manual,

- 2 yes, as well as the Manual for Committees.
- Q. And if you look at the bottom, it has what came
- 4 out of the EP-3-F Manual for Committee?
- 5 A. Yes.
- Q. And so this has information both from the Style
- 7 Manual and the Manual for Committee, right?
- 8 A. Yes.
- 9 Q. Turn, if you would, to the fourth page, and is
- 10 page 4 together with page 5 the list of patents that
- 11 had been disclosed to JEDEC as of that time?
- 12 A. Those that were under consideration and needed
- 13 resolution.
- Q. Did you ever see any patents taken off of the
- 15 list?
- 16 A. I can't remember if they were.
- 17 Q. Okay. If you go down almost to the bottom of
- 18 page 4, you'll see it says, "Moto."
- 19 A. Yes.
- 20 O. That refers to Motorola?
- 21 A. Yes.
- 22 Q. And you'll see the patent number listed there?
- 23 A. Yes.
- Q. And the patent I read you earlier was
- 5,077,693, and is that the number we see there?

- 1 A. That's correct.
- Q. Okay. And does the L next to Moto mean that
- 3 Motorola had given a letter of assurance?
- 4 A. I can't remember what that actually refers to,
- 5 but that might be it.
- Q. Okay. And then if we go up above for Micron,
- 7 we see two listings, a VPAK and a 3-port VRAM.
- 8 Do you see that?
- 9 A. Yes.
- 10 Q. Are you familiar with the 3-port VRAM
- 11 reference?
- 12 A. Absolutely.
- Q. Did Micron have a patent on that?
- 14 A. I believe so. I'm not really sure.
- 15 Q. Did you ever give that patent number to anybody
- 16 at JEDEC so they could add it to the list?
- 17 A. Not to my knowledge.
- 18 Q. Okay. Did you ever disclose any patents to Mr.
- 19 Townsend to include on this patent tracking list?
- A. Yeah, the VPAK patent.
- 2 to add ymmelieTot number to a3the Vose0?caPt on that?
- A. Yeah, the5ot nusng list?
- 3 17 patent.
- 4 10 kay.kay. Did you ever disclose anypatent.

- 1 A. No.
- Q. I am going to show you another document,
- 3 CX-342. There you are, Mr. Williams.
- 4 Do you recognize Exhibit 342 to be another copy
- of the patent tracking list, this time October of 1992?
- 6 A. Yes.
- 7 Q. Okay. And my particular interest here is on
- 8 one of the attachments, and if you could go all the way
- 9 to the last page of the document -- someone wants to
- 10 know the page number -- three, four, five, six --
- 11 seven. Eight, try eight. There we go. Maybe you can
- 12 focus in on that.
- This is an article entitled Don't Lose your
- 14 Patent Rights. Do you see that?
- 15 A. Yes.
- Q. And that -- I'm not going to -- you can take
- 17 time to read it if you want. I'm not going to rush you
- on it, but let me see if I can draw your attention to
- 19 something.
- 20 Part way down in the left-hand column in bold,
- it says, "Keep it under your hat."
- Do you see that?
- 23 A. Yes.

- 1 before a patent application is filed, one is not
- 2 entitled to the patent -- the invention is considered
- 3 to be in the public domain."
- 4 Do you see that reference?
- 5 A. Yes.
- 6 Q. And then if you skip to the next paragraph, it
- 7 says, "Moreover, premature disclosure can severely
- 8 jeopardize non-U.S. rights. While the inventor may
- 9 enjoy a one-year grace period in the United States,
- 10 many countries, including Belgium, Greece, Great
- 11 Britain, Spain and Taiwan, require absolute novelty.
- 12 Any disclosure before the filing of a patent
- application will bar rights to a patent."
- 14 Do you see that?
- 15 A. Yes.
- Q. Did this subject ever come up at a JEDEC
- 17 meeting where they talked about what would happen if
- 18 people disclosed patentable inventions before they had
- 19 filed for a patent?
- 20 A. I can't remember specific discussion on it, no.
- 21 Q. Was it ever your understanding that you were
- 22 obligated to disclose a patentable invention before you
- 23 had filed your application?
- A. I typically would file -- if we were going to
- disclose, I would want to file as soon as I possibly

- 1 could to protect it, yes.
- Q. And was it ever your understanding that the
- 3 JEDEC practice or policy was to have somebody disclose
- 4 a patentable invention before they had filed?
- 5 A. It wasn't that specific. It was -- the rules
- 6 were more you need to file -- I mean, you need to
- 7 disclose as soon as you could if you thought it was
- 8 going to be applicable to the work that was being
- 9 discussed.
- 10 Q. After this article was circulated, did you ever
- 11 recall Mr. Rhoden saying, you have to disclose your

- 1 ought to say, hey, I've got something I'm patenting
- 2 here or there's something that you're talking about
- 3 that I've got some IP on.
- 4 Q. Now, did anybody ever disclose a patentable
- 5 invention before they filed?
- 6 A. I don't know if they did or not.
- 7 O. Okay. At Micron, the practice is to do an
- 8 invention disclosure when you have an invention that
- 9 you think may be patentable, send it in to somebody in
- 10 the organization who decides whether to file a patent,
- 11 correct?
- 12 A. Correct.
- 0. And you did invention disclosures on burst EDO,
- 14 correct?
- 15 A. Yes.
- Q. Okay. Let me just show you the last tracking
- 17 list I was able to find. Let's pull RX-691, if we
- 18 could. Just go forward a couple pages on that, because
- 19 I don't seem to have the copy in the box. That's okay,
- 20 I'll come back to it. I'll come back to it.
- 21 Let me show you a different one. Let me show
- 22 you RX-559. You recognize this to be a patent tracking
- 23 list, correct?
- 24 A. Yes, it is.
- Q. And this one is dated May 10 of 1995?

- 1 A. Yes.
- Q. Okay. And then turn, if you would, to the --
- 3 let me count the pages. One, two, three, four -- the
- 4 fifth page, and you'll see about a third of the way
- down there's a reference to Rambus sync clock with a
- 6 patent number.
- 7 A. Yes.
- 8 Q. Okay. Then if you go back to the first page,
- 9 even though there's now a Rambus patent listed on that
- 10 list, there's no Rambus person and no reference to the
- 11 Rambus company in the people to whom this list was
- 12 sent, is there?
- 13 A. That's correct.
- Q. Okay. And then for Micron on this particular
- document, RX-559, you have listed the same two patents
- we saw earlier, VPAK and 3-port VRAM, correct?
- 17 A. Yes, those ato RRk(risted )TjT\* 14

- 1 Q. And you presented that on VPAK?
- 2 A. Yes.
- 3 Q. When did you do that?
- 4 A. I'm not sure exactly when. It would have been
- 5 during my tenure there. I'm not sure exactly when that
- 6 was.
- 7 O. And were you aware that there was a list of
- 8 patent assurance letters that was circulated within
- 9 JEDEC?
- 10 A. I don't recall that.
- 11 Q. And did you ever look at any list to see if
- 12 your assurance letter was listed there?
- 13 A. No.
- Q. Okay. That's the only letter you ever
- 15 provided?
- 16 A. Correct.
- 17 Q. You told us in your testimony earlier, if I
- 18 heard you correctly, that when you were trying to
- 19 interest manufacturers in burst EDO, you told them that
- 20 if they started to manufacture burst EDO, it would be
- 21 free.
- 22 A. That our IP on burst EDO would be free.
- Q. Who at Micron was required to approve the
- 24 offering of free licenses?
- 25 A. I'm not sure exactly who can approve that.

- Q. Was that within your authority? Could you just
- 2 offer it on your own?
- 3 A. I offered it on my own.
- 4 Q. You offered it on your own?
- 5 A. Yes.
- 6 Q. Without any approval from anybody?
- 7 A. Well, my manager knew what I was doing.
- 8 Q. And that was Mr. Cloud?
- 9 A. Yes.
- 10 Q. And you were asked about this at your
- 11 deposition in this case, weren't you?
- 12 A. I don't recall the specific question.
- Q. I sat it someplace here. I've got it.
- Well, you were deposed in this case by Ms.
- Jeffries who came out to Boise and took your
- 16 deposition. Do you recall that?
- 17 A. Yes.

JUDGE McGUIRE: Yes, please, thank you.

