

Unclassified

English - Or. English

21 November 2018

DIRECTORATE FOR FINANCIAL AND ENTERPRISE AFFAIRS
COMPETITION COMMITTEE

Working Party No. 3 on Cooperation and Enforcement

Treatment of legally privileged information in competition proceedings - Note by
the United States

26 November 2018

8QLWHG 6WDWHV

1.

1.

2

2

. U.S. v. Upjohn , . .

³LV WR HQFRXUDJH IXOO DQG IUDQN FRPPXQLFDWLRQ EHW

FOLHQW ' ³

WKDW WKH ¹SULYLOHJH SURWHFWV ³QR

XQG DQG ²LQIRUPHG ⁴DGYLFH ' .

3

5.

4

2

90 X () 2 2

1 . 6 . . (1) . . -
 2 () . . -
 DJHQFLHV¶ \$QWLWUXVW *XLGHOLQHV
 (QIRUFHPHQW DQG &RRSHUDWLRQ¹¹ 3, QWHUQDWLRQDO *XLG
² ¹ ⁵
 FRRSHUDWLRQ SURYLGHV WKDW WKH ³\$JHQFLHV ZLOO SUR
 . . .
 ZDLYHUV RU RWKHU FRRSHUD³WLYH DFWLYLWLHV '
 1 . 7 FLHV¶ , . . -
 , . . -
 . . .
 . . .
 , 1 . 4