

Sheinberg, Samuel I.

HSRHelp
Sent: Thursday, December 15, 2022
To: Walsh, Kathryn L.; Berg, Karen E.; Musick, Vessalina; Sheinberg, Samuel I.; Fetterman, Michelle
Subject: FW: Item 4(c) and 801.30

From: Shaffer, Kristin <kshaffer@ftc.gov>
Sent: Thursday, December 15, 2022 9:35:50 AM (UTC-05:00) Eastern Time (US & Canada)
To: [REDACTED]
Cc: HSRHelp <HSRHelp@ftc.gov>
Subject: [REDACTED]

Unless the controlled entities are foreign with no sales into the U.S. or have assets value of less than \$10 million, they must be listed in Item 6(a), regardless of whether they are in the same industry as the target. Item 6(c) are further limited to entities in the

Best regards,
Kristin
Kristin Shaffer
Attorney
Premerger Notification Office
202-326-2388 | kshaffer@ftc.gov

From: [REDACTED]
Sent: Tuesday, December 13, 2022 5:06:13 PM (UTC-05:00) Eastern Time (US & Canada)
To: Berg, Karen E. <KBERG@ftc.gov>
Cc: HSRHelp <HSRHelp@ftc.gov>
Subject: RE: Item 4(c) and 801.30

Hi Karen. Another question on this 801.30 transaction. The LRF controls a number of entities in the solar industry. In fact, there are [REDACTED] voting securities in the transaction has nothing to do with solar energy. It is difficult for us to gather the information all the way down to the solar project level. Is it permissible for us to not go so far down, and say either that additional information is [REDACTED] to stop at the [REDACTED] level, or to say that the [REDACTED] situation in another industry, again having nothing to do with the voting securities being acquired. Obviously if we need to go all the way down we will do so. Best regards,

Thanks,

[REDACTED]

From: [REDACTED]
Sent: Wednesday, December 7, 2022 9:57 AM
To: Berg, Karen E. <KBERG@ftc.gov>

Cc: HSRHelp <HSRHelp@ftc.gov>

Subject: RE: Item 4(c) and 801.30

Thanks Karen.

[REDACTED]

From: Berg, K

Sent: Wednesday, July 26, 2023 9:56 AM

To: [REDACTED]

Cc: HSRHelp <HSRHelp@ftc.gov>

Subject: RE: Item 4(c) and 801.30

You on

Karen

From: HSRHelp <HSRHelp@ftc.gov>

Sent: Tuesday, December 6, 2022 12:36 PM

To: Walsh, Kathryn <kwalsh@ftc.gov>; Berg, Karen E. <KBERG@ftc.gov>; Musick, Vesselina <VMUSICK@ftc.gov>

Re:

Subject: FW: Item 4(c) and 801.30

From: [REDACTED]

Sent: Friday, December 6, 2024 12:36:12 PM (UTC-05:00)

To: HSRHelp <HSRHelp@ftc.gov>

Subject: Item 4(c) and 801.30

Hi Premerger, regarding to what you are asking on an 801.30 transaction

4(c) documents should be done (with respect to the

obligation) or, alternatively, does the search need to extend to earlier acc

Thanks as always for your assistance

[REDACTED]

[REDACTED]

[REDACTED]