- 2 BY MR. STONE:
- Q. Well, let me ask you to turn to page 28, Mr.
- 4 Williams. Isn't it correct -- starting on line 8,
- 5 weren't you asked the following question:
- 6 "QUESTION: Regarding burst EDO, were you ever
- 7 involved in any discussions about whether Micron would
- 8 seek royalties on its burst EDO patents?
- 9 "ANSWER: I can't remember any discussions to
- 10 that effect, no."
- 11 And then weren't you asked:
- 12 "QUESTION: Have you ever heard about or become
- aware of discussions regarding whether Micron would
- 14 charge royalties or seek royalties for its burst EDO
- 15 patents?"
- 16 And after having the question read again,
- didn't you say, "No, I'm not aware of those
- 18 discussions"?
- 19 A. And in this context, I thought she was talking
- 20 about royalties or discussions taking place within
- 21 Micron of whether or not there was a decision made to
- 22 do royalties on burst EDO.
- Q. Okay, well, turn to the next page.
- 24 A. Okay.
- Q. Look at the top of page 29 beginning at line 3

- 1 through line 5. Were you asked the question:
- 2 "QUESTION: Are you aware of any decision by
- 3 Micron not to assert its burst EDO patents in any way?
- 4 "ANSWER: I have not been privy to those
- 5 decisions.
- 6 "QUESTION: Are you aware of whether any of
- 7 Micron's burst EDO patents are part of any
- 8 cross-license?
- 9 "ANSWER: I'm not aware of that."
- 10 But do you see the one that precedes it?
- 11 A. Yes.
- 12 Q. "QUESTION: Are you aware of any decision by
- 13 Micron not to assert its burst EDO patents?"
- 14 A. Correct.
- 15 Q. Then the bottom of page 28, last line, "Are you
- 16 aware of any decision by Micron not to seek royalties
- on its burst EDO patents?
- 18 "ANSWER: I'm not aware of any of those
- 19 discussions."
- 20 But your testimony today is that you had
- 21 discussions about this with Mr. Cloud, c

## 6yuyou had

- 2 13w. Wgoing not to seek royal, justy is tntsordhetnotose
- 2 14garnhetsuppoassfrbotsee t whetmemory manufacturer1, ose Waldorf, Marylandse?

- 1 charge.
- Q. So, you had a discussion with Mr. Cloud that
- 3 you were not going to charge royalties?
- 4 A. And I'm not sure exactly to what extent that
- 5 discussion was, but there was an understanding that I
- 6 could go ahead and do that.
- 7 O. And you had discussions with manufacturers
- 8 about that?
- 9 A. Various manufacturers, correct.
- 10 Q. And you didn't in your deposition in answer to
- 11 the question I just read, you didn't talk about either
- 12 your discussions with Mr. Cloud or your discussion with
- 13 manufacturers, correct?
- 14 A. No, I did not.
- 15 Q. Okay. Now, you complied with what you
- 16 understood to be the JEDEC patent policy while you were
- 17 a member, didn't you?
- 18 A. Yes.
- 19 Q. And in connection with the burst EDO patents,
- 20 you complied, didn't you?
- 21 A. I believe so.
- 22 Q. Okay. You don't think there's anything you did
- 23 during your time at JEDEC or afterwards where the burst
- 24 EDO patents were not handled and disclosed in
- 25 accordance with JEDEC policy. Is that right?

1 A. Well, I think you need to understand that at

- 2 the point that I was no longer the JEDEC
- 3 representative, I was on to other things, and so I
- 4 didn't concern myself that much with what needed to be
- 5 done at JEDEC.
- 6 Q. Well, you came back to JEDEC meetings after you
- 7 finished being an official representative, didn't you?
- A. Yes, one or two to do a presentation.
- 9 Q. And at one of them you gave a fairly extensive
- 10 presentation on burst EDO?
- 11 A. Correct.
- 12 Q. And at that time, you were aware that anybody
- who attended a JEDEC meeting, whether they were the
- official representative or not, had an obligation to
- disclose whatever it was they were required to
- 16 disclose.
- 17 A. True.
- 18 Q. And you didn't feel at that time that you
- 19 needed to disclose any of the patents or patent
- 20 applications on burst EDO that were then pending, did
- 21 you?
- 22 A. Actually, at that time, I didn't know what had
- 23 been disclosed. I mean, this wasn't the first
- discussion on burst EDO, and I did not know exactly
- 25 what had or had not been disclosed to the committee.

- 1 Q. That meeting was January of 1995, right?
- 2 A. That was one of them, yes.
- Q. That was the one where you gave your big
- 4 presentation?
- 5 A. I don't -- there was two, January and there was
- one later, and I can't remember which one I presented
- 7 at and which one maybe Bob Fusco might have presented
- 8 the information.
- 9 Q. Well, I think Ms. Zuk showed you the January
- 10 1995 minutes of the meeting.
- 11 A. Right, I was present then.
- 12 Q. You were there in January '95?
- 13 A. Yes.
- Q. You saw a presentation given by somebody from
- 15 Micron?
- 16 A. Right, either myself or Bob.
- 17 Q. Okay. And then we looked just a moment ago, we
- looked at RX-559, remember, the May 10, 1995 patent
- 19 tracking list?
- 20 A. Yes.
- 21 Q. And the one that was sent to you, Brett
- 22 Williams?
- 23 A. And at that point in time, I don't know -- it
- 24 was sent to my mail slot, but I'm not sure if I
- 25 received this specifically and Bob picked it up or

- 1 whether I got it specifically.
- Q. Well, it was sent to your fax number, right?
- 3 A. That's my -- our fax number is on it, but
- 4 typically it's received in the mail.
- 5 Q. And it doesn't list any burst EDO patents, does
- 6 it, from Micron?
- 7 A. No, it doesn't. No, it doesn't.
- Q. Does it list burst EDO patents from anybody?
- 9 A. No.
- 10 Q. Are you sure?
- 11 A. Well, let me take a look.
- 12 Q. Why don't you take a look at the fourth page
- of -- the fifth page of it. Doesn't OKI list a burst
- 14 DRAM -- something?
- 15 A. It is a burst DRAM, but I don't know if that's
- 16 actually burst EDO.
- 17 Q. Okay. So, either you or Mr. Fusco got the
- 18 patent tracking list in May of 1995, right?
- 19 A. Should have, yes.
- Q. And both of you had been at a meeting in
- 21 January of '95 where burst EDO was discussed, correct?
- 22 A. Correct.
- Q. And both of you thought that the fact that in
- 24 May of 1995, none of the Micron burst EDO patents or
- 25 applications was on the list was okay, it was in

- 1 compliance with the rules, right?
- 2 A. State that again.
- O. Sure.
- 4 When the tracking list was received at Micron
- 5 by either you or Mr. Fusco in May of '95, following the
- 6 January of '95 meeting, either you or Mr. Fusco
- 7 concluded that Micron had complied with the rules even
- 8 though it hadn't disclosed any burst EDO patents or
- 9 applications, correct?
- 10 A. I don't know if I could say that.
- 11 Q. Well, do you looking back today think you
- 12 complied with the rules?
- 13 A. I think -- I know that there was a disclosure,
- 14 and I don't know if those disclosures contained
- 15 everything that needed to be disclosed or not.
- Q. Well, you know there was a disclosure in April
- 17 of '96.
- 18 A. Yes, I believe that's when it was.
- 19 O. Um-hum, okay, but as of May of '95, was there
- any need to have disclosed any of the patents or
- 21 applications as of that date as you understood the
- 22 rules?
- 23 A. I'm not sure. I wasn't -- and maybe this was a
- 24 mistake, but I wasn't that involved in what needed to
- 25 be disclosed at that time. I wasn't regularly

- 1 attending the meetings. That was typically Bob or
- 2 Terry Walther, and at that point in time, I did not
- 3 actually discuss with them -- and maybe we should
- 4 have -- of what actually had been disclosed and what
- 5 hadn't.
- 6 Also, I am not sure at that specific time how
- 7 many disclosures we had actually made -- how many
- 8 patent applications or disclosures for applications had
- 9 been made and where they were in the process.
- 10 Q. The -- well, as of this time, both Mr. Walther
- and Mr. Fusco knew you were applying for patents on
- 12 burst EDO, correct?
- 13 A. I don't know if they did or not.
- Q. Wasn't it well known within the company that
- 15 you were seeking intellectual property on burst EDO?
- 16 A. I worked with two specific engineers and the
- 17 legal department, and I don't know exactly what I had
- 18 communicated to Mr. Walther and Mr. Fusco.
- 19 Q. Okay. And one of the patents, one of the burst
- 20 EDO patents, has been asserted in litigation by Micron,
- 21 hasn't it?
- 22 A. Not to my knowledge.
- Q. Haven't they asserted the '376 patent against
- 24 Mosel Vitalic?
- 25 A. I'm not aware of that.

- 1 Q. Nobody's told you that?
- 2 A. No.
- 3 O. You're an inventor on the '376 patent, correct?
- 4 A. I'm not -- I don't track those that closely, so
- 5 if I'm listed on it, I am. I'm not sure if -- what
- 6 specifically those numbers refer to.
- 7 O. If at a JEDEC meeting someone was asked about
- 8 their intellectual property, they were asked do you
- 9 have any or something like that and they refused to
- 10 answer that question, was that something you would have
- 11 considered to be a violation of the rules?
- 12 A. Yes, I would think that -- well, whether it's a
- violation of rules, we would ask them why they were not
- 14 responding, and I think people would -- from the
- 15 committee would dig into it to see if there were
- 16 some -- something there that needed to be taken care
- 17 of.
- 18 Q. Now, do you recall a meeting where Mr. Crisp
- 19 was asked if he cared to comment, and he said no, he
- 20 didn't want to comment?
- 21 A. I don't remember that specifically.
- 22 Q. And your understanding is if you -- if that
- event had occurred in your presence, that would have
- 24 caused you to do more digging, right?
- 25 A. Maybe, maybe not. It depended on the

- 1 situation.
- 2 MR. STONE: Your Honor, were you going to take
- 3 an afternoon break? Would now be convenient? I'm
- 4 going to go to another demonstrative.
- JUDGE McGUIRE: It's up to the parties. I'm
- 6 fine right now.
- 7 Counsel, any suggestions in that regard?
- 8 MR. OLIVER: I think a break would be fine,
- 9 Your Honor.
- JUDGE McGUIRE: Let's go off the record. We'll
- 11 break for ten minutes.
- 12 (A brief recess was taken.)
- JUDGE McGUIRE: On the record.
- 14 At this time, you may proceed with your cross
- 15 examination, Mr. Stone.
- 16 MR. STONE: Thank you, Your Honor.
- 17 BY MR. STONE:
- 18 Q. Could we bring up the first page of the first
- 19 EDO demonstrative?
- 20 What I would like to do if we could, Mr.
- 21 Williams, is put up a time line of events that relate
- 22 to the first EDO standardization at JEDEC, and did you
- 23 have an opportunity -- I had provided a copy of this
- 24 demonstrative to complaint counsel yesterday. Had you
- 25 seen it before?

