FEDERAL TRADE COMMISSION

INDEX

IN RE POM WONDERFUL LLC, ET AL.

TRIAL VOLUME 18

PUBLIC RECORD

OCTOBER 14, 2011

WITNESS: DIRECT CROSS REDIRECT RECROSS VOIR

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EXHIBITS FOR ID IN EVID IN CAMERA STRICKEN/REJECTED

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(none)

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(none)

UNITED STATES OF AMERICA BEFORE THE FEDERAL TRADE COMMISSION

In he Ma er of)
)
POM WONDERFUL LLC and)
ROLL GLOBAL LLC,)
a cce or in in ere o)
Roll In erna ional Corpora ion,)
companie , and) Docke No. 9344
STEWART A. RESNICK,)
LYNDA RAE RESNICK, and)
MATTHEW TUPPER, indi id all)
and a officer of he)
companie .)
)
	-)

Frida , Oc ober 14, 2011 11:02 a.m. TRIAL VOLUME 18 PUBLIC RECORD

BEFORE THE HONORABLE D. MICHAEL CHAPPELL

Admini ra i e La J dge

Federal Trade Commi ion

600 Penn l ania A en e, N.W.

Wa hing on, D.C.

Repor ed b : Jo e F. Whalen, RMR-CRR

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ALSO PRESENT:

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HILLARY SLOANE GEBLER, ESQ.

PROCEEDINGS

- - - - -

JUDGE CHAPPELL: Back on he record Docke 9344.

Before I hear arg men on he pending mo ion, am
I correc ha re ponden re ?

MR. FIELDS: Tha correc , Yo r Honor. We hold have aid o and e forgo ha line.

JUDGE CHAPPELL: Complain conel, are o read o arge or mo ion?

MS. DAVIS: Ye .

JUDGE CHAPPELL: Go ahead.

MS. DAVIS: Good morning, Yo r Honor.

JUDGE CHAPPELL: Good morning.

MS. DAVIS: I an ofir addre reponden brief abo ha con i e proper reb aleidence.

No , in heir brief he a e ha he proper f nc ion of reb al e idence i o con radic , impeach or def e he impac --

(Admoni ion from he co r repor er.)

(Di c ion off he record.)

MS. DAVIS: -- he proper f nc ion of reb al e idence i o con radic , impeach or def e he impac of he e idence offered b an ad er e par . And e don di agree i h ha defini ion.

Dr. Kan off e imon old be proper

reb al e imon. We an ocall him ocon radic,

impeach or def e he impac of Dr. Heber fac al

e imon a he hearing abo he her here a a

con en among he cien i ho a ended

re ponden cien ific ad i or mee ing on ha

concl ion cold be dran from re ponden pro a e

cancer re earch.

No , j o back p a li le bi , in 2010, re ponden held o cien ific ad i or board mee ing on pro a e cancer -- on heir pro a e cancer re earch. The e mee ing ere a ended b a limi ed gro p of people, incl ding he re ponden , Mr. Re nick, Mr. T pper, Mr. Gille pie, he cien ific direc or, Dr. Liker, he o ide medical con l an , ome of he re earcher ho cond c ed die on POM prod c , and o ide e per ho ere in i ed o pro ide feedback abo POM pro a e cancer re earch.

No , Dr. Kan off a one of ho e e per ha $\hbox{a in ied o a end he e mee ing .}$

The e mee ing ere held in Jan ar 2010 and No ember 2010.

A Dr. Heber depoi ion, hich a in Jan ar of hi ear, old ha e been Jan ar 2011, Dr. Heber e imon -- depoi ion e imon gge ed ha here

a no cien ific agreemen among he e per ha

a ended he e cien ific ad i or board mee ing on

pro a e cancer. Ho e er, hen he e ified a he

hearing on A g 31, he recan ed ha e imon and

affirma i el e ified ha here a con en a he

mee ing.

Dr. Kan off i being pre en ed o -- i being called or offered o pre en fac al e imon a o he her or no here a a con en . He no being offered o pre en e per e imon . He no being offered o reb Dr. Heber e per e imon .

Ye, he an e per, b an per on in he room cold e if a o he her -- a o he fac of he her here a a con en or an agreemen among he e per in ha room.

 $\mbox{\it JUDGE CHAPPELL:} \mbox{\it So} \mbox{\it e re clear, o re}$ $\mbox{\it req e ing hi i ne for fac onl, no opinion.}$

 $\label{eq:MS.DAVIS: E acl, for fac onl, no opinion.} MS. \ \ DAVIS: E acl, for fac onl, no opinion.$

And he rea on e belie e ha hi i e i -hi e imon i like e remel impor an i beca e i
goe o he hear of o r ca e. I goe o he i e of
re ponden kno ledge.

If here a a con en a ho e cien ific ad i or board mee ing ha POM pro a e cancer

re earch pro ed ha i pre en ed or rea ed pro a e cancer, he re ponden old ha e a rea onable ba i for making he claim e are challenging.

A he momen , e onl ha e Dr. Heber

con radic or e imon on he record. And e onl ha e

hi charac eri a ion of ha occ rred a ho e

par ic lar mee ing .

Dr. Heber a emen are on he record a rial e imon. Dr. Heber i heir i ne.

Re ponden le Dr. Heber con radic him elf on he and. The made no a emp o correc hi a emen on redirec.

So e ha e o a me re ponden in end o offer Dr. Heber e imon for he r h of he ma er a er ed. And if ha he cae, hen e belie e e ho ld be allo ed o call Dr. Kan off o reb ha e imon.

And q i e frankl , Yo r Honor, i no an all-or-no hing i a ion. Yo cold permi him o come. Yo cold limi hi e imon. E en in he order, if o gran ed o r mo ion, o cold e for h in r c ion abo ha hi e imon old be limi ed o.

In erm of legal ppor , ac all ome of he cae e don ac all diagree i h he --

JUDGE CHAPPELL: Well, o re alking abo

limi ing e imon. If o e een an hing I e done

in he pa , an ime a reb al i ne i allo ed o

e if , he re onl allo ed o im in a roped-off

ea.

MS. DAVIS: Oka .

And in erm of ha o need o look a in

making a deci ion on he her or no o gran o r

mo ion, i -- e belie e i in he co r

di cre ion ha here are fac or ha o o ld j

ha e o eigh.

Or cae cie o Meer and Q inn and een re ponden cae cie o Uni ed Linen Whole ale er Nor he Compan, and hoe cae e for h he fac or ha di ric cor hold eigh in making a de ermina ion abo he her o allo he reb al i ne ha ere a king for.

And e belie e hen o eigh all ho e fac or ha he balance ip in o r fa or beca e Dr. Heber e imon, again, goe o he re ponden kno ledge.

And a long a hi e imon remain in he record, re ponden can e ha e imon o a k o o make a finding again .

And righ no e onl ha e Dr. Heber

e imon, hi depoi ion e imon gge ed ha here a no con en , o ba ed on ha, e didn hink ha here a a need o call Dr. Kan off. We -- o kno, ob io 1, o kno, here -- i a a big ca e.

There ere a lo of i ne e ho cold be called or named. Reall, e j had no rea on o a me ha e o ld need o bring Dr. Kan off a hi la e da e o reb Dr. Heber e imon.

Do o ha e o her q e ion for me or -JUDGE CHAPPELL: No.

MS. DAVIS: No? Oka .

JUDGE CHAPPELL: Mr. Field ?

MR. FIELDS: Thank o.

JUDGE CHAPPELL: Are o ri ing o arg e for re ponden ?

MR. FIELDS: I m ri inq.

Oka. Fir 1, here no a in he real orld ha e can epara e Dr. Kan off a emen ha -- if he old a ha -- e don e en hink he ll a ha, b if he ere oa, I don hink here a a con en a hi mee ing, he old ha e obe a ing beca e I didn agree b an i el i h Dr. Heber or omebod didn agree b an i el.

There no a o epara e ha from gi ing an opinion on he meri of he e die.

JUDGE CHAPPELL: Ac all here i a a .

MR. FIELDS: Ho i ha , Yo r Honor?

JUDGE CHAPPELL: If an opinion i ered b omeone ho offered onl for fac , ha opinion ill no be ed, ill no be con idered an opinion in e idence.

MR. FIELDS: Well, he er fac ha he a ha -- o can epara e i in hi en e, Yo r Honor. Wha Dr. Heber aid a here -- ell, le me back p a li le bi.

Dr. Heber in hi rial e imon aid -- and I m going o ge o he fac ha here no incon i enc and no rpri e --

JUDGE CHAPPELL: B le me a k o hi . Wha if e re alking abo de ermining a fac of he her or no here a a con en ?

No , I nder and ha o re a ing. If omeone a , Well, I m a big- ho doc or, and here a no con en and here h , hen o re going do n he rong rail --

MR. FIELDS: Righ .

JUDGE CHAPPELL: -- if o re a fac i ne .

B omeone can a , I a ering m ffin and coffee, and I heard e er one in he room a e , e all agree. Tha no an opinion.

MR. FIELDS: B e o ha , Yo r Honor? When he a -- he -- nece aril hen he a , I didn agree i h Dr. Heber, he nece aril e pre ing hi o n opinion beca e ha Dr. Heber aid a ha he e die ho a good re l -- I m paraphra ing -- JUDGE CHAPPELL: Well, if e ere fencing, I

p on e idence of a -- ha omebod a him climbing a ladder o pain hi ho e. And Wrigh and Miller a, ell, e, o cold offer impeachmen o a o re con radic ing hi e imon ha j a fac, b o re con radic ing ha he aid on he i ne and, b a he ame ime o re nece aril gi ing b an i e e idence, and o i had o be di clo ed and i an.

Yo re alking here no -- hi i m ch or e han he ordinar i a ion beca e o re alking abo an e per . He no de igna ed. There no repor .

There no oppor ni o depo e him, hich o ld normall be he ca e. He no e en on he i ne li .

No , he kne reb al e per ere o be de igna ed beca e he de igna ed Profe or S e ar a an reb al e per .

JUDGE CHAPPELL: B he re no -- according o heir mo ion, he re no offering hi per on for an e per; herefore, he old no haeli ed hi per on a an e per and follo ed all he di clo re r le req ired for an e per.

 $$\operatorname{MR}.$$ FIELDS: E en if he ere no an e per , he ho ld ha e been li ed, and here $\ \ h$. Le me go o he ne $\ \ poin$.

Their en ire mo ion i ba ed on he premi e
ha Dr. Heber e imon a hi depo i ion i
incon i en i h ha he aid a he rial, ha he
recan ed. Tha he ord he aid. I in heir
brief.

Well, le look a ha he aid beca e he er premi e of heir mo ion, h he didn li him a a i ne , i righ here in hi depo i ion.

Can e p ha p on he creen o ha $\mbox{\mbox{H$\sc i}} \mbox{\sc H$\sc i} \mbox{\sc H} \mbox{\sc i} \mbox{\sc h} \mbox{\sc i} \mbox{\sc h} \mbox{\sc$

JUDGE CHAPPELL: I don ee i . Yo migh ha e o go old chool and read i .

MR. FIELDS: Oka .

Oh, here i i.

Oka . No , here ha he aid in hi depo i ion.

He aid, "No one old Mr. Re nick ha here a a bod of cien ific agreemen ha POM ac all pre en ed pro a e cancer."

Tha hi depoiion. Yo lleei don here a he bo om.

The q e ion a: Ha e o heard an bod ell

S e ar Re nick ha here a a b an ial bod of

cien ific agreemen ha pomegrana e j ice co ld

ac all -- here no "ac all " -- co ld pre en

pro a e cancer?

And he a , a do n a he bo om, "No one made an commen o Mr. Re nick of he pe o e indica ed."

No , fir l , ha alking abo ha a aid o Mr. Re nick.

Secondl, i alking abo a b an ial bod of cien ific agreemen. I no alking abo ha he people in ha room decided or ha heir opinion ere. I alking abo a o all differen hing, did an bod ell Mr. Re nick here a a b an ial bod of agreemen o here, ha i, of he hole cien ific comm ni, no j he g in ha room.

And i alking abo an agreemen , no j a con en , hich i an ambig o erm ha can mean $\\ \text{e er bod or mo } \\ \text{l e er bod }.$

And i alking abo ac all pre en ing pro a e cancer, did an -- i here a bod of cien ific kno ledge -- cien ific agreemen -- pardon me -- ha pomegrana e j ice can pre en pro a e cancer, and nobod old ha o Mr. Re nick.

