

Sheinberg, Samuel L

From: Sheinberg, Samuel L
Sent: [REDACTED]
To: [REDACTED]
Cc: HSRHelp
Subject: RE: HSR Form / Question about Listing Spouses as UPE

You indicate in item 1(a) or in an endnote that the UPE is a husband and wife. Yes

From: HSRHelp <HSRHelp@ftc.gov>
Sent: Monday, September 16, 2024 9:46 AM
To: Walsh, Kathryn E. <kwalsh@ftc.gov>; Berg, Karen E. <KBERG@ftc.gov>; Ivusick, Vessal <vessal@ftc.gov>; Shaffer, Kristin <kshaffer@ftc.gov>; Sheinberg, Samuel L <SLS@ftc.gov>; Nora <nwhitehead@ftc.gov>; Fetter, Peter <plarson@ftc.gov>
Subject: FW: HSR Form / Question about Listing Spouses as UPE

From: [REDACTED]
Sent: [REDACTED]
To: HSRHelp <HSRHelp@ftc.gov>
Subject: HSR Form / Question about Listing Spouses as UPE

Hi:

In a sale of voting securities, if the UPE is a husband and wife, who are each the owner of 100% of the voting securities, and, through aggregation are each the owner of 100% of the voting securities, should the UPE be listed as the owner of the securities? If so, how should the UPE be listed and the spouse be listed?

Thank you.

[REDACTED]