- 1 A. Just briefly, yes.
- Q. Well, I am going to see if -- did you look at
- 3 it and see anything that was wrong with it?
- 4 A. I didn't actually look at it in detail to
- 5 determine if there was anything wrong.
- 6 Q. Let me -- I'll move as quickly as I can, but if
- 7 you need me to slow down, you tell me, okay?
- If we can bring up the reference to the JC-42.3
- 9 meeting on September 13, 1994.
- 10 Is it consistent with your understanding, Mr.
- 11 Williams, that the first JEDEC meeting at which burst
- 12 EDO was discussed was September 13 of 1994?
- 13 A. I'm not sure if that's the exact first meeting,
- 14 but it was I think being discussed in that time frame.
- 15 Q. Would you like me to show you the minutes from
- that meeting and you can confirm it?
- 17 A. I don't think from the minutes of the meeting
- it will say it's the first, but it will say that it's
- 19 being discussed during the meeting.
- Q. Okay. Well, during the application process,
- 21 during the -- when you were prosecuting your patents in
- 22 the patent office, one of the things you did is you
- 23 disclosed to the patent office prior art, correct?
- 24 A. Yes.
- Q. And one of the things you disclosed to them was

- 1 the JEDEC meetings that were prior art, correct?
- 2 A. I can't remember exactly what I disclosed to
- 3 them.
- 4 O. Okay, we will skip ahead for just a moment and
- 5 see if we can clarify that point. Let's just bring
- 6 up -- let me get the document number here. Let's bring
- 7 up RX-730. We have a cover page of RX-730, which is a
- 8 patent 5,526,320, on which you are a named inventor,
- 9 correct?
- 10 A. Yes.
- 11 Q. And under the Other Publications portion -- go
- 12 ahead and bring up that whole thing like you're doing.
- 13 Under Other Publications, you'll see it says, "Samsung
- 14 Electronics, Samsung Synchronous DRAM, March 1993,
- pages 1 to 16," and then it says, "OKI Electric
- 16 Industries Company, Limited, Burst DRAM Function &
- 17 Pinout, Second Presentation, Item 619, September 1994."
- 18 Do you see that?
- 19 A. Yes.
- 20 Q. And then if we go to the next column, pick up
- 21 the top part there, and then you'll see that the first
- one is, "Toshiba Pipelined Burst DRAM, December 1994,
- JEDEC, JC-42.3, Hawaii."
- 24 Do you see that?
- 25 A. Yes.

- 1 O. So, do those references indicate that at least
- 2 for purposes of what you filed with the patent office,
- 3 you thought one of the pieces of prior art was an OKI
- 4 presentation in September of 1994 as shown on my
- 5 demonstrative, if we can go back to it?
- 6 A. Yeah, I don't know if I gave this information
- 7 or whether the patent counsel gathered that
- 8 information.
- 9 Q. Okay, but somebody from Micron gathered it.
- 10 A. Somebody, yes.
- 11 Q. And it's in a patent that issued in your name,
- 12 right?
- 13 A. As one of the inventors, yes.
- Q. Right, okay.
- 15 And then if September '94 wasn't the first
- 16 discussion, it was at least a discussion. Would you
- 17 agree with that?
- 18 A. Yes.
- 19 O. And then let's go to the next event, and was
- there another discussion in December of '94 which, as
- 21 we saw on the patent we just looked at, was the one in
- 22 Hawaii?
- 23 A. I'm sorry, the question again was?
- Q. Was there another discussion of burst EDO --
- 25 I'll just hand you the cover page of that patent if it

- 1 helps you to reference it.
- 2 Was there another discussion of burst EDO at a
- 3 JEDEC meeting in December of 1994, and was that the
- 4 Toshiba presentation?
- 5 A. It looks like that, yes.
- 6 Q. Okay. And then the next one that you're aware
- of and you attended is January of 1995, correct?
- 8 A. Yes.
- 9 Q. Okay. And there was a presentation given by
- 10 either you or Mr. Fusco at that one?
- 11 A. Correct.
- 12 Q. And the minutes have a copy of that entire
- 13 presentation attached to them, don't they?
- 14 A. Yes, it does.
- 15 Q. Okay. And then if we go to the next event,
- 16 March 15th of '95, this is the meeting where JEDEC
- 17 essentially approved the burst EDO standard as you
- 18 wrote in your fax to Mr. Lin, correct?
- 19 MS. ZUK: Objection, the respondent's counsel
- 20 has not established that Mr. Williams was present at
- 21 this meeting.
- JUDGE McGUIRE: Sustained.
- BY MR. STONE:
- Q. Okay, let me go back.
- Let me show you -- if you'd pull it out, Mr.

- 1 Williams, Exhibit RX-585.
- 2 A. The fax communication?
- Q. Yes.
- 4 A. Okay.
- Q. Okay. This is a document you wrote to Mr. Lin,
- 6 correct?
- 7 A. Yes.
- Q. And you said in the first line, "I have
- 9 included the minutes from the JEDEC meetings in March
- 10 (Las Vegas) and May (New Orleans), "correct?
- 11 A. Yes.
- Q. And you were referring to the meeting in March
- 13 of 1995 and May of 1995, right?
- 14 A. Yes.
- 15 Q. Then you say, "The March meeting minutes show
- the vote, the following discussion to clear up some
- 17 problems and how it passed unanimously as a standard,"
- 18 correct?
- 19 A. Yes.
- Q. So, it was your understanding when you prepared
- 21 Exhibit 585 that the vote was taken at the March
- 22 meeting in Las Vegas of 1995 and that it passed
- 23 unanimously, correct?
- 24 A. Yes.
- Q. Okay, let me go back to the demonstrative.

So, would you agree that the description on my

- demonstrative is correct, that JEDEC approved the
- 3 Micron burst EDO proposal that had been made in January
- 4 and then additional presentations were made at this
- 5 meeting?
- 6 A. I'm sorry, state that again.
- 7 O. Sure.
- 8 Look at the description on your screen.
- 9 A. Right.
- 10 Q. Just tell me if that description is an accurate
- 11 summary of what occurred at the March 15, 1995 meeting.
- 12 A. I would tend to think it is, but I'd like to
- 13 look at the specific meeting ballot to determine if it
- 14 was the Micron burst EDO.
- 15 Q. Okay, I can at least show you the minutes from
- 16 that meeting. I'll give you my set. Bring up JX-25,
- if you would.
- 18 A. (Document review.) So, your question again
- 19 was, if you can state that?
- 20 O. Was this the meeting at which the Micron burst
- 21 EDO standard was passed?
- 22 A. And I guess my concern is with the Micron
- 23 standard, and even in the fax I state that with
- 24 reference to the March meeting that BEDO was voted on
- 25 as a standard. Now, whose exact standard that is, I

- 1 confirm that was actually the burst EDO dual CAS
- 2 operation, but there was some clarification that got --
- and I can't remember specifically if that was what it
- 4 was.
- Q. Okay. So, let me -- I'll correct the
- 6 demonstrative to remove that specific reference, which

Okay, is it correct that the first patent

- 2 application that you filed for burst EDO was filed
- 3 December 23 of 1994?
- 4 A. I filed some applications in that time frame.
- 5 I don't know if that's the first or if that's the exact
- 6 date, but yes, in that time frame.
- 7 O. Look at the cover sheet, if you would, on the
- 8 patent that I showed you earlier. Does that show you
- 9 when it was filed?
- 10 A. Yes, and it's listing Paul Zager as the primary
- inventor, so actually Paul could have been the one
- 12 filing it and I was just added to it.
- 13 O. As a co-inventor?
- 14 A. As a co-inventor.
- 15 Q. And what's the filing date on that one?
- 16 A. That is -- this one?
- 17 Q. The filing date, not the issue date.
- 18 A. December 23rd, 1994.
- 19 O. Okay. So, the -- and is it consistent with
- 20 your recollection that that is as early as any of the
- 21 applications were filed by Micron employees on burst
- 22 EDO?
- 23 A. I'm not sure when that -- they first got filed,
- 24 but this states that it was filed on this date.
- Q. Okay. And that patent issued with what number?