Oka. No, ha did he a a rial, hi

ppo ed recan a ion? Thi i he hole fo nda ion for

heir aking a hree- eek dela in hi ma er o bring

in omebod o con radic beca e of hi ppo ed

rpri e. And here ha he aid a rial.

He hink -- he hink, no e en he kno -- he hink here a a con en among he men in he mee ing, no abo bod of cien ific agreemen.

JUDGE CHAPPELL: B if he oreper, o an me ofollo ha he hink, don o? He oreper.

MR. FIELDS: Do I an o ofollo ha he hink? Of core I do. B ha doen mean ha i ho li ing a i ne he ha e an e c e beca e of rpri e.

JUDGE CHAPPELL: B i or poi ion ha a king he her omeone old Mr. Re nick i he ame hing a a king he her here a a con en .

MR. FIELDS: No. I hink o re alking abo o differen hing. I i no he ame hing.

On he one hand, o re alking abo did omebod ell Mr. Re nick abo ha he cien ific comm ni a a hole hink. I a baic -- " b an ial bod of cien ific agreemen."

JUDGE CHAPPELL: I mi poke. Yo r po i ion i i i no he ame hing.

MR. FIELDS: I i no he ame hing. The re alking abo o differen bjec ma er.

JUDGE CHAPPELL: B i or poi ion ha

he re no e en rela ed.

MR. FIELDS: The re no e en rela ed. There cer ainl i no incon i enc be een a ing, I didn ell Mr. Re nick ha he cien ific comm ni belie ed ha pomegrana e j ice ac all pre en cancer.

JUDGE CHAPPELL: No , ac all he q e ion a "ell Mr. Re nick or he folk a " -- I g e he mee ing -- o i goe be ond Mr. Re nick.

MR. FIELDS: Oka . I didn ell Mr. Re nick or he folk a -- b if o ee hi an er, Yo r Honor, i a , "No one made an commen o Mr. Re nick of he pe o e indica ed," o he didn alk abo he o her folk , b i o ldn change an hing.

He alking abo a oall differen hing.

He no alking abo a here a con en in he room on he e die. He a ing i here cien ific agreemen in he cien ific comm ni , no he ame hing, no recan a ion, no ba i ha e er for no li ing hi i ne .

No , le alk abo he -- I mean, I hink ha hi m be e cl ded nder he federal r le, b

I m no e en going o arg e ha . Le a me i a o all di cre ionar ma er and Yo r Honor ha di cre ion o decide ha are he fac or o look o.

Well, le fir l alk abo he impor ance

of he e imon. The ocae he cie, in one, he cor a hi i e en ial e imon o he cae, in he oher, hi goe o he er hear of he cae.

Well, here o e go -- le e en a me he ere righ and i a he ame bjec ma er and he no alking abo bod of cien ific agreemen, he alking abo ha happened in hi room. And le a me one g a, Well, I hink here a a con en , hich i ha Heber a, and he o her fello come in and he a, I don hink here a an con en in ha room. I ha reall impor an? Can an bod a ha goe o he hear of he ca e?

Fir of all, "con en " i a hopele l ambig o erm. Some ime i mean e er bod agreed. Some ime i mean mo of he people did. There a kind of a con en . I hink here a a con en . Tha con radic ion, hich i ha he a he an o e abli h beca e -- ra her han eeking i a e per opinion, ha con radic ion canno be impor an o hi ca e.

Secondl, le alk abo prej dice. The re going o bring in hi fello. We ha e no oppor ni o depo e him. We ha e no repor. We -- and

Yo r Honor cer ainl reali e he difference be een r ing o cro -e amine a i ne from o r ea of o r

and aid, Wo , here a con en . Then he heard i .

Then he kne in hi depo i ion, he a , he had

done -- had aid ome hing incon i en , hich i n

r e.

And he kne no la er han i eek ago. Did he i eek ago a , Go h, g , o r i ne j recan ed, and e ha e o call Kan off no o reb ha he aid? No. Did he a , Yo kno , o fello ,

q e ion. Wha do o mean, o didn ell

Mr. Re nick? Or he cold hae aid, We aked if o old oher folk, bo didn an er haqe ion.

old hae aid, mabe, All I aid a nobod old Mr. Renick here a a b an ial bod of agreemen, I didn alk abo hi.

B he didn p h. The didn a emp o impeach him. Yo r Honor, if he reall ho gh ha here a an incon i enc or, a conel p i, ha he had recaned hi prior e imon, o can be re he old hae hi him ih ha depoi ion in o min e -- one min e -- hir econd. The didn. The had e er oppor ni.

We, on he o her hand, ha e no oppor ni o depo e hi g beca e hi i bro gh p af er he fac. Tha h e ha e he de igna ion of reb al e per , ha h e ha e repor from he reb al e per , o e re no p in ha po i ion.

So con idering e er fac or, e en if one a me ha hi i di cre ionar and no foreclo ed b heir fail re o li hi fello, he mo ion ho ld be denied.

Thank o.

JUDGE CHAPPELL: Thank o .

MS. DAVIS: Yo r Honor, can I re pond or -JUDGE CHAPPELL: Briefl .

MS. DAVIS: Oka .

Fir of all, if o look a he rial

ran crip --

JUDGE CHAPPELL: Did o cro -e amine Dr. Heber?

 $\ensuremath{\mathsf{MS.}}$ DAVIS: Ac all , I did no . M co-co n el did.

B if o look a he rial record -
JUDGE CHAPPELL: I he per on ho did in he
room?

MS. DAVIS: No.

JUDGE CHAPPELL: All righ .

MS. DAVIS: When o look a he rial ran crip, e did e hi depoi ion o impeach -- in an effor o impeach Dr. Heber d ring he cro -e amina ion.

JUDGE CHAPPELL: Or a emp ed o.

MS. DAVIS: Or a emp ed o, righ .

The econd hing, again, e re no calling

Dr. Kan off o reb he b ance of Dr. Heber

opinion. We re calling Dr. Kan off o reb hi

charac eri a ion of ha occ rred in ha mee ing, and

ha i a fac al...

(Pa e in he proceeding .)

JUDGE CHAPPELL: Wha abo he dela
re ponden are alking abo ? Wha abo he fac
o e had i eek?

MS. DAVIS: Oka .

A g 31 a he da e of Dr. Heber e imon.

The ca e adjo rned on he 2nd.

When e recei ed he final ran crip , e
re ie ed i , and e immedia el en and con ac ed
Dr. Kan off, beca e e had o peak o him o ee ha
he old a .

Dr. Kan off i e remel b . I ook

ano her almo o eek, o and a half eek o ge a

da e ha e cold i don and ac all alk i h him

abo ha occ rred a he mee ing, o e didn

ac all in er ie Dr. Kan off n il Sep ember 26.

Be een hen and hen e filed he mo ion, e had a mee -and-confer, b e ere al o ring o ork o a da e for hen Dr. Kan off cold come ha old no f rher dela he proceeding. Unfor na el, ha ha j no orked o. Dr. Kan off i on ra el oda hroghall of ne eek. And beca e of hi ra el he earl par of he monh, he ha all hi paien, and oforh, he ne -- he eek of he 26 h and he eek of he 31, b he i a ailable on No ember 4.

JUDGE CHAPPELL: All righ . Yo re alking abo a mee -and-confer recen l ?

MS. DAVIS: Thi old hae been Sepember -- I

g e Oc ober 1, hen e fir alked o -- e called Mr. Gra ber abo or de ire o bring in Dr. Kan off.

JUDGE CHAPPELL: All righ . I m going oak a q e ion. I an o oli en cloel before o an er.

Were o gen inel rpri ed ha re ponden o ld no agree o allo o o call hi reb al i ne ?

MS. DAVIS: No. No ba ed on o r pa hi or of dealing i h each o her hro gho he co r e of hi li iga ion, no, I a n rpri ed.

JUDGE CHAPPELL: Then if o didn e pec re ponden o agree, h did o no broach he bjec ooner?

MS. DAVIS: Well, e didn in er ie

Dr. Kan off n il Sep ember 26, o a ha ime e ere

r ing o ork o a da e ha old hopef ll be -old no in erfere i h he cor ched le, o e

ere r ing oge Dr. Kan off o -- or find a da e

ha old ork -- ha he cold come and pre en li e

e imon i ho dela ing he proceeding e en f r her.

I do an o make one poin abo he impor ance of he e imon. If re ponden i correc and Dr. Heber e imon i no impor an , doe no go o an impor an i e in hi ca e, hen h don e --

h don e agree o rike hi e imon, and hen
ha old oall elimina e he need ocall a reb al
i ne, if i reall no ha impor an.

B I ha e no heard hem a ha he don $plan \ o \ e \ ha \ e \ imon \ o \ a \ k \ o \ o \ en \ er \ a \ finding \\ again \ .$

JUDGE CHAPPELL: All righ . Yo re repea ing o r elf no . Do o ha e an hing el e?

MS. DAVIS: Nope. Tha i.

JUDGE CHAPPELL: Thank o .

Call orne ine.

 $\label{eq:mr.ostheimer: Complain conel call} \mbox{\sc Da id S e ar .}$

JUDGE CHAPPELL: I hi a reb al i ne called b agreemen ?

 $\mbox{MR. OSTHEIMER:} \mbox{ Ye } . \mbox{ Thank } \mbox{o} \mbox{, Yo } \mbox{r} \mbox{ Honor.}$

_ _ _ _ _ _

Where pon --

DAVID WAYNE STEWART, Ph.D.

a i ne , called for e amina ion, ha ing been fir
d l orn, a e amined and e ified a follo :

- - - - -

DIRECT EXAMINATION

BY MR. OSTHEIMER:

Q. Good morning, Dr. S e ar .

Plea e a e o r name for he record.

- A. M name i Da id Wa ne Se ar .
- Q. If o old obegin, plea e look a ab B of or binder, hich ha been marked a PX 295a01, and ell me if ha a cop of or crric l m i ae.
 - A. Ye, i i a cop.
- Q. If o old, plea e gie a backgrond of ored ca ional and profe ional life.

A. Cer ainl .

I ha e an indergrad a e degree in p cholog from ha a a he ime Nor hea ern Lo i iana
Uni er i . I no he Uni er i of Lo i iana a Monroe.

I ha e a ma $\$ er $\$ degree in p $\$ cholog $\$ and a $\$ Ph.D. in per onali $\$ p $\$ cholog $\$ from Ba $\$ lor Uni er i $\$.

Upon comple ing m Ph.D., I pen ome ime orking i h he S a e of Lo i iana doing program e al a ion re earch.

Follo ing ha , I hen ook a po i ion i h a major ad er i ing agenc in Chicago, ha a hen Needham, Harper & S eer , i no DDB. There I a al o doing program e al a ion re earch, b i a in he con e of marke ing and ad er i ing program . O r clien a Needham a he ime ere McDonald hamb rger , Anhe er-B ch, General Mill ,

American Honda, among o her .

From here, I mo ed in o academic , here I e been ince. I fir mo ed o a mall p blic ni er i in Alabama, Jack on ille S a e Uni er i , here I held appoin men in b ine and p cholog .

Af er o ear here, I hen mo ed o

Vanderbil Uni er i in he O en Grad a e School of

Managemen . There I earned en re, al o er ed a erm

a he enior a ocia e dean hile I a here.

While I a here, I a gh a arie of core in marke ing, he in rod c or marke ing cla, bo ha he ndergrad a e le el and he grad a e le el, core in ad er i ing, con mer beha ior, marke ing re earch, prod c de elopmen, and ome Ph.D. eminar.

From here, I mo ed o he Uni er i of

So hern California, here I b eq en l a ed for

21 ear . A he Uni er i of So hern California, I

held he Rober E. Brooker profe or hip in marke ing,

an endo ed chair. I er ed o erm a a depar men

chair of he Depar men of Marke ing. I al o er ed for

fi e ear a he dep dean of he chool, a ell a

held a n mber of o her admini ra i e appoin men .

While here, I alo a gh a ide arra of core, ad er i ing, con mer beha ior, marke ing re earch, and I did ha a he ndergrad a e and

grad a e le el and MBA le el and he Ph.D. le el. I al o par icipa ed in a arie of nondegree e ec i e ed ca ion program in ho e ame area.

I al o con in ed o ri e and p bli h in academic jo rnal and ri e book .

For a period hile I a a USC, I a alo he edi or of he Jo rnal of Marke ing, hich i a leading academic jo rnal in he field of marke ing.

And al o, a I a lea ing USC o go o he
Uni er i of California a Ri er ide, I al o a he
edi or of he Jo rnal of he Academ of Marke ing
Science, hich i ano her leading jo rnal in marke ing.

