

Sheinberg, Samuel I.

From: HSRHelp
Sent: Tuesday, November 14, 2023 9:51 AM
To: Berg, Karen E.; Musick, Vesselina; Shaffer, Kristin; Sheinberg, Samuel I.; Six, Anne, Whitehead, Nora, Fetterman, Michelle; Burton, June
Subject: FW: Filing Person Question

From: Walsh, Kathryn E. <kwalsh@ftc.gov>
Sent: Tuesday, November 14, 2023
To: [REDACTED]
Cc: HSRHelp <HSRHelp@ftc.gov>
Subject: FW: Filing Person Question

CONTROLLED

We typically see both spouses listed as the UPE, but only one of them has to sign.

From: [REDACTED]
Sent: [REDACTED]
To: HSRHelp <HSRHelp@ftc.gov>
Cc: [REDACTED]
Subject: Filing Person Question

Spouse 1 (S1) and Spouse 2 (S2/S2) are married. S1 owns more than 50% of the voting securities of the entity. S1/S2 own any. There are no other owners.
We know that S1 must file as a UPE, but does S2 also have to be included as a UPE (where S2 does not own any of the acquired voting securities)?

Best regards,

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]