- 1 A. 5,526,320.
- Q. Okay. And out of that -- and that original
- 3 application number was the '761 application?
- 4 A. I'm sorry, state that again.
- 5 Q. The original application number there was the
- 6 '761 application? Do you see the application number?
- 7 A. I'm looking for it. Oh, yes, 370,761.
- 8 Q. About 20 patents issued from that initial
- 9 application. Is that right?
- 10 A. I'm not sure how many.
- 11 Q. Is that in the ballpark of what you recall?
- 12 A. I think so.
- Q. And is it consistent with your recollection
- that the first patent application that anyone at Micron
- 15 filed on burst EDO came after the September meeting of
- 16 JEDEC in 1994 and after the December meeting of JEDEC
- 17 in 1994?
- 18 A. According to these dates in the demonstrative,
- it was filed in December, which would be after those
- 20 two meetings.
- 21 Q. And according to the citations to prior art in
- 22 that document, the first page of the patent, there were
- 23 some JEDEC meetings that occurred before that patent
- 24 was filed, correct?
- 25 A. Correct.

- Q. Now, there wasn't anything in your view -- you
- 2 weren't violating any JEDEC policy by filing for a
- 3 patent on something that had been discussed at earlier
- 4 JEDEC meetings, were you?
- 5 A. I'm not sure if what the patent covered was
- 6 actually discussed at JEDEC.
- 7 O. Okay, but the fact that the general subject of
- 8 burst EDO was talked about at a meeting didn't in your
- 9 mind mean that you couldn't file for a patent, did it?
- 10 A. State that again, please.
- 11 Q. Sure, I'm sorry.
- 12 Did you think you did anything improper in
- 13 filing for this patent after there had been some
- 14 discussion of burst EDO at a JEDEC meeting?
- 15 A. No, because there was many different types of
- operation of what was termed either burst EDO or
- 17 pipelined nibble mode EDO, so no, I didn't think it was
- improper to file it.
- 19 Q. Okay. And you didn't think that -- JEDEC
- 20 didn't have any rules that said you couldn't file a
- 21 general subject matter that had been discussed at a
- JEDEC meeting before you filed, right?
- 23 A. I think -- I don't know if I gave it that much
- 24 thought at this point in time since I wasn't part of
- 25 JEDEC. I was just filing patents on things that we

- 1 thought we invented.
- Q. And nobody ever told you in the course of
- 3 applying for these patents, nobody at Micron ever told
- 4 you that you were doing something improper, did they?
- 5 A. No, they didn't.
- 6 Q. Okay, let's look at the next patent, if we can.
- 7 What I'm going to do is let's skip all the way ahead
- 8 and fill out the demonstrative, okay, because I can put
- 9 these patents in through a stipulation or in some other
- 10 fashion if that speeds things up.
- 11 JUDGE McGUIRE: That would be helpful.
- 12 MR. STONE: Otherwise, I will ask you to take
- 13 judicial notice.
- 14 JUDGE McGUIRE: All right.
- 15 BY MR. STONE:
- 16 O. There's a large number of patents and
- applications listed on the bottom of this time line.
- 18 Do you see that, Mr. Williams?
- 19 A. Yes.
- Q. And is it consistent with your general
- 21 recollection that that's about the number of patent
- 22 applications that Micron employees filed on burst EDO?
- 23 A. I think that's pretty close.
- Q. Okay. And is it consistent with your
- 25 recollection that the first time anybody at Micron

1 notified JEDEC of any of these applications was in

- 2 April of '96?
- A. I know that there was a letter that was issued,
- 4 and I'm not sure exactly of the -- of the exact date of
- 5 that issuance.
- Q. Well, let me show you that document. It's
- 7 CX-364, and for some reason, Mr. Williams, there's some
- 8 stuff attached to the back of this letter which may or
- 9 may not go with it, but you can tell us, if you know.
- 10 Let's look at the first page of CX-364. Is
- 11 this the letter you were thinking of?
- 12 A. Yes, this is the letter that Terry actually put
- 13 together to issue to the JEDEC committee.
- Q. And it references three patent applications,
- 15 correct?
- 16 A. Yes.
- Q. And it says, "In accordance with the policy, if
- 18 the patent issues and the patented invention is
- 19 required for use of the standard, Micron will license
- 20 the patents under reasonable terms and conditions that
- 21 are demonstrably free of any unfair discrimination."
- Do you see that?
- 23 A. Yes.
- Q. Do you know why in this letter it didn't refer
- to the offer you told us you made to everybody, which

1 was that manufacturers could have it for free?

- 2 A. I don't.
- Q. Okay. And then it goes on to say, "I will
- 4 provide the appropriate patent numbers if and when any
- of the pending applications issue."
- 6 Do you see that?
- 7 A. Yes.
- Q. Now, go back to the time line, if we could. Do
- 9 you know which of the applications shown on my time
- 10 line that predate April 11 of '96, or the one that was
- 11 filed on April 11 of '96, are the three referred to in
- 12 this letter?
- 13 A. I don't.
- 14 O. Don't know?
- 15 A. Nope.
- 16 Q. And do you know if the patent numbers were ever
- 17 provided to JEDEC?
- 18 A. I don't.
- Q. Okay. And so far as you know, even though the
- 20 standard had been approved by JEDEC before April 11 of
- 21 1996, as you told Mr. Lin in your August of '95 memo,
- 22 waiting until April of '96 to disclose these
- 23 applications was as far as you understand consistent
- 24 with your understanding of the rules?
- 25 A. No, I wouldn't say that. I would say that I

1 was not aware that they hadn't been disclosed. Terry

- 2 and I did not talk regarding these. I was off doing
- 3 other things, and he was off doing his stuff, and maybe
- 4 that was an error. I mean, maybe I should have been
- 5 more diligent in informing him of patents that we had
- 6 submitted application for.
- 7 O. Well, in May of '95 when the -- when the patent
- 8 tracking list was addressed to you and you could have
- 9 looked at it and seen that there weren't any burst EDO
- 10 patents on it, if you had seen it and looked at it,
- 11 would that have caused you to think, oh, we should
- 12 disclose now, or would you have thought you were okay
- 13 because you had told the manufacturers about your
- 14 patents?
- 15 A. Actually, I thought that in -- actually, I
- 16 didn't even think about it, but I don't know if I got
- 17 that or not. At that point in time, other people were
- taking care of JEDEC, and I didn't know if they had
- 19 disclosed or not, nor did -- was I at that point
- 20 concerning myself whether or not it got disclosed. I
- 21 expected other people were taking care of it.
- Q. And if somebody who was at JEDEC was sort of
- 23 watching the Micron representatives to see what was
- 24 expected of a JEDEC member, it's true, isn't it, that
- 25 what they would have seen was Micron made a disclosure

- of burst EDO patent applications after the standard was
- 2 approved?
- 3 A. State that again.
- 4 Q. Certainly.
- 5 If another JEDEC member was sort of watching
- 6 Micron and saying, well, I want to see how the other
- 7 members behave so I can see what's expected of me, if
- 8 they had been watching Micron, what they would have
- 9 seen was a disclosure of applications made after the
- standard had been approved?
- 11 A. In this point, yeah, there was a disclosure of
- 12 some of the -- and maybe it was all that apply, I'm not
- sure which actually applied to the standard, after the 14ate that agrAtrh actuionsainly.

1 who was a JEDEC member -- and most of them were, right?

- 2 A. Yes.
- 3 O. -- they would have seen that someone who had
- 4 IP, like Micron did for burst EDO, might tell them
- 5 about it in a meeting, but might not disclose it at
- 6 JEDEC until after the standard was developed, correct?
- 7 A. You might get that inference, and it could be
- 8 that why they weren't concerned about it is that burst
- 9 EDO died, it didn't become the next memory part, or
- 10 maybe they weren't concerned about it because I had
- 11 already talked to them about that there wasn't going to
- 12 be a -- a royalty associated with it. I'm not sure why
- they didn't bring it up.
- Q. And the first of the Micron patents to issue
- 15 was June of '96, correct, which is the date of the
- 16 patent that I gave you earlier?
- 17 A. Yes, this one issued in June of '96.
- 18 Q. Okay. So, once the patent issued in June of
- 19 '96, if somebody had gone back and looked at that
- 20 patent, they would have seen -- by just looking at the
- 21 patent, they would have seen, well, Micron cited as
- 22 prior art early JEDEC meetings, and Micron applied for
- 23 the patent in December of '94, after some of the early
- 24 meetings and before -- right before the January '95
- 25 presentation that you and Mr. Fusco attended, and the

- 1 patent issued in June of '96, and Micron made the
- 2 disclosure to JEDEC in April of '96. That's the facts
- 3 they would have seen.
- 4 A. Yes.
- 5 Q. And to your knowledge, nobody seeing those
- facts, no JEDEC member, came to Micron and said, you
- 7 guys acted in a way inconsistent with the JEDEC policy,
- 8 did they?
- 9 A. I'm not sure if anybody talked to Micron about
- 10 that or not. Nobody talked to me about it.
- 11 Q. Okay. Nobody ever -- Mr. Walther never called
- 12 you in and said, you know, I think between us or maybe
- it's all my fault, but it's your patents, Mr. Williams,
- and between us somehow, we didn't comply with the JEDEC
- 15 policy. Nobody ever called you in and said anything
- 16 like that?
- 17 A. He never stated that, no.
- 18 Q. Okay. And did you ever hear from anybody at
- 19 JEDEC directly that they wanted to talk to you about
- 20 it?
- 21 A. No.
- 22 Q. Okay. So, you've never been called on the
- 23 carpet by anybody for anything to do with the burst EDO
- 24 patent disclosures at JEDEC.
- 25 A. No, but maybe I should have been.