And from here, I hen mo ed o he

Uni er i of California a Ri er ide, here I ook he

role of dean. I con in ed o each, I con in ed o

ri e, I con in ed o edi he jo rnal for a ime, and

j recen l , in J l , I epped do n a dean o

re rn o he fac l a Uni er i of California a

Ri er ide.

- Q. Are o c rren l affilia ed i h profe ional organi a ion in o r field?
 - A. I am.
 - Q. Co ld o de cribe ome of ho e.
 - A. Cer ainl .

I m a member and a former member of he board of

he American Marke ing A ocia ion. I e al o er ed a he pre iden of he academic co ncil of ha organi a ion and a ice pre iden for finance of ha organi a ion.

I m a former pre iden and a con in ing member of he Socie for Con mer P cholog.

I m a member of he A ocia ion for Con mer Re earch.

I m a member of he American Academ of Ad er i ing.

I m a member of he $\operatorname{Prod} c$ De elopmen Managemen A ocia ion.

I m a member of he P chome ric Socie , a $\\ \text{member of he Academ of Managemen , American Academ of } \\ \text{Managemen , among o her .}$

- Q. Oka . No , d ring o r career, ha e o engaged in cholarl re earch?
 - A. I ha e, e.
- Q. And i appear ha -- o men ioned ri ing book. I belie e from o r CV ha o e ri en eigh book. I ha correc?
 - A. Tha correc .
 - Q. Can o gie a fe e ample?
- A. One book ha I ro e a a repor of ome empirical re earch ha I had done in hich e

Jo rnal of he Academ of Marke ing Science, Jo rnal of Ad er i ing, Jo rnal of Ad er i ing Re earch, among o her .

- Q. And o ha e recei ed academic a ard; i ha correc?
 - A. Tha correc .
 - Q. Can o gie a fe e ample?
- A. I e recei ed e eral a ard for be paper ha ha e been p bli hed in jo rnal .

I e recei ed an a ard from he academ -American Academ of Ad er i ing for a paper ha a
p bli hed in he Jo rnal of Ad er i ing.

I e recei ed an a ard from he

American Marke ing A ocia ion for a paper ha a

p bli hed in he Jo rnal of P blic Polic and Marke ing,

deal i h arning label .

I e been a arded a life ime con rib ion a ard b he American Academ of Ad er i ing.

I e been gi en he Vec or/C co a ard b he
A ocia ion for Marke ing Ad ance -- I m orr -- b
he Academ of Marke ing Science. Tha a life ime
con rib ion a ard.

And he Academ of Marke ing Ad ance ha gi en me he El e ier life ime a ard.

Q. Yo e al o con l ed for n mero companie,

ha e o no?

- A. I ha e.
- Q. Can o gie a ampling of ho e?
- A. Some e ample are: He le -Packard,

 Agilen Technologie , AT&T, Proc er & Gamble, Coca-Cola,

 among o her .
 - Q. On ha kind of i e ha e o con l ed?
- A. Well, in addi ion o an i e ha migh rela e o li iga ion or e per ork, I e done a good deal of

- Q. Ha e o e er been q alified a an e per in a cor of la?
 - A. I ha e.
- Q. In ha opic hae o been q alified a an e per i ne ?
- A. In area rela ed o ad er i ing and marke ing and more pecificall in area rela ed o decep i e ad er i ing and nfair b ine pracice.

I ha e been q alified a an e per in area rela ed o in ellec al proper , mo pecificall i e rela ed o likelihood of con mer conf ion, brand dil ion.

 $\label{eq:continuous} \mbox{I ha e been in ol ed in i } \mbox{e rela ed o}$ an ir , among o her .

- Q. Ha e o been q alified a an e per in con mer beha ior?
 - A. I m orr ? I didn hear.
- Q. Ha e o been q alified a an e per in con mer beha ior?
 - A. Ye, I hae.
 - Q. And in marke ing re earch?
 - A. Ye .
- Q. In appro ima el ho man proceeding ha e o been q alified a an e per i ne ?
 - A. Probabl a co ple of do en in hich I ha e

ac all e ified a rial.

- Q. And in FTC ma $\ \,$ er $\ \,$ bo $\ \,$ h admini $\ \,$ ra $\ \,$ i $\ \,$ e and federal $\ \,$ correc ?
 - A. I ha e.
- Q. Some ime for he FTC and ome ime for a re ponden or defendan ?
 - A. Tha correc .

Schering.

Schering had a prod c on he marke called

Fibre Trim. Fibre Trim a old a a die aid, an aid

for lo ing eigh. M role in he ma er a reall colook a a lo of re earch ha had been done a a par

of b ine b Schering, informa ion rela ed o

marke ing re earch, cop e ing, informa ion abo

marke, and o for h, and ba e m e imon on ha

con mer old infer from he erm "Fibre Trim" ba ed

on ha, ha re earch.

Q. No, baled pon he mmar of e jigien of oredication and raining and e perience, do of consider orelf of be an eleper in consider behalior, marked ing, or element methodolog and adjusted and erising?

A. I do.

MR. OSTHEIMER: Yo r Honor, I mo e ha

Dr. S e ar be con idered an e per in con mer

beha ior, marke ing, r e me hodolog and ad er i ing.

MR. FIELDS: Yo r Honor, ma e kno he par ic lar of ha he going o e if abo , ha i hi opinion abo .

 $\label{eq:mr.ostheimer:} \mbox{ Dr. S e ar ill be reb ing}$ he e imon of Dr. B er .

MR. FIELDS: A long a i re ric ed, a hi

repor i , o reb ing Dr. B er e imon and he doe n p rpor , a he aid he o ldn do a hi depo i ion, o gi e an opinion on ha he e ad mean, e ha e no objec ion.

MR. OSTHEIMER: Hi e imon ill be reb ing

Dr. B er . He ill no be doing hi o n facial

anal i o reach concl ion for o r affirma i e ca e

on ha he ad mean.

MR. FIELDS: No objec ion.

JUDGE CHAPPELL: An opinion ha mee he proper legal andard ill be con idered.

BY MR. OSTHEIMER:

- Q. Dr. Sear, ha ere o a ked o do in hi ma er?
- A. I a a ked o read Dr. B er repor and commen on ha repor, cri iq e i, and reach a concl ion a o he her I agreed i h hi concl ion or no and, in an cae, h.
 - Q. Ha e o read Dr. B er depoi ion e imon?
 - A. I ha e.
 - Q. And hi rial e imon?
 - A. I ha e.
- Q. Plea e rn o ab A in or binder, hich ha been marked a CX 1295.

I hi a cop of ore per repor?

- A. Ye , i i .
- Q. Oka . Cold o mmarie ha o ake obe Dr. B er oerall concl ion in hi e per repor .
- A. Wha I ook from hi repor a ha he concl ded ha here a no likelihood ha an rea onable con mer cold ake a a from he POM Wonderf lader i ing or he POM ader i ing he claim ha are a i e in hi ma er.
- Q. Yo a e in ore per repor ha in offering hi opinion, Dr. B er ignore an enormo bod of heor and empirical re earch rela ed o ho con mer e informa ion, proce ad er i ing me age and make a deci ion in he marke place; i ha correc?
 - A. Tha correc.
- Q. Wha doe he academic li era re ell abo ho con mer proce ad er i ing?
- A. The marke ing li era re, hich i alo

 con i en i h he li era re in o her field, ch a

 p cholog, indica e ha he meaning of a par ic lar

 comm nica ion reall re ide in he recipien, no in

 he ac al im l, he ac al phra e, ha i, ha

 con mer are no impl pa i e recipien of me age,

 ra her, he re ac i e proce or.

And oa he ee a me age, he bring o bear

hing ha he alread kno, belief ha he ma
ha e, feeling he ma ha e, prior e perience, he
con e in hich he par ic lar me age ma appear, and
all come oge her o crea e a ne impre ion of ha a
par ic lar me age ma be.

- Q. Yo a ha he bod of heore ical and empirical re earch ignored b Dr. B er i in erdi ciplinar in na re and incl de ork in marke ing, ad er i ing, comm nica ion, ocial p cholog, cogni i e p cholog, con mer p cholog, and e en ling i ic; i ha correc?
 - A. Tha correc .
- Q. Wha doe hi bod of heore ical and empirical re earch a ha i rele an o Dr. B er opinion?
- A. Well, i a ha in de ermining ha a con mer old ake a a from he POM ad er i ing, one hold con ider he characeri ic of he a dience, one hold con ider he characeri ic of he ie er of he ad, heir prior belief, ha he regard a relean, ho he ill proce he information, generall ha he ill bring ohe ie ing i a ion.
- Q. Can one de ermine all of he claim comm nica ed b he ad er i emen ba ed pon a ling i ic anal i alone of he ord and image in he ad?

A. No. No a all.

JUDGE CHAPPELL: Yo j referred o ha

omeone o ld ake a a from POM ad er i ing. Did o

look a pecific ad, or doe o r an er reall mean

ha a con mer o ld ake a a from an ad er i ing?

THE WITNESS: Well, I did look a pecific ad,

b I m al o referring o ho people o ld proce an ad er i ing.

JUDGE CHAPPELL: No POM in par ic lar.

THE WITNESS: No $\,$ -- I m ci ing a general principle. Tha $\,$ correc .

JUDGE CHAPPELL: Ho omeone li en o and recei e and in erpre ome hing he read or hear.

THE WITNESS: Tha correc .

BY MR. OSTHEIMER:

Q. I belie e I j aid ha omeone can de ermine all he claim comm nica ed b an ad er i emen ba ed pon ling i ic anal i of he ord and image alone, and o agreed, and I a going o a k o h no.

A. Well, beca e b impl looking a onl he im l, one i no aking in o accon he charac eri ic of he ie er or he li ener. The re no aking in o accon prior belief. The re no aking in o accon prior kno ledge. The re no

aking in o acco n con e al fac or ha ma ha e an infl ence on he par ic lar e in an ad er i emen . The re no aking in o acco n prior e perience .

All of ho e hing are reall impor an in nder anding he o ali of ha people ill ake a a from an ad er i ing me age.

Q. Yo roe in orrepor ha Dr. B er decon rc he POM Wonderflad er i ing, di mi ing or di con ing indi id al elemen of he ad er i ing o reach a conclion abo he communication of he ad er i ing. Can o e plain.

A. Ye. Dr. B er end opare he e of he ad in anal ing indi id al elemen or ord or a of pre en ing ho e ord.

So, for e ample, he alk abo h mor, b largel in i ola ion from he larger ad er i emen . He alk abo he meaning of indi id al ord , "can" er "ill."

All of ha i par ing indi id al elemen .

I no ge ing a ha i he o ali of ha a con mer, ho bringing a lo of informa ion and kno ledge and e perience o he ie ing i a ion, ma ac all do i h ho e indi id al elemen in he ad.

Nor i he nece aril con idering ho he in erpla of all of ho e differen elemen ma come oge her o

crea e a par ic lar impre ion or me age for he con mer.

Q. Can o gi e me an e ample of $\$ ch an approach and ell me ha $\$ rong i h i ?

A. Cer ainl .

A fe ear ago I a in ol ed in ome ork in ol ing Kraf Single, chee e lice, indi id all rapped chee e lice. And if o look a he e of ha ad er i ing, i begin b a ing, "Kraf Single are made i h fi e o nce of milk." And in fac, here aloa i alin one of he ad ha ho a fi e-o nce c p of milk being po red in o a lice of Kraf Single.

I i fac all correc ha fi e o nce of milk are ed o crea e Kraf Single, o if e j par e ha par of he ad and look onl a ha, hen here doe n eem o be a problem. B i goe on o hen a, "So o r children ill ge he calci m heir li le bodie need. Imi a ion lice are made i h oil and a er."

No, hen o begin op ha oge her in o ali ih he r hfl a emen, ha o find i ha con mer ake a a from ha ad o o her claim.

One i ha, ell, Kraf ingle m con ain he ame amo n of calci m a fi e o nce of milk. Tha in fac

i no fac all correc beca e ome calci m i lo in he prod c ion proce .

Ano her claim con mer old ake a a i, ell, imi a ion lice, beca e he re made i hoil and a er, m no ha e calci m. Well, he reali i ha man imi a ion lice ha e calci m added.

B ha e ee i , o kno , an indi id al elemen ha hen aken apar ma gge ome hing comple el r hf l b i hin a larger con e , combined i h ha people kno and rea onabl infer, lead o ome hing ha q i e differen and mi leading.

