

UNITED STATES OF AMERICA

Specifications

Please provide the following information, documents, and items, consistent with the definitions, instructions, and formatting requirements contained in Attachment A:

1. **Identification of Report Author:** Identify by full name, business address, telephone number, and title of the person(s) who prepared or supervised the preparation of the Company's response to this Order and describe in detail the steps taken by the Company to respond to this Order. For each specification, identify the individual(s) who assisted in preparation of the response. Provide a list of the persons (identified by name and corporate title or job description) whose files were searched and identify the person who conducted the search.

2. **Company Information:**
 - a. State the Company's complete legal name and all other names under which it has done business, its corporate mailing address, all addresses from which it does or has done business, and the dates and states of its incorporation.

 - b. Describe the Company's corporate structure, and state the names of all parents, subsidiaries (whether wholly or partially owned), divisions (whether incorporated or not), affiliates, branches, joint ventures, franchises, operations under assumed names, websites, and entities over which it exercises supervision or control. For each such entity, describe the nature of its relationship to the Company.

 - c. Identify each individual or entity having an ownership interest in the Company, as well as their individual ownership stakes and

Card Network, an Issuing Bank or an Acquiring Bank, draft reports, the final ROC, and the AOC.

- x. State whether the Company ever identifies deficiencies in a client's network during a Compliance Assessment and gives the client the opportunity to remediate the deficiency before the Company completes its final ROC. If so, provide all documents relating to

- iii. State whether the Company has any policies or procedures relating to potential conflicts of interest, including, but not limited to, any policies that prevent the Company from providing Data Security Forensic Audit Services to clients to which it has also provided another type of service or that concern the marketing or provision of other services to clients for which You have provided Data Security Forensic Audit Services. If so, describe these policies and provide copies of all relevant policies and procedures.

4. Clients

- a. Provide a copy of a representative client contract for a Compliance Assessment and for Data Security Forensic Audit Services.

5. Complaints/Inquiries

- a. State whether the Company has been the subject of any government or regulatory inquiry, private action, arbitration or mediation related to the provision of Data Security Services. Identify each such inquiry or action and describe the nature of the inquiry or action, the practices investigated or at issue, the violations of law investigated or alleged, and the status or outcome of the inquiry or action. For government or regulatory inquiries, identify the agency or entity conducting the inquiry and the name and contact information for the Company's contact person at such agency or entity. For each private action, identify the manner in which the action was resolved and, if applicable, the court in which the action was filed, the date it was filed, and its docket number.
- b. State whether the Company or any individual QSA working for the Company has ever been placed in remediation by the PCI SSC Quality Assurance program. If so provide all documents related to the remediation and steps taken to be returned to good standing, including all communications with PCI SSC.

The Special Report responses called for in this Order are to be filed no later than 45 days from the date of service of this Order.