1 O. And even today, after this presentation in this

- 2 hearing, right up until today, nobody has ever said to
- 3 you that your conduct was in violation of the JEDEC
- 4 policies, have they?
- 5 A. Nobody's specifically said that, no.
- 6 Q. Okay.
- 7 A. But maybe that's because the standard was never
- 8 adopted. It died shortly after in the middle of '95.
- 9 I'm not sure why they didn't do that. Maybe it was
- 10 irrelevant.
- 11 Q. But the standard was adopted, right?
- 12 A. It was adopted, but nobody ever made the part.
- 13 O. One more topic. Maybe I should be -- maybe I
- should be more cautious and say let me switch topics as
- 15 opposed to saying just one more.
- Patents are very important to Micron, aren't
- 17 they?
- 18 A. Yes.
- 19 O. Last year, Micron was number three on the list
- of U.S. -- on the list of companies in the world in
- 21 terms of the number of U.S. patents it was issued,
- 22 correct?
- 23 A. I'm not sure. I haven't seen the specific
- 24 statistics, but I know we issue a lot.
- Q. And it's something that is talked about within

- 1 the company as it's important to do and we're proud of
- 2 how well we've done in gathering intellectual property?
- 3 A. Yes.
- Q. Okay. Are you aware that Micron signed a
- 5 license agreement with Rambus to manufacture RDRAM?
- 6 A. I have heard of it.
- 7 Q. And you're aware that they never actually went
- 8 to production with any RDRAM, correct?
- 9 A. Yes.
- 10 Q. When -- you did go to production with burst
- 11 EDO, or no?
- 12 A. Not full production. We produced a few parts,
- but no, we never went to volume production.
- Q. Did you produce those at a facility that also
- 15 produces DRAM of other kinds?
- 16 A. I couldn't state specifically which fab they
- were produced in, whether it was a developmental fab or
- 18 a full production. I don't know which fab it came out
- 19 of.
- Q. Were they produced at a fab that is devoted
- 21 solely to burst EDO?
- 22 A. I couldn't even say that.
- Q. Okay. Let me show you a document, RX-629, is d

1 Williams, did you know who Jeff Mailloux was in

- 2 November of '95?
- 3 A. Yes.
- 4 O. What was his position then?
- 5 A. I think at that time he was marketing manager.
- 6 O. For DRAMs?
- 7 A. For -- I don't know if it was specifically all
- 8 DRAMs -- all products or just DRAMs.
- 9 Q. And what position did Steve Casper have at the
- 10 time?
- 11 A. I believe at that time he was a designer, may
- 12 have even been a product engineer.
- Q. What position did Gene Cloud have?
- 14 A. I think he was VP of marketing.
- 15 Q. And Ed Heitzeberg, what was his position?
- 16 A. I believe he was at this time vice president of
- 17 OA.
- 18 Q. Now, I don't know whose name is next, and it
- 19 had been at some point highlighted. Do you?
- 20 A. I can't read it.
- 21 Q. Okay, I can't either. In the left column, at
- this time, November of 1995, what was Tyler Lowrey's
- 23 position?
- 24 A. I don't know if he was vice president of
- 25 design. He was very high in the design organization or

- 1 in the process organization.
- Q. And Kevin Ryan, what was his position?
- A. At this time, he was in -- he might have still
- 4 been in apps or strategic marketing.
- 5 Q. And when he was in apps, did he report to you?
- A. Yes, and this is the time frame that I moved
- 7 away from the main DRAM products and went into the
- 8 motherboard arena and the startups, so --
- 9 Q. And in November of '95, were you still
- 10 reporting to Gene Cloud?
- 11 A. I don't think so. I can't recall specifically
- 12 when I made that transition.
- Q. And Tom Trent, what was his position in
- 14 November of '95?
- 15 A. He was involved in the design area somewhere.
- Q. And Terry Walther, what was his position?
- 17 A. He was in applications and I believe at this
- 18 time was a JEDEC rep.
- 19 Q. And do you recall whether this memorandum was
- 20 shared with you?
- 21 A. No, it was not.
- 22 Q. And did anybody share with you in this time
- frame, November and December of '95, any abstracts or
- 24 actual patents that had been issued to Rambus?
- 25 A. No.

- 1 SyncLink approach, even though their method came out of
- 2 early RamLink work. Micron is particularly concerned
- 3 to avoid the Rambus patents, though all of us share
- 4 this concern."
- 5 Do you see that?
- 6 A. Yes.
- 7 Q. Did any -- either Mr. Walther or Mr. Ryan in
- 8 the January of '96 time frame discuss with you
- 9 whether -- what level of concern they or others at

- 1 A. I don't believe so.
- 2 Q. So, earlier today when you showed us that
- diagram of SDRAM, is that a product that you've ever
- 4 been involved with?
- 5 A. Yes.
- 6 Q. And did you get involved with it when you came
- 7 back?
- 8 A. No, we made motherboards and used -- that used
- 9 SDRAM.
- 10 Q. When you were out at the startup company, you
- 11 made the motherboards?
- 12 A. Right, as well as when we were doing Crucial,
- we sold SDRAM modules.
- Q. Okay. But at that point in time, were you
- 15 concerned then about the comparative benefits and
- 16 performance of RDRAM and SDRAM?
- 17 A. No.
- 18 Q. Okay. So, is it a fair statement, then, or a
- 19 correct statement, then, that as of November of '95 and
- January of '96, the extent to which Rambus had patents
- 21 that covered SyncLink or other products was not
- 22 something of concern to you in your job
- 23 responsibilities at the time?
- 24 A. That's correct.
- 25 Q. Okay.

1 No further questions at this time, Your Honor.

- JUDGE McGUIRE: Okay, thank you, Mr. Stone.
- 3 Does complaint counsel wish to proceed on to
- 4 redirect?
- 5 MS. ZUK: Your Honor, may I have a few minutes
- 6 to do a short --
- JUDGE McGUIRE: Sure, let's go off the record,
- 8 take a couple minutes.
- 9 (A brief recess was taken.)
- 10 JUDGE McGUIRE: Let's go back on the record,
- 11 and I want to advise you, Counselor, that you are to
- 12 confine the scope and your inquiries to that covered
- 13 under the cross examination.
- MS. ZUK: Okay.
- 15 JUDGE McGUIRE: Thank you. You may proceed.
- 16 REDIRECT EXAMINATION
- 17 BY MS. ZUK:
- 18 Q. Now, Mr. Williams, Mr. Stone showed you a lot
- 19 of examples of patent tracking lists that you received
- 20 from Mr. Townsend --
- 21 JUDGE McGUIRE: Ms. Zuk, I can't quite hear --
- 22 you are going to have to pull that closer to you. I'm
- having trouble hearing you at this time. I don't
- 24 know -- perhaps it's just gotten off base a little bit
- 25 there. Do you want to try again?

- 1 MS. ZUK: How about now?
- JUDGE McGUIRE: That's much better. Thank you
- 3 BY MS. ZUK:
- 4 Q. Do you remember looking at those patent
- 5 tracking lists?
- 6 A. Yes.
- 7 O. I would like to draw your attention back to an
- 8 exhibit we looked at earlier today. It's JX-13.
- 9 A. Okay.
- 10 Q. And you testified earlier that you attended
- 11 this -- this meeting, but perhaps first I should ask,
- 12 are you familiar with this document?
- 13 A. Yes.
- Q. And can you please tell us what this document
- 15 is again?
- 16 A. This is minutes of the JC-42.3 committee of
- 17 July of '92 in Denver, Colorado.
- 18 Q. Now, earlier today you testified that you
- 19 attended this meeting in July --
- 20 A. Yes.
- 21 Q. -- 1992, and we flipped the attendance roster,
- 22 and we saw that the minutes indicated that Richard
- 23 Crisp attended and Dave Mooring attended on behalf of
- 24 Rambus at this meeting.
- Do you see those references in the attendance

1 reads, "Please refer to the existing rules of the EIA

- 2 governing patentable matters, which follow. Following
- 3 that material is a list of matters which have been
- 4 brought to the attention of the JEDEC Memory Committee.
- 5 In some cases, a patent number is already identified.
- 6 Please examine the list and research your company's
- 7 position on patents held or applied for on the items
- 8 next to your company name in the 'Holder' column, and
- 9 reply with the patent number, application number, or
- 10 letter indicating the intent of your company to patent
- or not patent the subject matter."
- 12 Do you see that?
- 13 A. Yes.
- 14 O. Did Mr. -- or did Chairman Townsend go over
- this material in this slide during his patent
- 16 presentations?
- 17 A. Yes, he went over it quite often, at every
- 18 meeting.
- 19 O. And when he used this particular slide in his
- 20 presentation, do you have -- do you have any memory of
- 21 what he would explain when he used this document?
- 22 A. I can't remember the exact words he would use,
- 23 except I do know that he would always stress the
- 24 necessary requirement of all the members to disclose
- 25 patents or patent applications as early in the JEDEC

- 1 process as possible.
- Q. Okay. Now, is this -- is this a page that
- 3 you -- or I'll strike that.
- 4 Could you explain for the Court the
- 5 relationship between this page and some of the exhibits
- 6 that respondent's counsel showed you during his
- 7 examination?
- 8 A. Which exhibits?
- 9 MR. STONE: Objection, Your Honor, vague with
- 10 respect to exhibits I showed the witness during my
- 11 examination. I think she needs to draw the witness'
- 12 attention to some specific exhibit.
- JUDGE McGUIRE: Yeah, Counselor, when you say
- 14 "this page," it's not clear which page you're talking
- to, so please cite to the proper exhibit number and/or
- 16 page.
- MS. ZUK: Okay.
- 18 BY MS. ZUK:
- 19 Q. Well, the page I was directing your attention
- 20 to was page 114 of JX-13.
- 21 A. Okay.
- 22 Q. And my question is directed at your explanation
- of the relationship between -- or if any, of this page
- and, for example, one of the patent tracking lists that
- 25 Mr. Stone showed you earlier.