- Q. And ho i Dr. B er approach differen han a ne impre ion approach?
 - A. I m orr . I didn hear o .
- Q. Ho i Dr. B er approach differen han a ne impre ion approach?
- A. Well, Dr. B $\,$ er , a I $\,$ aid, par $\,$ e $\,$ he ad . He reall doe n $\,$ ake he o ali $\,$ of he ad $\,$ er i ing.

The ne impre ion old be er hing ha an indi id al old ake from he ad aken a a hole, no ha indi id al migh ake from hi en ence or ha en ence or hi claim or ha claim. And he doe n reall con ider ha people migh infer ba ed on he o ali of he ad er i ing.

Q. I d like o o look a a POM ad abo hich

Dr. B er e ified a rial, CX 0348, hich i al o

ab D in o r binder.

Plea e look a hi ad a a hole and hen look a he paragraph abo he pro a e d .

(Pa e in he proceeding .)

No I d like o read o o from he draf rial e imon of Dr. B er e imon abo hi ad, hich appeared a page 177 of he draf e imon, ar ing a line 24:

"QUESTION: Do o hink i i rea onable for ie er o eq a e hopef l re l for pro a e heal h o mean hopef l re l for pre en ing pro a e cancer?

"ANSWER: No."

And hen aring a line 17 -- page 179, hich i alodi plaed, line 11:

"QUESTION: B he erm pro a e heal h,
here old be an inference ha pro a e heal h i
inferring pro a e cancer, ha i ome benefi for
pro a e cancer.

"ANSWER: No nece aril , no.

"QUESTION: E en ho gh i repor ing abo PSA do bling ime and ci ing he Clinical Cancer Re earch jo rnal? Wha el e do o hink he re 1 for pro a e heal h co ld mean in hi con e ?

"ANSWER: Mean -- and ha i orqe ion?

"QUESTION: Tha in hi con e , pro a e heal h -- hopef l re l for pro a e heal h, a ie er co ld infer ha i hopef l re l for pro a e cancer.

"ANSWER: One cold infer ha hopeflre l for pro a e heal h ha e o do i h an kind of heal h problem ha one migh i h one pro a e.

"QUESTION: An kind of heal h problem.

"ANSWER: Ye.

"QUESTION: De pi e he con e ha he re gi ing o a repor ha a p bli hed in he Clinical Cancer Re earch and i di c ing a i icall ignifican prolonga ion of PSA do bling ime?

"ANSWER: Ye ."

Dr. S e ar , do o agree i h Dr. B er opinion ha i i no rea onable for ie er o infer from he phra e "hopef l re l for pro a e heal h" ha POM pro ide ome benefi for pro a e cancer?

MR. FIELDS: Objection. Mi are he e imon. Dr. B er, bared on ha con el read, pecificall a i refer of all kind of profate heal h. That of ld note that profate cancer. He just aid is broader han profate cancer.

MR. OSTHEIMER: Dr. B er a a ked he her he ho gh an rea onable con mer cold infer i refer o pro a e cancer, and he aid no.

MR. FIELDS: Read he balance of hi e imon hich o read before. He a a he end, I hink i mean e er kind of pro a e heal h. I doe n j mean pro a e cancer.

JUDGE CHAPPELL: S ep back, ake a momen and de ermine he her o agree or di agree on hi . He alking abo ha he depo i ion a . Take a momen . Look a i .

 $$\operatorname{MR}.$ OSTHEIMER: I read he rial ran crip differen l han Mr. Field . I read i li erall correc .

(Di c ion off he record.)

JUDGE CHAPPELL: I ho gh I heard o a ha $\hbox{complain co n el mi a ed or miqoed Dr. B} \quad \hbox{er .}$

MR. FIELDS: Conel qe iona med ha he i ne aid ha hi a emen --

JUDGE CHAPPELL: Before e go an f r her, are o prepared or illing o re a e o r q e ion?

MR. OSTHEIMER: I m -- I a ed Dr. B er
e imon correc l ord for ord, and m q e ion -e, I can -- I can re a e m q e ion. I ll repea m
q e ion.

MR. FIELDS: Well, if o repea i , i going o ha e he ame objec ion.

JUDGE CHAPPELL: Repea or re a e? There i a difference.

MR. OSTHEIMER: I belie e ha Dr. B er did a e in hi e imon ha i i no rea onable for ie er o infer from he phra e "hopef l re l " ha POM pro ide ome benefi for pro a e cancer. He aid ha in hi rial e imon.

To q o e, "B he erm pro a e heal h, here o ld be an inference ha pro a e heal h i inferring pro a e cancer, ha i ome benefi for

"One cold infer ha hopeflre l for

pro a e heal h" -- ha ha he ad aid -- "ma ha e

o do i h an kind of heal h problem ha one migh

ha e i h one pro a e."

"QUESTION: An kind of heal h problem.

"ANSWER: Ye ."

He no e cl ding pro a e cancer. He a ing i broader han pro a e cancer. Pro a e heal h mean , a he a , hopef l re l for an kind of heal h problem one migh ha e i h one pro a e.

MR. OSTHEIMER: Mr. Field i kipping a q e ion: "Tha in hi con e , pro a e heal h -- hopef l re l for pro a e heal h" --

JUDGE CHAPPELL: Yo need o lo do n hen o re reading.

MR. OSTHEIMER: I m orr .

Yo r Honor, Mr. Field kipped he q e ion:
"Tha in hi con e , pro a e heal h -- hopef l
re l for pro a e heal h, a ie er co ld infer ha
i hopef l re l for pro a e one e
ng.

B alo, a I look a he e i elf and p
he con e oge her, here are er clearl hing ha
ho ld ha e gge ed o Dr. B er ha i o ld be
rea onable for a lea ome con mer o perhap make
an a ocia ion i h helping pro a e cancer. There a
pecific reference o a medical cancer jo rnal. There
a pecific reference o PSA e ing, hich i a ocia ed
i h a e for cancer.

So, in m ie, here are ome elemen in he ad er i ing i elf ha ho ld ha e gge ed o

Dr. B er ha i rea onable ha a lea ome

con mer o ld ha e aken a a a me age rela ed o

pre en ing or rea ing pro a e heal h.

Q. Dr. B er a ed ha he anal ed he challenged POM Wonderf l prod c ad from he andpoin of con emporar peaker of American Engli h.

In ore per opinion, i ha he correc per pec i e o ake?

- A. No, i i no.
- O. Wh no?
- A. Well, he a erage con mer or he a erage peaker i no reall repre en a i e of he arge marke of he ad er i ing.

Wha e kno in ad er i ing and con mer beha ior i ha indi id al ho are in he arge

marke end o be q i e differen in man a han indi id al ho are he a erage con mer. The ha e e perience i h he prod c . The e bo gh i .

The e ed i . The e paid more a en ion o ad er i ing. The ha e grea er kno ledge.

There are j man , man , man differen charac eri ic a ocia ed i h man member of he arge a dience for a par ic lar ad er i emen , he her i POM or ome o her ad er i emen , han o ld be he ca e for he a erage peaker of he lang age.

- Q. Who are he ario arge a dience for POM j ice and POM ad?
- A. In ario doc men ha I e een, primaril crea i e brief , he a dience for he e ad i ario l de cribed. There are a n mber of common elemen o er ime, b here i ome aria ion o er ime.
- B in general, he a dience i de cribed a indi id al ho are affl en , more ed ca ed, ho are highl concerned abo heir heal h. In fac , in ome of he earl crea i e brief I e een he e been de cribed a h pochondriac .

O er ime, ha e ol ed o perhap a ome ha broader gro p of indi id al , indi id al ho o ld be en i i e o heal h and lead a heal h life le, b i

al o incl ded men ho ere concerned abo pro a e heal h, heir i e or omen in heir li e ho ere concerned abo he pro a e heal h of heir -- he men in heir li e .

- O. Wha are crea i e brief ?
- A. I m orr?
- Q. Wha are crea i e brief?
- A. Crea i e brief are reall planning doc men .

 A crea i e brief i impl a a of mmari ing he

 man , man deci ion and a k ha are a ocia ed i h

 he crea ion and implemen a ion of a ingle ad or a

 hole ad er i ing campaign.

I incl de di c ion of ho he arge
a dience i , ha benefi ill be empha i ed, ha
proof ill be pro ided ho e benefi are offered.
The ll generall incl de ome di c ion of he media
ha ill be ed o deli er he me age, he her i
prin , ele i ion, billboard, or ha ha e o .

And i reall a a of organi ing he en ire campaign and he en ire e of ac i i ie o ha e er one nder and -- ha in ol ed in he proce nder and ha he plan i .

- Q. Ho do o kno abo crea i e brief?
- A. Well, I e pen ome ime in ad er i ing here
 I a crea i e brief reg larl and in fac a of en

a ked o do re earch o erif ha he plan incl ded in crea i e brief ere in fac iable.

So, for e ample, I migh e par ic lar claim and ho people old re pond o hem. I migh e he degree o hich people regarded cer ain ppor a emen a ronger or no o rong or credible or le credible in ppor of a par ic lar benefi.

I did re earch looking a he demographic of arge a dience. And e al o did re earch ha foc ed on ha medi m o ld be be for reaching ho e a dience.

S b eq en l , ince lea ing ad er i ing, I alk

abo crea i e brief a a par of m ad er i ing

co r e . I a f ndamen al planning ool ha

ad er i ing agencie and marke ing depar men e.

And I e al o been in ol ed in a arie of con ling ac i i ie here crea i e brief ha e been a par of a prod c ha e ere crea ing.

- Q. In ore perience, i ing crea i e brief andard?
- A. The e of crea i e brief i a er andard ool. I reg larl emplo ed, e peciall b more ophi ica ed, larger ad er i er and ad er i ing agencie.
 - Q. In or repor, on page 12, hich i

CX 1295-0013, o q o e from POM crea i e brief o de cribe POM arge a dience for POM j ice and POM ad .

Wha do ho e brief ell abo he arge a dience for POM j ice ad ?

A. Well, he ell e eral hing, and he re con i en i h he opinion I e j gi en.

In 2004, 2005, 2006, he arge a dience a de cribed in he crea i e brief a likel o be affl en , profe ional, college grad ho are er heal h-con cio , i h a paren he ical ha a "h pochondriac ," and li e in rban area .

B 2008, he defini ion of he arge a dience had been modified ome ha, al ho gh ill er imilar, and i a de cribed a heal h-con cio, affl en ad l age 25 o 49 h n ing for a hen ic prod c ha deli er real benefi he can r, and i a no ed ha ch indi id al make p perhap 5 o 15 percen of he U.S. pop la ion.

- Q. And ha do he crea i e brief ell abo
- A. For POM, he crea i e brief ell ha he arge a dience i ho e ho are eeking a na ho ha he

75,000 per ear, primaril men ho are cared o ge
pro a e cancer, men 40-pl ho are concerned abo
heir pro a e heal h and are ei her in ere ed in
pre en a i e mea re or healing ol ion, and omen
ho ha e an ac i e in ere in he heal h of heir men
and pecificall heir pro a e.

- Q. Wo ld ch con mer gi e a differen le el of a en ion o heal h claim or be an more or le likel o dra pecific inference abo he benefi of POM Wonderf l prod c han he general ni er e of American peaker in Engli h?
 - A. I belie e o. Ye .
 - O. Wh i ha?
 - A. Well, for e eral rea on .

Fir e ha e a more ed ca ed gro p of con mer ho are -- ho are likel o do a grea deal more in he a of ed ca ing hem el e , reading, finding informa ion.

Secondl, he e are indi id al ho ha e been iden ified a ha ing an in ere in heir heal h, ei her in heir general le el or more pecificall i h re pec o, a, pro a e. S ch indi id al er likel ha e pen a good deal of ime reading he pop lar media, li ening o ele i ion or radio ho abo heal h. Beca e of heir general in ere and heir

general concern, he likel acq ired a grea deal of informa ion abo -- abo heir heal h and heal h life le and heir pro a e han old probabl be pical of he a erage con mer.

Q. Dr. B er a ed a rial ha if ho e ie ing he challenged ad ere alread ick, i o ldn change he concl ion in hi repor one bi in ha one o ld e pec ha people i h erio di ea e o ld be more kep ical, no le kep ical, of he ad in an a ad oca ing a rea men or c re or pre en ion.

Do o agree i h Dr. B er?

- A. I do no .
- Q. Wh i ha?
- A. Well, hi i ano her e ample of h nder anding he arge a dience i o impor an .