- 1 A. Can you refer me to a specific exhibit?
- 2 Q. I don't have the number in front of me, but it
- 3 is Bates number TAEC 0032031, and the date of the
- 4 document appears to be June 8, 1992.
- 5 A. I do have several here. I don't know which one
- 6 you want me to refer to in comparison. I don't seem to
- 7 find the Bates. I found a Kelly.
- 8 Q. Well, I can -- may I approach the witness
- 9 and --
- 10 JUDGE McGUIRE: Go ahead.
- 11 BY MS. ZUK:
- 12 Q. Here you go.
- 13 A. Great, thanks.
- Q. Do you remember taking a look at that document?
- 15 A. Yes.
- 16 Q. And what is that document in front of you that
- 17 respondent -- Mr. Stone used with you earlier today?
- 18 A. This is one of the patent tracking documents
- 19 that was sent out prior to a meeting.
- 20 O. And what is the date of that document?
- 21 A. This document is May 26th, '92.
- 22 O. And Mr. Stone mentioned that Rambus wasn't one

1 clear now what you're talking about. What document

- 2 number is this?
- 3 MS. ZUK: This is JX-28.
- 4 JUDGE McGUIRE: Twenty-eight, okay.
- 5 BY MS. ZUK:
- 6 Q. And if you could turn to page 12 of JX-28, are
- 7 you familiar with this document, which is page 12 of
- 8 JX-28?
- 9 A. I can't say specifically that I am, because in
- this time frame I was no longer the JEDEC rep, and even
- 11 though my name is on it, I can't state that I actually
- 12 received it. It could have been that it was just
- mailed, and even though my name is on it, Bob Fusco or
- 14 Terry Walther or whoever the JEDEC rep was actually
- 15 received it.
- 16 Q. Are you familiar with this kind of document?
- 17 A. This looks like the typical language that would
- 18 be attached to the patent tracking document, yes, which
- 19 would be mailed out prior or that would be also gone
- 20 over in the JEDEC meetings.
- 21 Q. Okay. And if you could turn back to the first
- 22 page of this exhibit, which is JX-28, now, the top of
- the page reads, "December 6th, 1995, JC-42.3 Committee
- on RAM Memories and Minutes of Meeting Number 77."
- Do you see that?

- 1 A. Yes.
- Q. Does this indicate that JX-28 is a set of
- 3 minutes from the December 6th, 1995 JC-42.3 meeting?
- 4 A. Yes, it says that.
- 5 Q. Now, Mr. Stone walked you through a lot of
- 6 burst EDO patents or you had a discussion about burst
- 7 EDO patents, and he referred you to a document that
- 8 showed an April 1996 letter from Terry Walther
- 9 disclosing three patent applications on burst EDO.
- 10 Do you remember that?
- 11 A. Yes.
- 12 Q. Do you have an understanding of the
- 13 circumstances surrounding the disclosure of three
- 14 applications related to burst EDO in 1996?
- 15 A. I'm not sure exactly why they were disclosed,
- no, I don't know what precipitated that event.
- 17 Q. Well, in your discussion of the vaih A. st
- 18 7 ED pate 14 applipitaMicr dieiTerryfilrec A. st
- pas or ton of thepoi ED . time, Q.saior tow, Mpoi Ec A. st
- 2 ipitamaybe Q.mado y ke by A. --O patent A. st
- 2 oes thisd ipitamaybe Q.mado y ke scussi--Oby A. A. st
- 22 9 di7 ED pat/ pang three patent applications A. st
- 2 related to b reJEDEChat event.
- 24 10 Do you remember that?

- 1 but I remember saying that, yeah, I could have made a
- 2 mistake by not telling Terry more directly instead of
- 3 relying on him to know what was going on. Maybe I
- 4 should have said, hey, look, we're filing IP here, and
- 5 you need to disclose it. Maybe that was the mistake I
- 6 made.
- 7 O. Okay. Did you think that under the terms of
- 8 the JEDEC patent policy, as you understood it between
- 9 1991 and 1993, that perhaps you should have done
- something differently with respect to the patents and
- 11 patent applications in 1996?
- 12 A. In retrospect, yeah, we should have done
- 13 something different, I believe. I think we should have
- 14 disclosed them earlier.
- 15 Q. Now, I want to get some more facts about the
- 16 sequence of your involvement in burst EDO. As I
- 17 understood it from your testimony earlier today, you
- 18 were involved at some point at Micron in promoting
- 19 burst EDO in the industry.
- 20 A. Yes.
- 21 O. Would that be fair?
- 22 A. That's correct.
- Q. And when did your activity surrounding the
- 24 promotion of burst EDO begin at Micron?
- 25 A. Probably in the early '94 time frame.

1 O. Okay. And did you have meetings in 1995 with

- 2 DRAM customers and perhaps manufacturers about burst
- 3 EDO?
- 4 A. I can't remember exactly when we had meetings,
- 5 but in the '94-'95 time frame, I did have meetings with
- 6 the manufacturers of DRAM to support burst EDO.
- 7 Q. And when would you say your activity in
- 8 relation to promoting burst EDO in the industry
- 9 stopped?
- 10 A. Either the -- probably be early '96, early to
- 11 mid-'96.
- 12 Q. Okay. In late 1993 to 1994, to the best of
- 13 your knowledge, did Micron ever intend to seek
- 14 royalties on the burst EDO patents that list you as a
- 15 co-inventor or inventor?
- 16 A. No, we did not.
- 17 Q. In the 1995 time frame, to the best of your
- 18 knowledge, did Micron ever intend to seek royalties on
- 19 the burst EDO patents that list you as a co-inventor or
- 20 inventor?
- 21 A. No, they did not.
- Q. And how about in early 1996?
- 23 A. No.
- Q. Okay. Why not?
- 25 A. I think there are several reasons. First of

- all, from my discussions with the manufacturers and
- 2 chipset -- both the memory manufacturers and the
- 3 chipset manufacturers, I'd already given them an
- 4 assurance that they could use the patents, whatever
- 5 those patents were, with regard to burst EDO without
- 6 any royalties in order to garner their support for the
- 7 standard and to produce it.
- 8 Then later, it was never produced by anybody
- 9 else. Even though they had the capability on their
- 10 die, they never did come to market with the product.
- 11 So, it wasn't required.
- 12 Q. Now, focusing outside of DRAM manufacturers and
- 13 your interaction with them, at any time up to April
- 14 1996, were you aware of any intention within Micron to
- 15 enforce burst EDO patents against companies practicing
- 16 to the burst EDO standard?
- 17 A. Say that again. I'm sorry, I got daydreaming
- 18 for a second.
- 19 O. At any time up until April 1996, were you aware
- 20 of any intention within Micron to enforce burst EDO
- 21 patents against companies practicing to a burst EDO
- 22 standard?
- 23 A. No.
- Q. Do you have an understanding as to why?
- 25 A. Not specifically. I think in general it's

- 1 because the standard never did come to the market.
- 2 Also, the -- we stated that we wouldn't prosecute any
- 3 patents against anybody who used that standard. Those
- 4 are two thoughts that I have.
- 5 Q. Would it be fair to say, then, that neither you
- 6 or your company, to the best of your understanding,
- 7 ever had any intention of enforcing burst EDO patents
- 8 against companies that -- which might choose to
- 9 practice to the burst EDO standard?
- 10 A. Yes, I think that's a fair statement.
- MS. ZUK: No further questions.
- 12 JUDGE McGUIRE: Thank you, Ms. Zuk.
- Mr. Stone, any further recross?
- MR. STONE: Briefly, Your Honor.
- 15 JUDGE McGUIRE: And again, you are under the
- same caution regarding the scope and inquiry.
- MR. STONE: Thank you.