Somebod ho ha alread been diagno ed i h an illne i er likel o be highl a en i e o heal h claim ha are rele an o hem. The likel ha e alked o ph ician or o her heal hoare profe ional. The likel ha e done ome reading. The likel ha e acq ired a grea deal of informa ion ha o ld be rele an o heir condi ion.

In addi ion, he re likel o be looking for a in hich he can help hem el e . Par ic larl

kno ha more highl ed ca ed indi id al end o ha e high, ha e call, elf-efficac, ha i, a de ire o con rol circ m ance, o find a in hich he can a i hem ele, e en a he migh be looking for help from ph ician or o her heal hcare pro ider a ell.

So here e er rea on o belie e ha he e

ill -- indi id al ill be er a en i e, dra a grea

deal of -- a grea n mber of inference from

ad er i ing, and cer ainl here no rea on o concl de

he ill be more kep ical of he ad .

Q. Le look a an ad i h he headline
"Flo or ar erie," hich ha been marked a
CX 0031 and hich i alo ab E in or binder.

Dr. B er repor de cribe hi ad a ca io in i lang age, a ha i doe no make defini i e medical claim for he prod c , ha i onl indica e ha a clinical pilo d fo nd ha he clinical bjec ho ere died red ced plaq e p o 30 percen and ha i can ha e ha pecific beneficial effec , no ha i ill.

Yo a in orrepor ha Dr. B er a er ha he e of q alifier and of ord ch a "can" in hi ad and o her ad er e o dimini h he effec of POM Wonderf l prod c claim.

I hi con i en i h he academic li era re and empirical re earch on he impac of q alifier ?

- A. No, i i no.
- Q. Wh do o belie e ha o be he ca e?
- A. Q alifier ha e he effec of ha e ome ime refer o in p cholog and marke ing a o-ided claim. A o-ided claim in ol e one here one a ome hing po i i e balanced b ome hing ha nega i e. And he end o be credible beca e he appear o be balanced.

Q alifier ha e m ch he ame effec, and here empirical re earch ha gge a m ch, ha b offering a q alifier, o ac all increa e he credibili beca e o appear o be being more balanced in offering he informa ion.

Q. When e if ing a rial abo he POM

"Flo or ar erie " ad, Dr. B er a ed ha a

rea onable per on old di cern he difference be een

he ord "can" and he ord "ill."

In he con e of hi ad, do o agree?

- A. I do no agree.
- Q. And h i ha?
- A. Beca e he member of he a dience for hi ad are proce ing he o ali of he ad, no -- no indi id al ord .

No , perhap if e had crea ed a a k here e a ked hem ha doe "can" mean er "ill," e migh ha e go en a differen re pon e. B ha no ha he pical con mer i doing hen he ie an ad.

The re looking a he o ali of he ill ra ion, he headline, he e , and he re carr ing a a a ne impre ion ba ed on all of ha informa ion, and he po en ial meaning of an one of ho e ord i reall defined b i con e .

- Q. Wha abo he impac of erm cha "ini ial d " or "pilo d " no ed b Dr. B er?
- A. The pical con mer I hink ill ha e li le nder anding of ha "ini ial" or "pilo " par ic larl i h -- mean , par ic larl in he con e of ome hing ha i referred o a ha ing been p bli hed in a major jo rnal.
- Q. If e co ld redi pla CX 0031.

 De cribing he "Flo o r ar erie " ad,

 Dr. B er aid i properl ca io in par beca e
 i goe on o a i a pilo d.

Where in hi ad doe i con e ha he a i ic i ba ed pon a pilo d?

A. Well, here a er, er mall foo no e in kind of gra pe ha reall diffic l o ee ha pro ide informa ion bo h abo here he d a

p bli hed and a e "ba ed on clinical pilo d ."

Q. No I d like o di pla a imilar ad, CX 0034.

In de cribing hi ad, "Ama e o r cardiologi ," Dr. B er a ed, "I a e clearl ha he o rce for he a i ic i a pilo d , no e abli hed medical fac ."

Where in hi ad doe i con e ha he a i ic i ba ed pon a pilo d?

- A. Again, hi i in he er, er mall,

 diffic l o-read foo no e ha incl de he name of he

 jo rnal in hich he d a p bli hed and hen

 indica e "ba ed on clinical pilo d."
- Q. Wha doe he academic li era re a abo

 he her fine prin or foo no e di clo re are clear and

 effec i e?
- A. Well, in general, con mer end o ignore, end no o a end o fine prin and foo no e and mall di clo re.
- Q. I d like o di pla a doc men ha ha been marked a CX 0409-0010.

Here a crea i e brief ha o ci ed in o r
e per repor . I for a omen life le
prin /o door concep . I d like o direc o r
a en ion o he Benefi ec ion and he Rea on o
Belie e ec ion.

Ho do o belie e ha hi crea i e brief i rele an o Dr. B er concl ion ?

A. Well, hi crea i e brief de cribe an ad or ad er i ing campaign ha er imilar o ome ha ran. The headline "Flo or ar erie dail " hich appear in he brief in fac a a headline in one of he ad ha ran.

I hink i rele an beca e i goe o ha
he in en ion of he ad er i er a . The in en ion a
o crea e, a lea in par, he benefi of hear
heal h; ha i, if o drink POM Wonderf l dail, o
ill ha e clean and heal h ar erie, ha i, flo
o r ar erie dail.

And hen i goe on o offer a n mber of

a emen ha cold be included in he ad ha old

proide ppor for ha benefi, ha old increa e

he credibili ha he benefi cold be deliered.

One of ho e i "More an io idan po er han o her drink ."

Ano her i "The po erf l an io idan in POM Wonderf l g ard o r bod again harmf l free radical ha can ca e chronic di ea e , ch a hear di ea e, prema re aging, Al heimer di ea e, e en cancer."

And hen here a a emen abo "Drinking

POM Wonderf l dail can help red ce plaq e in o r ar erie p o 30 percen ." Tha a a emen ha appear in one of he ad .

And finall , "I like flo ing or ar erie dail ."

All rea on h he benefi ho ld be belie ed o be deli ered o he con mer.

- Q. Are here o her crea i e brief ha o looked

 o nder and he me aging of POM Wonderf 1?
 - A. Ye .
- Q. Yo qoe from ome of hem aring on page 14 of ore per repor; i ha correc?
 - A. Tha i correc .
- Q. I d like o di pla CX 1295-0015, hich i page 14 of Dr. S e ar e per repor.

Can o alk hro gh ome of he crea i e brief and e plain ho he ppor o r concl ion .

A. Cer ainl .

There a 2008 crea i e brief hich ba icall

de cribe a campaign ha a in ended o, q o e, op

he a dience -- " op he arge a dience dead in heir

rack . I ho ld fir in pire hem o ake no ice...

"Reali ing he prod c i e pen i e, he ho ld be more

illing o pa he price and more."

One of he rea on o belie e, i a a ed a,

"In he la decade, here ha e been o er 35 medical die (eigh on h man) ha ha e been p bli hed in recogni ed medical jo rnal ho ing a correla ion be een drinking POM Wonderf l pomegrana e j ice and impro ing hear heal h, pro a e heal h, diabe e, erec ile d f nc ion, and a ho of o her di ea e a ocia ed i h aging."

- Q. Ho doe hi con radic Dr. B er ie ha he POM j ice ad make no heal h claim ha e er be ond he generall recei ed no ion ha making fr i prod c a reg lar par of one die i a heal h hing o do?
- A. Well, he clearl ignoring he in en of he reader of he ad er i ing. I old eem ome ha in offering an opinion abo ha i likel obe comm nica ed in a par iclar ad er i emen, one old an ohae no onlan nder anding of he characeri ic of he recipien b aloof he in en ion of he crea or of he ad er i ing.
 - Q. Cold o alk hrogh he create brief of q of q
- A. A 2007 crea i e brief for POM pill de cribed he benefi a "POM j ice ha been clinicall e ed o impro e pro a e and hear heal h. POM ha he ame an io idan and po enc of he j ice. Therefore, e

imilar cop of hi ad.

Can o read he bod of he ad, plea e.

A. The bod of he ad a e:

"D ing i o dead. Drink o life i h

POM Wonderf l Pomegrana e J ice, he orld mo

po erf l an io idan . I ha more an io idan han an

o her drink and can help pre en prema re aging, hear

di ea e, roke, Al heimer , and e en cancer. Eigh

o nce a da i all o need. The ooner o drink i ,

he longer o ill enjo i ."

Q. No , I d like o di pla an e cerp from Dr. B er depo i ion ran crip , PX 0350-0102, ar ing a line 21 and hro gh line 25:

"QUESTION: In or opinion, cold he

Chea deah ad comm nicae oan reaonable con mer

ha drinking eigh once ada of POM jice pre en

or red ce he rik of hear dieae?

"ANSWER: No."

Do o agree i h Dr. B er ha hi ad cold no comm nica e o an rea onable con mer ha drinking POM j ice pre en or red ce he ri k of hear di ea e?

- A. No, I do no agree i h him.
- O. And h i ha?
- A. Well, hi i a er e reme opinion. The

opinion he dra ing i ha no rea onable con mer cold po ibl carr a a ha me age. That in fac incon i en it has e e een a he in en of he communication in ome of he communication brief has e en ed he ame lang age, and it also incon it en it has I belie e man con mer to ld infer based on he con et of he ord has are ed.

Q. On page 4 of hi e per repor, Dr. B er a e, "Finall, he e of h mor and parod i pre alen in he POM Wonderfl comm nica ion, h mor hich ork o block an inference ha he POM Wonderfl comm nica ion are in ended o make definite heal h claim in his pector of the ahear dieae, ar erial dieae, h per en ion, pro a edieae, and erecile difference."

Do o agree?

- A. I do no .
- Q. D ring hi depo i ion, he e pre ed he ie
 ha he h mor and parod in POM ad block an
 comm nica ion o rea onable con mer ha drinking POM
 j ice rea , pre en or red ce he ri k of hear
 di ea e, pro a e cancer or erec ile d f nc ion, and he
 reaffirmed ha ie d ring hi direc e imon a
 rial.

Do o agree i h ha opinion?

MR. FIELDS: Objec ion.

Co ld e ha e a page and line if e re reading from a depo i ion.

MR. OSTHEIMER: S re.

Thi depoi ion a ac all diplaed o Dr. B er dring hi e imon. I doc men PX 0350--- page 62 -- -0062, line 17 o line 22.

MR. FIELDS: Wha page i ha, plea e?

MR. OSTHEIMER: emeY ki line 22.

and

redirec , redirec e imon , he aid: "The -- he -- he h perbole in he ad and he h mor in he i al repre en a ion block li eral in erpre a ion of man of he -- of he heading , ch a I m off o a e pro a e . The e are ab rd erm and ill no be -- ill no be ie ed a -- a indica ing claim ."

If o kip ahead a li le bi , he hen aid he h mor -- ha he h mor doe n block he erio a emen ha are made in he e and foo no e .

Do o agree i h Dr. B er abo ho h moro headline and i al repre en a ion ill be in erpre ed?

- A. No, I do no .
- Q. Wha doe he academic li era re a abo he effec of h mor in ad er i ing?
- A. Well, here er rich li era re on he e of h mor in ad er i ing, and ha li era re gge ha, hen appropria el ed, h mor ha e eral effec.

One effec i ha i dra a en ion o he ad f li e /e

di arm he con mer. Wha e find i ha of en in proce ing arg men , he her he are in ad er i ing or el e here, con mer ill engage in ome hing e call con er-arg ing; ha i , he ll come p i h rea on h ha he re reading can be r e or i incomple e

hole con e of he ad i impor an .

In ore per opinion, can h moro headline like "Ama e or cardiologi ," "Flo or ar erie " and "I m off o a e pro a e " be een a making claim?

- A. Ye . I belie e o.
- Q. Wh i ha?
- A. Beca e, a I e indica ed earlier, o ha e o con ider ha people bring o he ie ing i a ion. To he e en ha people alread ha e belief, he ha e e perience, he ha e kno ledge, a headline ma implelici ha or e oke ho e belief, opinion and i e ha e i i hin he con mer and herefore can er ell crea e or reinforce belief.
- Q. I here empirical e idence from POM file
 ha con radic Dr. B er a er ion d ring redirec
 ha h moro headline and image ill no be een a
 making claim ?
 - A. Ye , here i .
 - Q. Wha re earch i ha?
- A. I e een ome re earch ha a done b he
 Bo i organi a ion, hich i a cop e ing compan,
 ha --

JUDGE CHAPPELL: Yo need o hold o r an er. Someone i ri ing o objec .

MR. FIELDS: Well, I a going o allo him o fini h hi an erecep ha e hae made a mo ion o e cl de he Bo i re. I i direced onl o billboard. I doe no incl de he fll e of he ad, onl he pic re and he headline. And complain con el ha been ere plici ha he are no a acking billboard.

If hi ine i going o r o rea on from he Boi re ha an ad, he e of hich an e en een b Mr. Boi, ha i omeho rele an, ba ed pon all he rea on e ga e in o r mo ion, i i no rele an.

MR. OSTHEIMER: Dr. B er e ified on redirec ha h moro headline and i al repre en a ion ill no be ie ed a indica ing claim. The Boi d look a h moro headline and i al repre en a ion and i con rar o Dr. B er a er ion ha he headline and image hem el e ill no be ie ed a indica ing claim.

So i clearl rele an o reb ing

Dr. B er e imon abo he comm nica ion of headline and image .

JUDGE CHAPPELL: I m no going or le on or pending mo ion on he ba i of hi objection, b if ha mo ion i gran ed, ha ill affect re pone ha

are made d ring he rial.

MR. FIELDS: Thank o, Yor Honor.

MR. OSTHEIMER: Thank o, Yor Honor.

BY MR. OSTHEIMER:

- Q. I belie e o ere in he middle of a ing ome hing abo he Bo i d?
- A. Ye. The Boi die ere in ended o e billboard hich are e en iall h moro image and headline, and o o he e en ha i a e of ha h moro image and headline can comm nica e, i goe direc l o he q e ion of can ho e hing comm nica e and i -- if he can, hen ha con rar o Dr. B er a er ion.
- Q. The Bo i d e ed POM ad from o ad er i ing campaign ; correc ?
 - A. Tha correc.
- Q. And he Boi diin or binder a ab C.

 I a doc men ha ha been marked a PX 0225.

Do page 5 and 6 of ha repor ho he ad ha ere e ed?

- A. Ye . Tha correc .
- Q. If e co ld di pla page 6, hich i PX 0225-0006.

On page 6, are he e he ad from he dre ed bo le campaign ha ere e ed?

- A. Ye, he are.
- Q. Do he e ad emplo h moro headline and image?
 - A. Ye, he do.
- Q. Doe one of he ad ha e he headline
 "Decompre " and depic a POM j ice bo le in a blood
 pre recff?
 - A. Ye , i doe .
- Q. Doe one of he ad ha e he headline "Hear herap " and depic a POM j ice bo le on a herapi co ch?
 - A. Ye, i doe.
- Q. On page 5, hich i PX 0225-005, are ho e he ad from he perhero campaign ha ere e ed?
 - A. Ye, he are.
- Q. And one of ho e ad ha he headline "I m off o a e pro a e "; correc?
 - A. Ye .
- Q. And I belie e o alread e plained h , b $\label{eq:constraint} I \ \mbox{ll a} \ k \ \mbox{o} \ \mbox{again}.$

Wo ld a e of headline and image in he con e of a billboard hed ligh on ha he ame headline and image o ld con e in leng hier prin ad ?

A. Ye, he old.

- Q. Wh i ha?
- A. Well, o he e en ha he image ha are e ed and he headline ha are e ed are alo ed in ad er i ing, e old gain ome in igh in o ha me age ere comm nica ed b he image and he headline from a e of j ho e hing. I ma be he cae ha o her e ha added o he ad migh modif ha, b i cer ainl a good place o begin in r ing o nder and ha he headline and he image comm nica e.
- Q. I d like o di pla PX 0225-0003, hich i page 3 of hi repor.

Doe hi page 3 de cribe he re ni ere for he d?

- A. I de cribe he me hodolog . Ye .
- Q. Wha a he re niere for he d?
- A. I m orr?
- Q. Wha a he ni er e for he d?
- A. The ni ere for he d are male and female 20 o 50 ear old i h a minim m ho ehold income of 75,000. The m be indi id al ho engage in heal h-con cio life le or ho hold a i de o ard impro ing heir o erall heal h. And a lea a por ion of he indi id al ed in he re had o be er of he POM prod c.

- Q. And for he re of o r di c ion abo Bo i , i i o r nder anding ha he onl ad ha people ere e po ed o ere billboard ad ?
- A. Well, no. A par of he proced re ac all in ol ed pre en ing -- ell, he ere onl billboard ad, b he proced re in ol ed pre en ing o her ad a or of cl er for o her prod c. That a a par of he proced re. B he ere all billboard.
- Q. Oka . Were ome re ponden ini iall e po ed o he "Decompre" billboard ad?
 - A. Ye, he ere.

JUDGE CHAPPELL: Hold on a econd. Yo r

pre io an er eem obe con radic or. Yo ere

a ked ere billboard he onl ad people ere e po ed

o. Yo aid, "Well, no." And hen he la hing o

aid a "B he ere all billboard." Which i i?

THE WITNESS: I m orr. Wha I heard him o

a a POM billboard, and I impl an ed o clarif

ha here ere o her billboard for o her prod c.

The ere all billboard, b here ere alo ome

billboard for o her prod c ed a a par of he

proced re.

BY MR. OSTHEIMER:

Q. I d like o di pla PX 0225-0012.

Doe page 12 pre en he main idea comm nica ion

of he "Off o a e pro a e " billboard ad?

MR. FIELDS: E c e me, Yo r Honor. So ha I don pop p e er q e ion, ma I ha e a r nning objec ion o all of he e q e ion abo he billboard ad? I ill be making a mo ion o rike la er, b I d like he record no o ho ha I failed o objec.

JUDGE CHAPPELL: Oka . And I an o o re a e he e ac poin of or objection.

MR. FIELDS: The e ac poin of m objection i
ha I ak Yor Honor o become a rinning objection o I
don j mp p and do ne er ime con el ak a
q e ion --

JUDGE CHAPPELL: I nder and he concep of r nning objec ion.

MR. FIELDS: Oka .

 $\label{eq:JUDGE CHAPPELL: I an ohear ha he precie} \mbox{ objec ion i} \; .$

MR. FIELDS: The preci e objection is releasnce in has he ead -- his respectively related entirely obiliboard, billboard are no being a acked, and is does not include here of head.

(Admoni ion from he cor repor er.)

MR. FIELDS: M objec ion i rele ance. Thi re rela e o billboard, onl he heading and he pic re in each in ance, no he accompaning e.

Co n el ha old he are no a acking billboard.

The i ne ha e ified one m look o he hole ad. The e billboard do no ha e he e .

Accordingl, ha percen age of ha people dre a heal h me age from he e par ic lar billboard i irrele an .

Thank o.

MR. OSTHEIMER: Ma I proceed, Yo r Honor?

JUDGE CHAPPELL: Do o agree i h he
repre en a ion of --

MR. OSTHEIMER: No, I do no --

JUDGE CHAPPELL: -- ha con el ha old re ponden ha con el, complain con el, i no a acking billboard?

MR. OSTHEIMER: None of he ad ha are being challenged in hi proceeding are billboard ad . B -- and ha i he bjec of a pending mo ion o rike hich ell be reponding on Monda. And -- on e eral gro nd .

Toda, he i e i ha he e -- he e of he e billboard me age direc l reb opinion of Dr. B er . He aid ha he headline and i al repre en a ion hem el e , j he headline and i al repre en a ion , o ld no be ie ed a indica ing claim .

JUDGE CHAPPELL: B o nder and -- and

- o ge acro .
- Q. And he re 1 pre en ed on hi page for he "Off o a e pro a e " ad, are ho e he re 1 of j one open-ended q e ion abo he main idea?
 - A. Ye , ha correc .
 - Q. I chaqe ion reliable?
 - A. Ye , i i .
- Q. Wha ere he re l for he "Off o a e pro a e " billboard ad main idea?
- A. Well, ome 86 percen of he re ponden ake

 a a ome pe of heal h or heal h benefi claim, b

 he ne mo freq en men ion of a benefi i "good for

 pro a e," hich 43 percen of he re ponden offered

 in re pon e o an open-ended q e ion.
- Q. And if e co ld look a page 13 of he repor , $PX\ 0225-0013$.

Doe page 13 pre en he main idea comm nica ion of he "Decompre" billboard ad?

- A. Ye , i doe .
- Q. Wha ere he re l of he -- for he "Decompre" ad main idea?
- A. Again, a er high le el of general comm nica ion of heal h or heal h benefi , 86 percen , b ha e ee i ha 14 percen indica e ha i help or lo er blood pre re, and ano her 8 percen

alk abo i -- or gi e a re pon e ha i good for o r hear .

- Q. Wha do he re l from ho e q e ion ell abo he her he h moro headline and image can be een a making claim?
- A. Well, clearl in re pon e o j he h moro headline and he image, here i a comm nica ion of benefi ha range from er general o q i e pecific.

JUDGE CHAPPELL: I an o clarif he record.

Mr. Field , o r req e for a r nning objection i gran ed. I migh ha e mi a ed and aid he objection a gran ed. Tha old ha e been premare.

MR. FIELDS: No. I nder and.

BY MR. OSTHEIMER:

- Q. And ere here imilar re l among he POM er in he d?
 - A. Ye , here ere.
- Q. A ome poin in he d re, re ponden ere e po ed o all fi e ad from a campaign; i ha correc ?
 - A. Tha correc.
 - Q. Were he hen a ked --

MR. FIELDS: E c e me, Yo r Honor. I a lo

o ge p. I kno I ha e a r nning objec ion, b here i a differen objec ion.

Again, he ord "ad " i ho "billboard," and again, here ere no ad ha ere he bjec of hi re o her han billboard, and con el keep ing "ad " epara el. Unle e can ip la e ha hen he a "ad " he onl alking abo billboard, hich i oka i h me, e ho ld no ha e a q e ion ha alk abo ad beca e i i ambig o.

MR. OSTHEIMER: Pre io l I a emp ed o a lea clarif i h he i ne ha hen I referred o ad I mean billboard ad, b I d be happ o ip la e, in an f r her di c ion of he d, ha I m alking abo billboard ad being e ed.

JUDGE CHAPPELL: All righ . Thank o . And hen le kno hen o re no longer alking abo he d and he ad rela ed o he d .

MR. OSTHEIMER: I ill.

BY MR. OSTHEIMER:

- Q. Were rere ponden in hi de hen a ked an open-ended commentation que ion abo POM benefi?
 - A. Ye, he ere.
 - Q. I d like o di pla PX 0225-0014.

If o look a page 14 of he repor , ha a

ha qe ion ha a a ked?

- A. Thi a a clo ed-ended q e ion.
- Q. I m orr?
- A. I aid hi i a clo ed-ended q e ion I belie e. No. I hink o re righ. I an open-ended q e ion. Ba ed on he ad -- i a , "Ba ed on he ad o j a , ha are he pecific benefi , if an , of drinking POM Wonderf 1?"
- Q. And again, i ha an open or clo ed-ended q e ion?
 - A. I belie e hi i an open-ended q e ion.
 - Q. I ha qe ion leading?
 - A. I m orr?
 - Q. I ha qe ion leading?
 - A. No, i no leading.
 - Q. Wha ere he re l from ha q e ion?
- A. Well, in he cae of he perhero billboard, again, e ee a er high le el of comm nica ion of general heal h benefi, b e ee 55 of he re ponden -- 55 percen of he re ponden men ioned he prod c i good for pro a e, a er pecific benefi claim.

For he dre ed bo le billboard , again, a er high le el of comm nica ion of general heal hcare or heal h benefi claim , b 38 percen indica e ha i

good for o r hear , and 21 percen indica e ha i help or lo er blood pre re.

- Q. Wha do he re l from ha q e ion ell abo he her h moro headline and image hem el e can be een a making claim ?
- A. Well, clearl he re ponden are draing ome inference or belief from j he e po re o he image and he headline, and he re draing ome er pecific inference abo benefi, a ella ome er general heal h-rela ed benefi.
 - Q. And ere here imilar re l among he POM er in he d?

re ponding o ano her indi id al, o gi e a e re pon e or o gi e a re pon e ha i more ociall de irable or le likel o crea e a en e of en ion i h he in er ie er. I an effor o be agreeable, if o ill.

- Q. Ho old o accon for ea-aing in anal ing a d ha a alread cond c ed?
- A. Well, one a o migh accon for ea-aing i olook for a q e ion or a re pon e ha i clearl no rele an ohe con en of a paric larador e of ad.
 - Q. Ho old o appl ch an approach here?
- A. Well, in hi con e e cold look a he dre ed bo le campaign, hich make no reference o pro a e heal h, and e ill ee ha ome 9 percen of indi id al aid ome hing abo pro a e heal h.