- 1 A. Yes.
- Q. Up came the patent tracking list, not a single
- 3 Micron burst EDO patent was on that list that went up
- 4 on the screen, was it?
- 5 A. That's correct.
- 6 Q. You knew in January of '95 that Mr. Walther,
- 7 Mr. Fusco and yourself had not disclosed a single burst
- 8 EDO patent to JEDEC, correct?
- 9 MS. ZUK: Objection, lacks foundation.
- 10 JUDGE McGUIRE: Overruled.
- 11 THE WITNESS: I did not know that they were
- 12 disclosed or not.
- BY MR. STONE:
- Q. You knew when the patent tracking list came up
- 15 on the screen and you had a chance to look at it that
- no Micron burst EDO patents were listed, were they?
- 17 A. They were not listed on the patent tracking
- 18 list.
- 19 Q. And you knew from your years at JEDEC, as you
- 20 tell us today you knew, that you should have disclosed
- 21 patent applications, right?
- 22 A. Somebody should have disclosed.
- Q. And you knew in January of '95 that nobody had,
- 24 because they didn't come up on the list, right?
- 25 A. No, I don't think I could infer that they --

- 1 they hadn't been disclosed because they weren't on the
- 2 list.
- Q. Well, what does the list say at the very
- 4 beginning? Doesn't it say this is a list of all the
- 5 patents that have been disclosed to date?
- 6 A. I don't know if it has those exact words, but
- 7 it says --
- 8 Q. Take a look at one of them.
- 9 A. Let me read it.
- 10 Q. It's right on the cover memo. What's it say in
- 11 the first paragraph that Mr. Townsend wrote that you
- said came up at every one of the meetings?
- 13 A. "This is the list of matters which have been

- 1 sure they get on the list, because that's what I
- 2 understand I should do. You didn't do that, did you?
- 3 A. No, I didn't.
- Q. And you didn't nudge Mr. Fusco and say, hey,
- 5 Bob, if you're the rep today and it's your
- 6 responsibility maybe instead of mine, raise your hand,
- 7 because I'm about to talk about burst EDO at this
- 8 meeting, and I and some other guys at Micron have
- 9 applied for a lot of patents on burst EDO. You didn't
- 10 do that either, did you?
- 11 A. No, I didn't.
- 12 Q. So, if we were to look at your conduct in
- January of '95, we would see a man who knowing that the
- 14 list didn't show any Micron burst EDO patents, didn't
- 15 feel he should raise his hand and say anything about
- it. That's what we'd see if we looked at your conduct,
- 17 wouldn't we?
- 18 A. I don't know if you could characterize it that
- 19 way. I think you would -- you could characterize it
- 20 that maybe I should have.
- 21 Q. Well, you didn't feel in January of '95 when
- 22 you flew back to Boise, you didn't go back into Mr.

- 1 A. No, I didn't.
- Q. You didn't go back to Boise and tell Mr.
- 3 Walther or Mr. Fusco, you know, probably I should have
- 4 disclosed them, because I saw they weren't on the list,
- 5 probably I should have disclosed them then, but next
- 6 meeting, you guys do it. You didn't do that either,
- 7 did you?
- 8 A. No, I didn't.
- 9 Q. The first time you've ever said in public --
- 10 the first time you've ever said, I should have
- disclosed those applications, was when you came here
- 12 today and testified, right?
- 13 A. Yes.
- Q. Okay. In your depositions in the Micron case
- against Rambus, you never said that.
- 16 A. I can't remember everything I said in the
- deposition, but you can probably show me.
- 18 Q. And in your deposition that Ms. Jeffries took
- 19 of you in this case, you never said, I goofed, I should
- 20 have disclosed them, did you?
- 21 A. I don't know if I was asked the specific
- 22 question.
- Q. The first time you've ever told a supervisor, a
- 24 subordinate, a colleague at Micron that you now realize

1 required you to have disclosed patent applications that

- 2 you didn't disclose, the first time you've ever come up
- 3 with that is here today, right?
- 4 A. State the question again.
- 5 Q. The first time you've ever told any other
- 6 Micron employee that you think back in January of '95
- 7 maybe you should have acted differently, the first time
- 8 you've ever said that to anybody at Micron, is today.
- 9 A. I don't know if I could characterize it that
- 10 I've never said that anybody, that I goofed and should
- 11 have disclosed earlier, was the first time today.
- 12 Q. Well, when did you realize that the patent
- tracking list that you saw in January '95 didn't have
- 14 any burst EDO patents on it from Micron? Didn't you
- 15 realize it that day?
- 16 A. I don't think I looked at it that day.
- Q. Okay. And you didn't look at the ones that I
- 18 showed you earlier that were faxed to the general fax
- 19 number for your group either, right?
- 20 A. I looked at those prior to those meetings,
- 21 yeah.
- Q. Okay. So, the one I showed you for May of '95,
- you looked at that one after your January meeting?
- A. I'm sorry, which one did you refer to?
- Q. May of 1995. I showed you the patent tracking

- list sent to your attention, May of '95. Did you look
- 2 at that one to see if they had picked up the
- 3 applications that related to the presentation you had
- 4 given in January?
- 5 A. At that point in time, I didn't know where the
- 6 patents actually were, whether I knew that I did a
- 7 disclosure, but I didn't know where they were in the
- 8 process.
- 9 Q. You don't mean to tell me, seriously, that you
- 10 didn't sign the patent applications that went to the
- 11 patent office?
- 12 A. Yes, I did.
- 0. Okay. You signed them under oath with a notary
- before they went to the patent office, right?
- 15 A. Yes.
- Q. Okay. And you signed those applications well
- 17 before January of '95, didn't you?
- 18 A. I believe -- yes, I believe so.
- 19 O. Okay. Ms. Zuk showed you JX-28. Do you still
- 20 have it in front of you?
- 21 A. Which one was that?
- Q. That was the minutes of the meeting in December
- of '95. Do you remember she showed you those?
- A. Oh, I think this is one I don't have a copy of.
- 25 Is this --

- 1 Q. Yeah, maybe she just brought it up on the
- 2 screen.
- 3 Do you remember this was the meeting in
- 4 December of '95, Fairmont Hotel, Dallas, Texas?
- 5 A. Right.
- Q. And then she asked you to look at a couple of
- 7 pages of the document?
- 8 A. Right.
- 9 Q. Take a look at page 20, if you would. We will
- just bring it up on the screen.
- 11 You'll see it says Toshiba in the bottom
- 12 right-hand corner.
- 13 A. Yes.
- Q. And that was the company that Jim Townsend
- 15 worked for?
- 16 A. Yes.
- Q. And do you see that it tells you how to find
- 18 patents by going to this worldwide web address?
- 19 A. Yes.
- Q. And do you see what is listed on this slide as
- 21 the source of the information for how to get patents?
- 22 A. Yes.
- 23 O. What's it say?
- 24 A. It says, "R. Crisp, email, 5/23."
- Q. And do you understand that R. Crisp to be a

- 1 reference to Richard Crisp?
- 2 A. I would think so.
- 3 MS. ZUK: Objection, lacks foundation.
- 4 JUDGE McGUIRE: I think at this point that's
- 5 overruled.
- 6 BY MR. STONE:
- 7 Q. And did you answer? I'm sorry.
- 8 A. Yeah, I believe it does.
- 9 Q. Okay. This intent not to seek royalties for
- 10 any of the burst EDO patents, you testified to that
- 11 earlier, okay? Do you remember?
- 12 A. Yes.
- Q. You would agree, wouldn't you, that it's not
- 14 within your authority to make a decision on behalf of
- 15 Micron as to whether they are going to seek royalties
- or not seek royalties for any particular patent?
- 17 A. In general, that's true.
- Q. And one of the burst EDO patents that I put up
- on my demonstrative /oi' Th--r? llt'srity get of tup

1 If we could bring it up on the screen, it is

- 2 RX-861.
- Now, if we take a look at this patent, you'll
- 4 see the title of it is Distributed Write Data Drivers
- 5 for Burst Access Memories. Do you see that?
- 6 A. Yes.
- 7 Q. Just bring it up the way you had it before.
- 8 Do you see that it was filed January 30 of
- 9 1995?
- 10 A. Yes.
- 11 Q. And it was a continuation-in-part of -- a
- 12 continuation-in-part of one that was filed back on
- December 23rd of '94 that we talked about earlier? Do
- 14 you see that under Related Patent Data?
- 15 A. Yes.
- Q. And this is a patent that relates to burst EDO,
- 17 isn't it?
- 18 A. I'm not sure without reading it.
- 19 O. Well, if you look under the Other Publications,
- 20 do you see in the prior art it lists the Samsung
- 21 Synchronous DRAM presentation from March of '93, the
- 22 OKI presentation from September of '94, the Toshiba
- presentation from December of '94, down at the bottom,
- 24 and then it -- do you see that?
- 25 A. Yes, I see those.