No , ha ma all be ea- a ing, or i ma be ome ea- a ing and i ma be indi id al kno ome hing abo he charac eri ic of an io idan , he ha e o her prior belief , o hi ma no impl reflec ea- a ing. B e cold be er con er a i e and a all 9 percen of he re ponden ere engaged in ea- a ing, o be con er a i e, in hich ca e e cold ake 9 percen a a from, le a , he 67 percen ho aid "good for cardio a c lar heal h" in re pon e o

hi campaign.

So 67 min 9 old be 58 percen, oih ha correction for ea-aing, cerainl a coneraie correction for ea-aing, od ill ha e 59 percen of inditidal aking a a me age "good for cardiachealh."

- Q. Wha do he re 1 from ha q e ion ell abo he her h moro headline and image can be een a making claim ?
- A. Again, a I e aid, hi i -- hi d and hi par ic lar e of re l demon ra e ha headline and image alone, independen of an o her e , can affec comm nica e benefi ha range from er general o er pecific.
- Q. I d like o ho o a doc men ha ha been marked a CX 0103, hich i ab H in o r repor .

Thi i he -- a "Decompre " prin ad.

I d like o hen -- I d j like o o ake a q ick look a ha ad.

A hi depo i ion, hich i a doc men ha ha been marked a PX 0350, ar ing on page 153, hich i -- o i o ld be 000153, line 23, con in ing o 154 line 3, Dr. B er aid ha he -- a Dr. B er -- aid ha hi ad a no hing abo lo ering blood pre re and co ld no comm nica e o

A. No hing ha I ee.

MR. OSTHEIMER: Thank o.

JUDGE CHAPPELL: Are o fini hed?

MR. OSTHEIMER: No f r her q e ion ,

Yo r Honor.

JUDGE CHAPPELL: Thank o .

Ho m ch ime do o hink o ll need,

Mr. Field ?

MR. FIELDS: An hor or le .

JUDGE CHAPPELL: All righ . Le ake a l nch

break.

We ll recon ene a 2:00 p.m.

(Where pon, a 12:58 p.m., a l nch rece a aken.)

AFTERNOON SESSION

(2:04 p.m.)

JUDGE CHAPPELL: Back on he record.

Cro -e am?

MR. FIELDS: Thank o, Yor Honor.

_ _ _ _ _

CROSS-EXAMINATION

BY MR. FIELDS:

- Q. Good af ernoon, Profe or.
- A. Good af ernoon.
- Q. On direc e amina ion, o aid ha o had epped do n a dean a UC Ri er ide.

Ac all o ere a ked o ep do n; i n ha correc , ir?

- A. No, I a no a ked. I a a m al agreemen be een he chancellor and I.
 - Q. The chancellor did no a k o o ep do n?
 - A. We agreed m all ha I old ep do n.
 - Q. Did he a k o o ep do n, ir?
 - A. No, he did no .

JUDGE CHAPPELL: Iron ide ?

Go ahead.

BY MR. FIELDS:

Q. All righ . Did o ee he repor ha came o in hich he chancellor a q o ed (indica ing)?

- A. I did.
- Q. And doe n $\,$ i $\,$ a $\,$ ha $\,$ o $\,$ ere a ked $\,$ o $\,$ ep do $\,$ n?
 - A. I hink ha he ording ha a ed. Ye.
 - Q. Le ai nil Hi Honori done.

(Pa e in he proceeding .)

Co ld he repor er read he la q e ion and an er back.

(The record a read a follo :)

"QUESTION: And doe n i a ha o ere a ked o ep do n?

"ANSWER: I hink ha he ording ha a ed. Ye ."

BY MR. FIELDS:

- Q. Are o a ing ha ording a fale, ha in fac he chancellor didn a k o o ep do n?
- A. The chancellor and I had a di agreemen i h
 re pec o ome b dge -c ing i e , and I -- I
 ref ed o do ome c ing, and he e en iall indica ed
 ha I o ld probabl be need o ep do n a dean in
 ha ca e.
 - Q. Ye .

So j a momen ago hen o aid ha i a n , o eren a ked o ep do n, ha a n correc , and o ere in fac a ked o ep do n; i n

Q. Oka . Thank o .

No , i i correc , ir, ha o are no gi ing an opinion on ho con mer nder and or in erpre he me age of he POM ad ?

- A. I m no gi ing o a pecific opinion, no, onl a i rela e o Profe or B er e imon.
 - Q. Yeah. Oka.

And o ere no e en a ked o addre he impre ion con mer ake a a from he ad; i n ha correc?

- A. Tha i correc .
- Q. And in fac , o don kno of an e idence on ho con mer percei e he ad ; i n ha correc?
 - A. I A. I 82ad dencdi efkjT1jTmOambe o Q i n I per Yephi ie o

ho con mer percei correc?

I no e rin ncdi efkjTc opin o ,1jTmOambe o Q i n I pal e abo e

percei ed he ad a he le el of a ne impre ion?

- A. I do recall ha, e.
- Q. Oka . And o aid o do no kno of an ch e idence; i n ha correc?
 - A. Tha i correc .
 - Q. Oka . Thank o .

No , o cri ici e Profe or B er for no foc ing on ha o called he ge al of he ad , he G-E-S-T-A-L-T; i n ha correc ?

- A. Tha correc.
- Q. Oka. And if o ere going o in erpre he ad or elf, o old look a he ad ge al o make ha o call a holi ic j dgmen; in ha correc?
 - A. Tha correc.
- Q. All righ. And p in o her ord, o con end ha Profe or B er didn look a ha o call he, q o e, pragma ic implica ion from an ad; i ha righ?
 - A. Tha -- among o her hing , ha correc .
- Q. Oka. And he pragma ic implica ion ha o

 a he didn look for can be ome hing ha i nei her

 e plici l a er ed nor nece aril e en implied from

 he ad; i n ha correc ?
 - A. Tha correc .

- Q. Oka . I a meaning ha i nei her a ed nor implied, i ome hing be ond ha; righ?
 - A. Tha generall he defini ion. Ye .
- Q. And i ma no e en follo logicall from ha he ad ac all a e or implie; i n ha righ?
- A. I ma or ma no follo logicall , ha correc .
 - Q. Thank o.

And i i correc o didn alk o

Profe or B er abo hi ca e?

- A. I m orr?
- Q. Yo did no alk o Profe or B er abo hi ca e?
 - A. No, I did no .
- Q. So o don ac all kno ha a in

 Profe or B er mind hen he ga e hi opinion abo

 ha people o ld ake a a from he ad?
 - A. I do no .
- Q. Oka . I i correc , ir, ha i ake hree good e po re o an ad for he me age of he ad o be effec i e on he con mer?
- A. There a general r le of h mb ha gge ha hree e po re i an opimal n mber of e po re.
 - Q. In ha hree good e po re, ir?
 - A. I hree good e po re , ha i correc .

- Q. And in fac , ha ma req ire man more han hree ac al e po re ; i n ha righ ?
 - A. Tha correc .
 - Q. Thank o.

 $\label{eq:All righ.} \mbox{ \ensuremath{\text{All righ}}} \ \ \mbox{ \ensuremath{\text{No}}} \ \ \mbox{ \ensuremath{\text{e}}} \ \ \mbox{ \ensuremath{\text{alked}}} \ \mbox{ \ensuremath{\text{ali}}} \ \mbox{ \ensuremath{\text{le}}} \ \mbox{ \ensuremath{\text{bi}}} \ \mbox{ \ensuremath{\text{abo}}} \ \mbox{ \ensuremath{\text{h}}} \ \mbox{ \ensuremath{\text{mor}}}.$

In i re ha h mor ac all increa e he comprehen ion of an ad?

- A. H mor can ha e he effec of increa ing he comprehen ion of an ad, e .
- Q. The mean people ill nder and i more readil; in ha correc? The ha "comprehen ion" mean?
 - A. Tha correc.
- Q. Oka . And comprehen ion i no he ame a belief; in ha righ?
 - A. Tha i correc .
- Q. In o her ord , h mor make people nder and he ad b no nece aril belie e i ; righ ?
 - A. Tha can be one effec . Tha i correc .
- Q. Oka . I i alo correc ha h mor ind ce proce ing of he ad me age?
 - A. I cer ainl can. Ye.
 - Q. Oka . And i ind ce a earch for f r her ppor ing informa ion; i n ha righ?

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- A. I cer ainl can.
- Q. Oka. No, rning for a momen op ffer and h perbole, didn o e if ha reader dicon p ffer and h perbole beca e on i face i an e aggera ion, ome hing ha no li erall re?
 - A. Tha i correc.
- Q. Oka . A a general ma er, o don belie e ha p ffer and h perbole mi lead con mer, do o?
- - Q. And in ha con e doe i mi lead?
- A. Well, if h perbole or -- or h mor or e aggera ion or p ffer re l in mi leading belief, hen he con mer old be miled.
- Q. Oka. No, o gie "li e fore er" in an ad headline a a good e ample of p ffer and h perbole ha old no be aken li erall; in ha correc?
 - A. I old agree ih ha.
 - Q. Well, o don hink -- rike ha.

acon i mi he abili mi lead?

- flo heir ar erie , do o ?
 - A. No, I do no .
- Q. Oka . In he ar icle named in he bod of or repor on h mor, o rel on an ar icle b

 Ha eeb Shabbir -- ha H-A-S-E-E-B, S-H-A-B-B-I-R -- and De Th ai e , T-H-W-A-I-T-E-S; i ha righ ?
 - A. I belie e ha i correc .
- Q. And ha a p bli hed in England, i n ha correc ?
 - A. Tha i correc .
- Q. And i i correc ha nei her of ho e gen lemen had a ained profe orial rank, he ere j lec rer in Engli h in i ion?
 - A. The ma hae he ile of lec rer. Their emi reall qie differen han or in erm of heir ile.
- Q. I i correc ha o did no agree i h ha he aid abo h mor?
- A. I old hae o ee ha o re alking abo in erm of ha he aid abo h mor.
- Q. Well, didn o a ha o didn agree i h ha he aid abo h mor?
- A. Yo ll ha e o ell me pecificall or ho me pecificall ha o re referring o.
 - Q. All righ . Which hing did o agree i h ha

he aid abo h mor?

- A. Again, ellhae olooka ha ore referring o.
- Q. Well, I m no referring o an hing no . I m j a king o if here an hing in heir ar icle abo h mor ha o agreed i h.
 - A. I hink here a, e.
- Q. All righ. For eample, hen he aid ha -hen he gae heh poheical of omeninabar,
 and one man rn oheoher -- and hi i a
 commercial -- one man rn oheoher and a,
 "Bo, I ih I had he a ebd of a hale, hen I
 cold a ebrand X be er," and Shabbir and Thaie
 a ha an origh lie, did oagree ih ha?
 - A. I probabl old no agree ih ha.
- Q. Wo ld an en ible, rea onable per on belie e -- agree i h ha ?
 - A. Probabl no .
- Q. Oka . In fac , o aid ha Th ai e and Shabbir ere e aggera ing o ge heir paper p bli hed; in ha correc ?
- Q. Did o a he ere e aggera ing o ge heir paper p bli hed, ir?

in ended ei her; righ ?

- A. Tha correc.
- Q. Oka . Yo don kno ha he reall in ended; righ ?
 - A. I do no .
- Q. Oka . And hen o rel on he crea i e brief , i i correc ha o ha e no idea ho prepared he crea i e brief ?
 - A. I do no .
- Q. Wo ld i affec or opinion reling on he crea i e brief ha he ere picall prepared b ome j nior per on in he marke ing depar men?
 - A. Tha old no change m belief.
- Q. Oka. And old i change or belief if picall he ere no e en een b he people hoon he compan or b he officer of he compan or e en b he head of he ad er i ing agenc ho a re pon ible op hem o? Wold ha affec or an er?
 - A. No hoe fac alone.
- Q. Oka. Wold i affec or an erifi ere re ha he creaie brief ere picall -- ha he idea in he creaie brief ere picall modified, rejec ed or ignored in mee ing af er he creaie brief ere ri en?
 - A. Tha cer ainl happen .

- Q. And ha old cer ainlaffec or reliance on he creaie brief here; righ?
 - A. If I had acce o ch informa ion, e.
 - Q. Thank o.

No , in fac , o don kno if an crea i e brief ac all re l ed in an ad ha a ac all pre en ed o he p blic; i n ha correc , ir?