1 O. If this is a patent that relates to burst EDO,

- 2 is this one that you're testifying today Micron has no
- 3 intention to enforce or seek royalties for?
- A. I can't state that, because I had no
- 5 involvement with this patent.
- Q. Well, do you know which of the patents that I
- 7 showed you earlier on my chronology are ones that you
- 8 think you can testify today Micron has no intention of
- 9 seeking to enforce or to seek royalties for?
- 10 MS. ZUK: Objection, mischaracterizes his
- 11 testimony.
- 12 JUDGE McGUIRE: I'm sorry, I can't hear you,
- 13 Ms. Zuk.
- 14 MS. ZUK: Objection, I believe respondent's
- 15 counsel is mischaracterizing Mr. Williams' earlier
- 16 testimony.
- 17 MR. STONE: Let me rephrase, Your Honor.
- 18 JUDGE McGUIRE: All right, go ahead.
- 19 BY MR. STONE:
- Q. Mr. Williams, did you testify earlier today
- 21 that Micron has no intention of seeking at this point
- in time to enforce certain of the burst EDO patents?
- 23 A. Yes.
- Q. Okay. And which of the patents -- do you know
- which patents that applies to?

- 1 A. I don't.
- 2 Q. You don't know?
- 3 A. No.
- 4 Q. Who at Micron told you that a decision had been
- 5 made not to enforce certain of the burst EDO patents?
- 6 A. Nobody at Micron told me.
- 7 O. Okay. So, that's just -- do you have any basis
- 8 for that understanding other than that's what you think
- 9 you should do?
- 10 A. Correct. At that point in time when I was
- 11 trying to get people to support the standard, other
- memory manufacturers to support the standard, in the
- late '94 time frame or '94 time frame, the work that we
- had done on burst EDO up to that time would not apply
- 15 to whatever they were going to use in the standard.
- 16 Q. So, the fact that the patent I just showed you
- on the screen has been asserted -- I represent to you,
- it's been asserted in a lawsuit in Delaware by Micron
- 19 against Mosel Vitalic -- did I say that correctly?
- 20 A. Vitalic.
- 21 O. -- Mosel Vitalic, that's not inconsistent or is
- 22 it inconsistent with your understanding of what you
- were authorized to say?
- 24 A. I am not aware of whether or not we've -- we
- 25 have actually asserted a patent, a burst EDO patent

- 1 against them, nor whether this applies to burst EDO.
- Q. And how many of the manufacturers that you met
- 3 with and discussed burst EDO with participate in
- 4 cross-license agreements with Micron?
- 5 A. I'm not sure how many.
- 6 Q. When you met with them and said not to worry,
- 7 it would be free, did you tell them that it would be
- 8 free because it would be part of an existing
- 9 cross-license?
- 10 A. No.
- 11 Q. Did you tell them it would be part of a patent
- 12 pool?
- 13 A. No.
- 14 Q. Did you have an understanding that it was part
- of a cross-license or a patent pool?
- 16 A. No.
- Q. Who would know that at Micron?
- 18 A. I'm not sure exactly who that would be.
- 19 Q. Do you know if Mr. Cloud would know that?
- 20 A. I don't know.
- 21 Q. Okay. And is it your understanding that in
- 22 order to give or spend money on behalf of Micron above
- 23 a certain amount, you have to be at a certain level
- within the company?
- 25 A. Yes. rii 24RecTj, Ise?

1 Q. And do you have a certain level of authority

- 2 that you can spend on your own?
- 3 A. I did at that time.
- 4 Q. Okay. And did that authority allow you to give
- 5 away for free patents?
- 6 A. Probably not.
- 7 Q. Okay.
- Thank you, no further questions, Your Honor.
- JUDGE McGUIRE: Okay, thank you very much,
- 10 Counsel.
- 11 MR. STONE: Could I move in some exhibits, Your
- 12 Honor?
- JUDGE McGUIRE: Oh, yes, let's please do that.
- MR. STONE: And the ones that I believe I used
- 15 that I haven't moved in are the following: CX-40 --
- JUDGE McGUIRE: Do you want to take them as we
- 17 did, one at a time, or just have them all moved in and
- 18 then we can ascertain whether there's any opposition?
- 19 MR. STONE: Could I suggest maybe this is a --
- 20 why don't I move in the ones that I'd like to move in,
- 21 and then if they want to advise us of objections even
- 22 in the morning or in the afternoon tomorrow, whenever
- we start up, we can do it that way, or what's easiest?
- 24 JUDGE McGUIRE: I would like them to do it now.
- Let's do it as we did earlier today and just do it one

1 at a time, one at a time, and then counsel can advise

- whether there's any objection to each individual
- 3 exhibit.
- 4 All right, go ahead, Mr. Stone.
- 5 MR. STONE: CX-40.
- 6 JUDGE McGUIRE: Any objection?
- 7 MS. ZUK: There is no objection.
- 8 MR. STONE: CX-336 --
- 9 JUDGE McGUIRE: Hold it, one at a time.
- 10 Entered.
- 11 (CX Exhibit Number 40 was admitted into
- 12 evidence.)
- 13 MR. STONE: CX-336.
- MS. ZUK: No objection.
- JUDGE McGUIRE: Entered.
- 16 (CX Exhibit Number 336 was admitted into
- 17 evidence.)
- 18 MR. STONE: CX 342.
- MS. ZUK: No objection.
- 20 JUDGE McGUIRE: Entered.
- 21 (CX Exhibit Number 342 was admitted into
- evidence.)
- 23 MR. STONE: CX-364.
- MS. ZUK: No objection.
- JUDGE McGUIRE: Entered.

1 (CX Exhibit Number 364 was admitted into

- 2 evidence.)
- 3 MR. STONE: CX-2632.
- 4 MS. ZUK: No objection.
- JUDGE McGUIRE: Entered.
- 6 (CX Exhibit Number 2632 was admitted into
- 7 evidence.)
- 8 MR. STONE: RX-559.
- 9 MS. ZUK: I'd like to ask what that -- what --
- 10 which respondent's exhibit that is.
- 11 MR. STONE: Yeah, why don't we bring them up.
- 12 That was one of the patent tracking lists.
- MS. ZUK: No objection.
- 14 JUDGE McGUIRE: Entered.
- 15 (RX Exhibit Number 559 was admitted into
- 16 evidence.)
- 17 MR. STONE: RX-629.
- MS. ZUK: If it would be possible to take a
- 19 look at that.
- 20 MS. ZUK: No objection.
- JUDGE McGUIRE: Entered.
- 22 (RX Exhibit Number 629 was admitted into
- evidence.)
- 24 MR. STONE: RX-663.
- MS. ZUK: No objection.

- 1 JUDGE McGUIRE: Entered.
- 2 (RX Exhibit Number 663 was admitted into
- 3 evidence.)
- 4 MR. STONE: RX-361.
- 5 MS. ZUK: No objection.
- JUDGE McGUIRE: Entered.
- 7 (RX Exhibit Number 361 was admitted into
- 8 evidence.)
- 9 MR. STONE: RX-356.
- 10 MS. ZUK: No objection.
- JUDGE McGUIRE: Entered.
- 12 (RX Exhibit Number 356 was admitted into
- evidence.)
- 14 MR. STONE: RX-585.
- MS. ZUK: No objection.
- JUDGE McGUIRE: Entered.
- 17 (RX Exhibit Number 585 was admitted into
- 18 evidence.)
- 19 MR. STONE: RX-340.
- 20 MS. ZUK: No objection.
- 21 MR. STONE: This is really complaint counsel's,
- 22 but I have no objection to moving it in.
- MS. ZUK: No objection.
- JUDGE McGUIRE: Entered.
- 25 (RX Exhibit Number 340 was admitted into

- 1 evidence.)
- 2 MR. STONE: RX-317.

- 1 schedule and have been trying to work to loosen it
- 2 somewhat. We have tentatively planned to leave this
- 3 coming Friday free, as one of our alternate Fridays
- 4 free, and I hope that shortly we will be able to give
- 5 you more information about the changes in our schedule.
- 6 JUDGE McGUIRE: Okay, all right, very good.
- 7 Anything you want to add to that, Mr. Stone?
- 8 MR. STONE: I would just say that we have asked
- 9 complaint counsel not to leave this Friday dark if we
- 10 can avoid it at the moment, because we're concerned --
- 11 they gave us a new time estimate of how long it's going
- to take, and we're concerned about that, and we're
- 13 really --
- JUDGE McGUIRE: Well, let's leave that open for
- 15 the next day or so and see how it looks, and we will be
- happy to take that up again should it become an issue.
- MR. STONE: We appreciate it.
- 18 JUDGE McGUIRE: Hopefully the two sides can
- 19 determine for themselves what's going to be in their
- 20 best interests.
- MR. STONE: Thank you, Your Honor.
- 22 JUDGE McGUIRE: Otherwise, we will see you on
- 23 Tuesday at 1:00 p.m. We are adjourned. Thank you.
- 24 (Whereupon, at 4:30 p.m., the hearing was
- 25 adjourned.)

1	CERTIFICATION OF REPORTER
2	DOCKET NUMBER: 9302
3	CASE TITLE: RAMBUS, INC.
4	DATE: MAY 5, 2003
5	
6	I HEREBY CERTIFY that the transcript contained
7	herein is a full and accurate transcript of the notes
8	taken by me at the hearing on the above cause before
9	the FEDERAL TRADE COMMISSION to the best of my
10	knowledge and belief.
11	
12	DATED: 5/6/03
13	
14	
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16	SUSANNE BERGLING, RMR
17	
18	CERTIFICATION OF PROOFREADER
19	
20	I HEREBY CERTIFY that I proofread the
21	transcript for accuracy in spelling, hyphenation,
22	punctuation and format.
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