- A. Tha i correc .
- Q. So o re no e if ing ha he e crea i e brief ac all had an effec on POM ad; righ?
 - A. I m no .
- Q. Oka. No , i i re ha ed ca ion help a per on o nder and ha an ad a ?
 - A. I cer ainl can. Ye.
- Q. Wo ldn o agree ha an ed ca ed per on ha a be er chance of correc l in erpre ing an ad han an ned ca ed per on?
 - A. Tha mo likel rein mo circ m ance.
- Q. And he d be le likel o be mi led b ha an ad a ; i n ha righ?
 - A. No nece aril.
- Q. So o hink an ned ca ed per on old be le likel obe miled?
- A. I old depend on he opic of he ad, depend on he me age of he ad.

- Q. So o oldn agree ihme ha, in general, a per on hoi ed ca ed ha a be er chance of correcl nder anding an ad han a per on hoi ned ca ed?
- A. Well, nder anding i differen from being mi led.
 - O. I ee.

So he old nder and head, b ore no re ha a more ed ca ed per on oldn ill be miled; righ?

- A. Tha -- ha cold cer ainl happen.
- Q. Oka. Doe n he li era re in o r field gge ha be er ed ca ed people are more kep ical han he p blic a large?
 - A. Tha i -- ha i he cae in general. Ye.
- Q. Oka. And in de cribing POM arge a dience, ir, didn o a ha POM ad are er m ch foc ed on people ho are affl en , profe ional, college-ed ca ed and heal h-con cio ?
- A. Tha i cer ainl ha I ook from he crea i e brief ha I a pro ided.
- Q. No, hen he FTC con ac ed o o gi e o r e per opinion in hi ca e, did o agree o do i?
 - A. I agreed o look a Profe or B er repor .
 - Q. I ee.

So before o agreed o ake on he re ponibili, o looked a hi repor.

- A. I indica ed ha I needed o kno ha I a going o be opining on.
- Q. Did o in fac look a hi repor before o agreed o ake on he a ignmen?
- A. I agreed o look a hi repor . I didn agree o ake on he a ignmen .
- Q. No. I a a differen q e ion. Perhap I m hoar e and o ma be didn hear me.

Did o ac all read hi repor before o agreed o ake on he a ignmen?

A. Well, I m no re ha o mean b "ake on he a ignmen." I agreed before I go he repor ha I old look a i. If o mean b I -- did I -- did I agree o de elop a repor before I a he repor of Profe or B er, ha differen.

briefl -- abo he B er re.

MR. OSTHEIMER: For clarifica ion, do o mean he Bo i $\,$ r e ?

 $$\operatorname{MR}.$$ FIELDS: Pardon me. Ab ol el . I mean Bo i .

When o ge old, o ge forge f l.

and make re o e go ha e p righ?

MR. FIELDS: I i e p. Thank o .

BY MR. FIELDS:

- Q. Oka. No, hen o re doing a re, in i be er ohaeacon rol for he qe ion o ak?
 - A. Tha depend on he prop e of he re.
- Q. Oka. And if i i a ca al re and o ho he ca e of ome hing, o cer ainl an a con rol, don o?
- A. We old generall like ohae a conrol in he cone of a re or an eperimen de igned oho ca ali.
- Q. And o ded c he con rol gro p re pon e from he e gro p re pon e in order o elimina e ha e call noi e or ea- a ing or bia; i n ha correc?
 - A. Tha i correc.
- Q. No , in he cae of he Boi d , ha d reall didn ho a all ho -- ha he effec old

be of an par ic lar ad; i n ha righ?

- A. Tha correc .
- Q. So e en ho gh he e people regi ered

 par ic lar percen age , here a no hing in ha d

 o ho ha ha a ca ed b he Bo i ad; i n

 ha righ?
- A. No hing ha a ca al, ha i correc, onl -- onl he pro imi of he ie ing of he ad o he ime in hich he q e ion ere a ked.
 - Q. Ye .

B de pi e he pro imi , ha r e o ld no ho o ha in fac i a ho e billboard ha ca ed ho e percen age of percep ion; i n ha righ?

A. Tha old be correc.

MR. FIELDS: Tha all I ha e, Yo r Honor.

MR. OSTHEIMER: Co ld I ha e o min e,

Yo r Honor?

JUDGE CHAPPELL: To con 1 or redirec ?

MR. OSTHEIMER: To con l i h m colleag e

for j --

JUDGE CHAPPELL: Go ahead.

(Pa e in he proceeding .)

_ _ _ _ _

o ercome ch kep ici m?

A. Q i e concei abl . In fac , one of he rea on for belief a emen or belief propo i ion in ppor of benefi i in fac o o ercome kep ici m.

Q. And hen o ere alking abo he Bo i d , o aid ha i didn ho he effec of an par ic lar ad.

I ha beca e o belie e ha open-ended q e ion don ho ca a ion?

MR. FIELDS: Objec ion. Leading.

THE WITNESS: No, ha no h.

JUDGE CHAPPELL: Hold on.

Yo need o rephra e.

BY MR. OSTHEIMER:

- Q. When o aid ha he Boi d didn ho he effec of an pariclar ad, ere o conidering he open-ended q e ion a ked abo he indi id al ad a he beginning like "Decompre" and "Off o a e pro a e "?
 - A. Tha no ha I a referring o.

Wha I a referring o a he general andard for pro ing ca a ion, hich old in ole ha ing a con rol, and here a no a -- here a no a con rol condi ion in oled. A I aid, he pro imi be een pre en a ion and he q e ion old be

con i en i h ca ali , b in he ab ence of a con rol, o co ldn dra a firm inference.

Q. Are o comfor able dra ing concl ion abo ad comm nica ion from open-ended q e ion i ho con rol ?

A. I am.

 $\label{eq:mr.matrix} \mbox{MR. OSTHEIMER: No f r her qe ion ,}$ Yo r Honor.

MR. FIELDS: No q e ion , Yo r Honor.

 $\label{eq:chappell:thank} \mbox{JUDGE CHAPPELL: Thank o , ir. Yo re} \\ \mbox{e c ed.}$

THE WITNESS: Thank o .

JUDGE CHAPPELL: We re going o ake a hor break, and hen I m going o come back and deal i h he pending mo ion.

We ll recon ene a 2:45.

(Rece)

JUDGE CHAPPELL: Back on he record.

MR. FIELDS: Thank o.

I ha e o poil he pen e, b in order o a oid an po ibili of dela -- and e don kno ho Yo r Honor i going o r le -- e o ld agree o co n el recommenda ion or gge ion ha e j rike Dr. Heber an er ha here a a con en .

We don feel i impor an , e don need i , and

e re illing o, a con el gge ed, rike i, and ha elimina e he need o impeach him on ha a emen.

MS. DAVIS: Tha agreeable o , Yo r Honor.

JUDGE CHAPPELL: Then o re going o le he
co r repor er kno ?

MR. FIELDS: Ye . The q e ion and an er -- ell, and he an er in hich he aid --

JUDGE CHAPPELL: Well, here here e are.

I e go a pending mo ion.

MR. FIELDS: Righ .

JUDGE CHAPPELL: And nle i i hdra n, I m going o make a r ling, o h don o alk abo
hi , I ll gi e o a min e, I ll i here, and decide if o re going o i hdra o r mo ion or no .

MR. FIELDS: I hink e j did

MS. DAVIS: I hink e ha e o agree pon he line .

MR. FIELDS: Oh, oka .

(Pa e in he proceeding .)

MR. FIELDS: Yo r Honor, nfor na el, con el no an ogo a be ond he q e ion and an er ha he repor er -- a i e hi morning, and I can agree o ha. She an o rike m ch more han ha an er, hich ho o, I hink, ha hi i abo.

 $\label{eq:JUDGE CHAPPELL: I ho gh ha o began b } \mbox{a ing hi a n $$ arg men .}$

MR. FIELDS: Well, rike m la arg men . $\mbox{ \begin{tabular}{llll} JUDGE CHAPPELL: I hink ha la en ence \\ \mbox{ old q alif .} \end{tabular} }$

All righ . So he mo ion i ill ali e?

MS. DAVIS: Yeah. I don hink e can come o
an agreemen . There ano her ec ion ha e belie e
rela ed o ha e ci ed in he brief, b he
di agree.

JUDGE CHAPPELL: Oka . An hing f r her?

MR. FIELDS: I m fini hed. I m done.

JUDGE CHAPPELL: Yo ma ha e a ea .

MR. FIELDS: Thank o.

JUDGE CHAPPELL: Like a fal e ar here a he ar ing line in he h ndred-me er da h.

All righ .

Re ponden filed an oppo i ion on Oc ober 11.

The par ie pre en ed oral arg men in ppor of heir po i ion hi morning.

Pr an o commi ion r le 3.43(d), in he di cre ion of he admini ra i e la j dge, a par i

en i led o bmi reb al e idence a ma be req ired for a f ll and r e di clo re of he fac .

Ho e er, he cope of reb al ill be limi ed o repel or di pro e he e idence of he ad er e par .

Upon con idera ion of he arg men in he brief and pre en ed a he hearing, here i m r ling:

A ming he repre en a ion made b complain

co n el in he mo ion o be correc and acc ra e and in

order o addre he concern rai ed b re ponden ,

complain co n el ill be allo ed o call Dr. Kan off a

a reb al fac i ne b onl for he limi ed p rpo e

ha follo :

To reb Dr. Da id Heber e imon gge ing ha a mee ing among POM and i cien ific ad i er, hich Dr. Kan off and Dr. Heber a ended, here a cien ific agreemen among he ad i er a o he concl ion ha can be dra n from re ponden pro a e cancer re earch.

Thi reb al ma onl incl de a emen ha ere made b Dr. Kan off a he mee ing and he her here a agreemen or no among re earcher he ob er ed d ring he e mee ing.

In addi ion, fo nda ional e imon i allo ed o demon ra e ha Dr. Kan off a pre en and ha per onal kno ledge of he fac al percep ion for hich

he i being called o e if .

Complain con el ha repre en ed ha

Dr. Kan off ill be called onl o reb fac and no
o offer e per opinion. Accordingl, complain

con el ill no be allo ed o elici an opinion from

Dr. Kan off. To he e en an a emen made b

Dr. Kan off a he mee ing con ain hi opinion,

ra her han hi fac al ob er a ion, ho e opinion

ill no con i e e idence of e per opinion in hi

ca e.

Specificall, complain con el ill no be allo ed o elici an e imon from Dr. Kan off a he her Dr. Kan off agreed or di agreed i h he concl ion ha he pro a e cancer die f l or ha here a or a no cien ific agreemen in he cien ific comm ni a large -- a he mee ing a i e -- regarding oppo ed o a concl ion of re ponden die or he role of pomegrana e in pro a e heal h.

To addre a poin rai ed b re ponden , al ho gh he informa ion a i e regarding Dr. Heber ma no ha e been rai ed in he direc e amina ion, Dr. Heber i a i ne ho a pre en ed b re ponden . Th , an e imon he ga e a a direc re l of him being called a a i ne b re ponden .

Re ponden ill be permi ed o cond c a depo i ion of Dr. Kan off prior o complain co n el calling Dr. Kan off a rial.

Ba ed pon he a ailabili of Dr. Kan off, a a ed in he mo ion, e ill recon ene a 11:00 a.m. on Frida , No ember 4, 2011, for he appearance of hi i ne .

To he e en he par ie mi ed an de ail of hi r ling, o ma refer o he draf ran crip , hich ill be i ed oon.

An hing f r her?

MS. DAVIS: No, Yo r Honor.

No, Yo r Honor.

MR. FIELDS: No hing f r her, Yo r Honor.

JUDGE CHAPPELL: Oka . Hearing no hing f r her, n il No ember 4 a 11:00 a.m. e are in rece .

(Where pon, he foregoing hearing a adjo rned a 2:56 p.m.)

CERTIFICATION OF REPORTER

DOCKET/FILE NUMBER: 9344

CASE TITLE: In Re POM Wonderf 1 LLC, e al.

HEARING DATE: Oc ober 14, 2011

I HEREBY CERTIFY ha he ran crip con ained herein i a f ll and acc ra e ran crip of he no e aken b me a he hearing on he abo e ca e before he FEDERAL TRADE COMMISSION o he be of m kno ledge and belief.

DATED: OCTOBER 20, 2011

JOSETT F. WHALEN, RMR

CERTIFICATION OF PROOFREADER

I HEREBY CERTIFY ha I proofread he ran crip for acc rac in pelling, h phena ion, p nc a ion and forma .

ELIZABETH M. FARRELL